

1 **The response of the Southern Ocean and Antarctic sea ice to fresh water**
2 **from ice shelves in an Earth System Model**

3 Andrew G. Pauling*

4 *Department of Physics, University of Otago, Dunedin, New Zealand*

5 Cecilia M. Bitz

6 *Department of Atmospheric Sciences, University of Washington, Seattle, USA*

7 Inga J. Smith, Patricia J. Langhorne

8 *Department of Physics, University of Otago, Dunedin, New Zealand*

9 *Corresponding author address: Andrew Pauling, University of Otago, P.O. Box 56, Dunedin, NZ

10 E-mail: pauan857@student.otago.ac.nz

ABSTRACT

11 The possibility that recent Antarctic sea ice expansion resulted from an in-
12 crease in fresh water reaching the Southern Ocean is investigated here. The
13 freshwater flux from ice sheet and ice shelf mass imbalance is largely miss-
14 ing in models that participated in the Coupled Model Intercomparison Project
15 Phase 5 (CMIP5). However, on average P-E reaching the Southern Ocean
16 has increased in CMIP5 models to a present value that is about 2600 Gt yr^{-1}
17 greater than pre-industrial times and 5-22 times larger than estimates of the
18 mass imbalance of Antarctic ice sheets and shelves (119 to 544 Gt yr^{-1}). Two
19 sets of experiments were conducted from 1980-2013 in CESM1-CAM5, one
20 of the CMIP5 models, artificially distributing fresh water either at the ocean
21 surface to mimic iceberg melt, or at the ice shelf fronts at depth. An anoma-
22 lous reduction in vertical advection of heat into the surface mixed layer re-
23 sulted in sea surface cooling at high southern latitudes, and an associated in-
24 crease in sea ice area. Enhancing the freshwater input by an amount within the
25 range of estimates of the Antarctic mass imbalance did not have any signifi-
26 cant effect on either sea ice area magnitude or trend. Freshwater enhancement
27 of 2000 Gt yr^{-1} raised the total sea ice area by $1 \times 10^6 \text{ km}^2$, yet this and even
28 an enhancement of 3000 Gt yr^{-1} was insufficient to offset the sea ice decline
29 due to anthropogenic forcing for any period of 20 years or longer. Further,
30 the sea ice response was found to be insensitive to the depth of fresh water
31 injection.

32 **1. Introduction**

33 Sea ice is a critical component of Earth's climate, controlling ocean-atmosphere heat exchange
34 and driving deep ocean convection (Vaughan et al. 2013). It plays an important role in the global
35 climate due to the sea ice-albedo feedback, which has been a major factor in the rapid decline in
36 Arctic sea ice extent (Screen and Simmonds 2010). The Earth is warming (Vaughan et al. 2013),
37 including the upper 700 m of the Southern Ocean (Gille 2008), although sea surface temperatures
38 are not increasing everywhere (Fan et al. 2014). Observations show Antarctic sea ice extent has
39 expanded around 75% of the continent's perimeter over the past three decades (Turner et al. 2009;
40 Zunz et al. 2013). However, in contrast, CMIP5 (Coupled Model Intercomparison Project phase 5)
41 models have a decline in Antarctic sea ice due to climate forcing over this period (Maksym et al.
42 2012; Zunz et al. 2013). Recently there has been some debate over the statistical significance of
43 the observed increase in sea ice extent (Eisenman et al. 2014), because a change in the satellite
44 sensor in December 1991 was not accounted for correctly in one of the main data products arising
45 from use of NASA's Bootstrap algorithm. Nonetheless, the annual mean sea ice extent is certainly
46 not decreasing in the Antarctic like it is in the Arctic.

47 Antarctic sea ice cover is strongly influenced by both winds and SST, and the coupled trio of sea
48 ice, winds, and SST exhibit large interannual and decadal variability (e.g., Fan et al. 2014; Holland
49 and Kwok 2012; Renwick et al. 2012). The sea ice variability is linked to distant regions through
50 atmospheric teleconnections (e.g., Stammerjohn et al. 2008; Ding et al. 2011; Li et al. 2014). Some
51 authors have argued that natural variability could be responsible for the recent sea ice expansion
52 (e.g., Polvani and Smith 2013; Zunz et al. 2013). However, it is unclear if natural variability can
53 explain the detailed pattern of sea ice trends correctly, or whether any one explanation can capture
54 the sea ice trends in all regions at once.

55 Identification of a missing mechanism responsible for the inconsistency between models and
56 observations has been the subject of much recent work. Mechanisms that have been explored
57 include wind changes (Holland and Kwok 2012; Turner et al. 2013; Holland et al. 2014), ice-
58 ocean feedback (Goosse and Zunz 2014) and the freshwater flux from ice shelf melt (Bintanja
59 et al. 2013, 2015; Swart and Fyfe 2013), but none have conclusively explained the discrepancy.

60 Here we focus on the hypothesis that freshening the Southern Ocean could explain the recent
61 Antarctic sea ice expansion. The effect of such surface freshening has been studied in coupled
62 ocean-sea ice models (e.g., Beckmann and Goosse 2003; Hellmer 2004), and Earth System Mod-
63 els of intermediate complexity (e.g., Aiken and England 2008; Swingedouw et al. 2008). These
64 studies have indicated that artificially enhancing the freshwater input to the Southern Ocean is
65 effective at increasing ocean stratification, which inhibits the vertical transport of warmer water
66 from depth to the ocean surface and in all cases SSTs cool, resulting in increased sea ice formation.

67 In more recent studies with an Earth System Model Bintanja et al. (2013, 2015) added freshwater
68 amounts that were intended to replicate current sources from Antarctic basal ice shelf melt. In
69 the first of the two studies, Bintanja et al. (2013) achieved increases of up to 10% in sea ice
70 concentration over a 31 year period with the EC-Earth model under constant year 2000 forcing.
71 Their freshwater flux of 250 Gt yr^{-1} was distributed nearly uniformly around the Antarctic coast,
72 and uniformly throughout the year. Bintanja et al. (2015) then showed additional experiments
73 required as little as 120 Gt yr^{-1} to reverse the modelled sea ice area trend in an RCP8.5 forcing
74 scenario.

75 Swart and Fyfe (2013) used the UVic model (a coupled ocean-sea ice model with an energy
76 balance model atmosphere) to investigate the effects of surface freshwater fluxes that increased
77 from 0 to $\sim 740 \text{ Gt yr}^{-1}$ and 0 to $\sim 890 \text{ Gt yr}^{-1}$ over periods of 47 and 29 years respectively.
78 With wind-forcing fixed to isolate the effects of the freshwater input, they performed each of these

79 runs with fresh water either distributed uniformly around the Antarctic coast, or concentrated in
80 the Amundsen Bay. They found that none of their freshwater scenarios reversed the sea ice loss in
81 the model, although all of their scenarios reduced the amount of sea ice loss relative to their control
82 integrations from 1970 to 2020 using historical and RCP8.5 forcing. This significantly different
83 result from that of Bintanja et al. (2013) and Bintanja et al. (2015) suggests there are differences
84 between models that produce very different responses to similar forcing.

85 The studies of Bintanja et al. (2013, 2015) and Swart and Fyfe (2013) based their artificial
86 freshwater amounts on estimates of the mass imbalance of the grounded ice of Antarctica (see
87 Fig. 1), citing recent altimetric and gravimetric estimates from satellites by Rignot et al. (2011);
88 Shepherd et al. (2012) and King et al. (2012).¹ Such methods estimate the grounded ice loss to the
89 ocean, and therefore Antarctica's contribution to sea level rise. Such data say nothing about the
90 fate of the ice once it is afloat (as an ice shelf or iceberg), and therefore using only the values for
91 the grounded ice sheet for ice shelf meltwater is an unusual assumption that neglects the additional
92 freshwater input from the current mass imbalance of ice shelves (Shepherd et al. 2010; Rye et al.
93 2014; Paolo et al. 2015). Hence the studies of Bintanja et al. (2013, 2015) and Swart and Fyfe
94 (2013) not only disagree, but the studies of Bintanja et al. (2013, 2015) managed to cause the sea
95 ice to expand in response to far less freshwater than equals estimates of the current mass imbalance
96 of Antarctica's grounded ice and ice shelves, as discussed later in this paper.

97 Perhaps even more surprising, we show in Section 4a that the freshwater enhancements used
98 by Bintanja et al. (2013, 2015) and Swart and Fyfe (2013) are insignificant relative to the amount
99 of precipitation minus evaporation (P-E) falling on the Southern Ocean, and much less than the

¹It should be noted that Bintanja et al. (2013) justified their use of 250 Gt yr based on Rignot et al. (2011). Interestingly, the value given by Rignot et al. (2011) for Antarctic ice sheet loss in 2006 was $200 \pm 150 \text{ Gt yr}^{-1}$ using the mass budget method ($250 \pm 40 \text{ Gt yr}^{-1}$ is the net imbalance for Greenland.)

100 increase in P-E over the Southern Ocean and Antarctica from pre-industrial times to present day in
101 these same models. Furthermore, in reality about half of the meltwater leaving Antarctica enters
102 the Southern Ocean at the depth of the ice shelf front (Rignot et al. 2013; Depoorter et al. 2013).
103 The potential mixing as the buoyant meltwater rises from the ice shelf front depth ($\sim 100 - 200$
104 m) has been ignored in these studies and in many other artificial freshwater enhancement studies.

105 In this paper, we first discuss the differences between the model representation of the Antarctic
106 mass budget and reality, and cast the mass budget calculations in a new, consistent notation. We
107 discuss what is known about the freshwater input to the Southern Ocean from Antarctica and the
108 current mass imbalance of the grounded ice versus the ice shelves. We compare plausible trends
109 in these sources to precipitation (minus evaporation) falling directly into the Southern Ocean.

110 We examine the influence of ice shelf processes on Antarctic sea ice extent through introduction
111 of fresh water to the Community Earth System Model version 1 - Community Atmosphere Model
112 version 5 (CESM-CAM5), which is a fully-coupled Earth System Model and a member of the
113 CMIP5 ensemble. We conduct a set of experiments that artificially enhance freshwater input to the
114 model to investigate the effect on the local ocean and sea ice. It is important to note that this work
115 is purely an experiment to determine the response of the climate system to an additional forcing,
116 rather than an attempt to bring the model closer to reality. Two different sets of experiments are
117 presented: one with the fresh water added at the ocean surface and distributed according to the
118 meltwater input from icebergs in the GFDL model (Martin and Adcroft 2010), and the other with
119 the fresh water added north of Antarctic ice shelves and at the depth of the ice shelf front. Finally,
120 we discuss whether the model sensitivity to fresh water is plausible.

121 2. Antarctic mass budget for ice shelves and grounded ice

122 The mass budget of Antarctica's grounded ice and ice shelves are governed by processes shown
123 in Figures 1a and b. Only the mass imbalance of the grounded ice can directly influence sea level
124 rise. Due to its importance to society it has been measured by many recent studies (see Table 1),
125 and we consider this portion first. This is the only contribution considered by Bintanja et al. (2013,
126 2015) and Swart and Fyfe (2013). However, later we show that although it is relevant to sea level
127 rise, it is currently an insignificantly small source of fresh water to the Southern Ocean.

128 The mass budget for the grounded ice sheet (see Fig. 1a), including all the sources and sinks of
129 mass, yields the equation:

$$\dot{M}_{SM} + \dot{M}_{GL} + \rho_I A_G \dot{H} = 0, \quad (1)$$

130 where \dot{M}_{SM} is the air-ice surface mass exchange rate (taking into account meltwater refreezing),
131 \dot{M}_{GL} is the mass flux across the grounding line, ρ_I is the density of ice, A_G is the horizontal area of
132 the grounded ice, and \dot{H} is the rate of change of height of the grounded ice. At present the surface
133 meltwater is thought to mostly refreeze within the snow cover (Liston and Winther 2005). The
134 term $\rho_I A_G \dot{H}$ is considered the mass imbalance, and it may be positive or negative depending on
135 whether the ice sheet is gaining or losing ice, respectively. In a steady climate, the mass imbalance
136 may be near zero if the averaging period is long enough (i.e. over several centuries) to make the
137 contribution from natural variability negligible.

138 Recent estimates of the mass imbalance of the grounded ice of Antarctica range from -31 to
139 -256 Gt yr^{-1} , where negative values indicate mass loss, and are summarized in Table 1. The very
140 wide range of estimates, even for similar averaging periods, indicates the difficulty in obtaining
141 these numbers. Nonetheless, Sutterley et al. (2014) note the imbalance of the grounded ice is

142 accelerating, suggesting this imbalance will play an increasingly important role in future global
143 climate change.

144 While the grounded ice mass imbalance is key to sea level rise, neither it nor any other term
145 in Eq. 1 directly reach the Southern Ocean as fresh water as almost all meltwater refreezes. To
146 influence the freshwater influx, the grounded ice mass must first cross the grounding line and
147 become part of the ice shelves.

148 Studies such as those of Depoorter et al. (2013) and Rignot et al. (2013) attempt to quantify each
149 of the components that make up the mass budget for the Antarctic ice shelves. Their estimates are
150 calculated using a combination of satellite data and modelling, and provide values for basal melt
151 rates, iceberg calving rates, surface mass balance, dynamic thinning and flux of ice into the ice
152 shelves at the grounding line. These studies both identify basal melting of ice shelves as the largest
153 ice loss mechanism for the Antarctic ice shelves ($1500 \pm 237 \text{ Gt yr}^{-1}$ and $1454 \pm 174 \text{ Gt yr}^{-1}$
154 respectively), closely followed by iceberg calving ($1265 \pm 141 \text{ Gt yr}^{-1}$ and $1321 \pm 44 \text{ Gt yr}^{-1}$
155 respectively). These two loss mechanisms dominate the mass loss of the Antarctic continent.
156 There is evidence that basal melt may have increased on some ice shelves in response to an increase
157 in upwelling Circumpolar Deep Water (CDW) along the continental shelf, particularly near the
158 Bellingshausen/Amundsen Sea region (e.g., Jacobs et al. 2011; Sutterley et al. 2014; Paolo et al.
159 2015). An increase in ice mass loss of the ice shelves is related to, but is by no means equal to, the
160 mass imbalance of the grounded ice sheet.

161 The components of the mass budget for the Antarctic ice shelves are related by:

$$\dot{m}_{GL} + \dot{m}_{SM} + \dot{m}_{BM} + \dot{m}_C + \rho_I A_S \dot{h} = 0 \quad (2)$$

162 where \dot{m}_{GL} is the grounding line flux, \dot{m}_{SM} the air-ice surface mass exchange rate, \dot{m}_C the iceberg
163 calving rate, \dot{m}_{BM} the basal mass exchange rate with the ocean and \dot{h} the dynamic ice thinning rate,

164 given as the rate of change of height with time multiplied by the ice density (ρ_I) and the horizontal
165 area of the ice shelf A_S . Positive (negative) values imply addition (removal) of mass to (from) the
166 shelf, and the term $\rho_I A_S \dot{h}$ is considered the mass imbalance, as in Equation 1.

167 Estimates of the mass imbalance of Antarctic ice shelves are similarly varied as for the grounded
168 ice. Using mixed methods, Shepherd et al. (2010) estimated the ice shelf imbalance at $88 \pm$
169 47 Gt yr^{-1} for 1994-2004, where we have multiplied their volume rate of change by the density of
170 solid ice, $\rho = 0.930 \text{ Gt km}^{-3}$. In contrast, Paolo et al. (2015) used only radar altimetry to estimate
171 what they considered a lower bound for the ice shelf imbalance of $288 \pm 69 \text{ Gt yr}^{-1}$ for 2003-2012
172 (after applying the same unit conversion factor). Importantly, Paolo et al. (2015) also found more
173 than an order of magnitude increase in the mass imbalance between 1994-2003 and 2003-2012.

174 If the mass imbalance of grounded ice and/or the ice shelves has increased over the last few
175 decades or centuries, then the freshwater flux to the Southern Ocean from Antarctica would also
176 have increased, by an amount equal to the increase in the total mass imbalance. To estimate
177 an “extra” yearly freshwater input at present relative to a hypothetical time of ice balance, we
178 sum the central values of the largest estimates of grounded ice and shelf imbalance to arrive at
179 544 Gt yr^{-1} . Likewise, if we sum the lowest estimates, the amount is 119 Gt yr^{-1} . The true
180 increase in freshwater flux from Antarctica over the last few decades is clearly highly uncertain,
181 and we do not claim that it lies within these rough estimates, although the study of Rye et al.
182 (2014) calculates the same sum to get an estimate of $\sim 350 \pm 100 \text{ Gt yr}^{-1}$, which lies within our
183 range.

184 None of the Earth System Models in the CMIP5 ensemble include ice shelf cavities at present
185 (Flato et al. 2013), and for many, the ice shelves are represented as land. The model we used in our
186 experiments, Community Earth System Model version 1 - Community Atmosphere Model version
187 5 (CESM1-CAM5), has this simple representation, where the entire Antarctic continent, including

188 ice shelves, is treated as land with a maximum allowed snow cover of 1 m. Figure 1c shows the
 189 model representation of the Antarctic continent and the components of its mass budget. When the
 190 snow thickness exceeds 1 m it is immediately dumped at the coast as runoff (Oleson et al. 2013).
 191 In fact, the model does not capture all the processes in Equation 2. Instead it represents the mass
 192 budget of Antarctica as:

$$\dot{M}_{SM} + \dot{M}_R + \rho_W A_T \dot{H} = 0 \quad (3)$$

193 where \dot{M}_R is the runoff from the continent, $A_T = A_G + A_S$, and \dot{H} the rate of change of height of
 194 snow water equivalent with respect to time, with ρ_W here denoting the density of water, and the
 195 constraint that $H \leq 1$ m. The grounding line flux and ice thinning rate are not represented since
 196 ice sheet dynamics are not included in the model, while the basal mass balance and calving flux
 197 are not included due to the lack of realistic ice shelves in the model. Because surface melt is rare,
 198 $\dot{H} \approx 0$, so we have:

$$\dot{M}_{SM} \approx -\dot{M}_R \quad (4)$$

199 In other words, an increase in P-E over Antarctica in CMIP5 models is essentially equal to an
 200 increase in freshwater flux to the Southern Ocean.

201 In summary, we have cast the mass budget calculations in a consistent notation, which makes
 202 comparison of values measured or calculated by different studies for different components easier
 203 to understand. The mass budget in Earth System Models represents a greatly simplified version of
 204 reality and means that the models are unable to capture any mass imbalance.

205 **3. Methods**

206 *a. The Model*

207 The model used in this study is the Community Earth System Model version 1 - Community
208 Atmosphere Model version 5 (CESM1-CAM5, Hurrell et al. 2013). The simulations were run
209 with the POP2 (Parallel Ocean Program) ocean model, the CICE4 (Community Ice Code version
210 4) sea ice model, the CLM4 (Community Land Model version 4) land component, and the CAM5
211 (Community Atmosphere Model version 5) atmosphere component. These stand-alone compo-
212 nents were coupled by the CPL7 coupling infrastructure. The model was run at approximately 1°
213 horizontal resolution in all components for all simulations with 60 vertical layers in the ocean, and
214 30 in the atmosphere.

215 Our experiments were run from January 1980 to December 2013, with 20th century transient
216 forcing until December 2005, and using the RCP8.5 (Representative Concentration Pathway,
217 8.5 W m^{-2} radiative forcing) thereafter. This represents the “high emissions scenario” for green-
218 house gas emissions in the models (Taylor et al. 2012). We branch our experiments in 1980 from
219 four different ensemble members of the CESM-CAM5 LENS (Large ENSEMBLE) project (Kay
220 et al. 2015). The 30 ensemble members of the LENS have the same model configuration and forc-
221 ing scenarios as used in this study (without the extra freshwater forcing), where each ensemble
222 member has the sea surface temperature (SST), in 1920 perturbed by $N \times 10^{-14}$ K, where N is the
223 number of the ensemble member (i.e., $N = 1$ to 30). This perturbation is enough for the climate
224 state to have diverged by 1980 to produce an ensemble with which statistical comparisons can be
225 made. We show the 30 ensemble members in Figure 2, and the four randomly-chosen ensemble
226 members (labelled A-D) that form our sensitivity experiments in Table 2. To compare the response
227 to freshwater scenario independent of initial condition, we branched each of the freshwater scenar-

ios that we tested (described next) from LENS member A. We also investigated the sensitivity to the initial conditions, by varying the LENS member from which we branched for select freshwater scenarios.

b. Surface Freshwater Experiments

To simulate freshwater input from either ice shelf basal melt or iceberg melt in excess of the normal way that CESM1-CAM5 deals with the mass balance of Antarctica (described in Figure 1c and Eq. 4), we enhanced the fresh water entering the Southern Ocean. In the first set of experiments, we added the water to the surface to investigate the response as if all the fresh water missing in our model (and other CMIP5 models) were from an increase in the iceberg flux. Since the ocean in CESM1-CAM5 conserves volume and direct addition of fresh water is not possible, we parameterize the freshwater input as a negative salinity forcing by multiplying the freshwater flux by minus the reference salinity of the ocean, -34.7 psu. After discovering our model had a very weak response to freshwater flux estimates of the current Antarctic mass imbalance, we chose to introduce larger amounts of freshwater enhancement, specifically we input 1000, 2000, or 3000 Gt yr^{-1} of additional fresh water in an attempt to determine how much fresh water is required to have a significant effect on the sea ice area trend. We acknowledge that these freshwater inputs are much larger than estimates of the combined ice shelf/ice sheet mass imbalance. Three experiments were conducted with 2000 Gt yr^{-1} to test for reproducibility (see Table 2). To distribute the fresh water realistically around the Antarctic coast we used the 100 year monthly mean global meltwater distributions from icebergs in the GFDL-ESM runs (Martin and Adcroft 2010), regridded onto the CESM grid (see Fig. 3a). The freshwater flux was introduced at an annually periodic rate throughout the year using the GFDL iceberg distribution, due to the lack of current knowledge of the seasonality of freshwater flux from iceberg calving. Although several papers have shown that

251 the latent heat associated with melting icebergs has a significant impact on the hydrography and
252 sea ice in the Southern Ocean (e.g., Jongma et al. 2009), we have not taken it into account because
253 our purpose is to isolate the effects of fresh water alone to compare more directly with the studies
254 of Bintanja et al. (2013, 2015) and Swart and Fyfe (2013).

255 *c. Interior Freshwater Experiments*

256 In a second set of experiments, fresh water was added at the ice shelf fronts to investigate the
257 response as if all the fresh water missing in our model (and other CMIP5 models) were from
258 an increase in the basal melt of ice shelves. This applies a constant reduction in salinity to the
259 specified vertical level. We injected the fresh water in front of ice shelves and at the depth of the
260 front (see Fig 3b). The ice shelf location and depth were derived from the RTopo-1 dataset (Tim-
261 mermann et al. 2010). These were then regridded onto the CESM1-CAM5 grid and checked for
262 mismatches between the RTopo-1 and CESM bathymetry, which arose due to the large resolution
263 difference between the dataset and the model (the RTopo-1 dataset is much higher resolution than
264 the CESM1-CAM5 grid). In some cases the interpolated depth of the ice shelf front from RTopo-
265 1 was deeper than the CESM1-CAM5 bathymetry. In these cases the problem was resolved by
266 manually raising the vertical layer in the model into which the fresh water was input to the low-
267 est level within the ocean. Other issues arose when islands were present in the middle of an ice
268 shelf, causing false identification of the ice shelf front. These cells were manually inspected and
269 removed. The freshwater flux was then divided evenly among the grid cells, and the forcing was
270 input uniformly throughout the year.

271 Three interior freshwater experiments were conducted, denoted IFW167A, IFW2000A and
272 IFW2000B (see Table 2). The IFW167A experiment simulated a freshwater input within our
273 calculated range of estimates of present total ice mass imbalance for Antarctica. The IFW2000A

274 and IFW2000B experiments were conducted after preliminary results from the surface freshwater
275 experiments suggested this magnitude of freshwater input (2000 Gt yr^{-1}) was necessary in order
276 to see a significant change in the annual mean sea ice area over the duration of the experiments.

277 Figure 4 shows a comparison of the depth of freshwater input with the modelled seasonal mixed-
278 layer depth from the CESM1-CAM5 LENS mean. We see that for the shallower mixed-layer
279 depths of summer and autumn, the depth of interior fresh water input is predominantly below the
280 mixed layer, while in winter and spring about half the input cells lie within the mixed layer. This
281 is important since fresh water that is input directly into the mixed layer will be immediately mixed
282 with the ambient water, while input below the mixed layer will take longer to be mixed.

283 In summary, we have two sets of experiments to test the effect of freshwater input either due to
284 iceberg calving (surface experiments), or basal melt (interior experiments). It should be noted that
285 in both sets of experiments, we are only considering the freshening effect of the meltwater and
286 that we do not apply any explicit cooling to the model.

287 **4. Results**

288 *a. CMIP5 Freshwater Budget*

289 To put the amount of artificial freshwater enhancement used in our experiments and those of
290 others in context, we first examined the sources of fresh water to the Southern Ocean from P-E
291 falling on the Antarctic continent and the Southern Ocean in the CMIP5 ensemble (Taylor et al.
292 2012) (<http://cmip-pcmdi.llnl.gov/cmip5/>) and in MERRA (Modern Era Retrospective Analysis
293 for Research and Applications) and ERA (ECMWF Reanalysis) reanalyses. Recall that on the
294 continent P-E is approximately equal to the amount of meltwater from the continent, which is the
295 sole source of fresh water in the models (see Fig. 1c). On the Southern Ocean, P-E either adds

296 fresh water directly to the ocean surface, or it accumulates on sea ice, and subsequently melts
297 some time later.

298 To calculate P-E on Antarctica for CMIP5 models we summed over grid cells using the land
299 masks from individual models. For the Southern Ocean, P-E was summed over all grid cells south
300 of 50° S, then the values for the continent were subtracted to leave the total for the ocean.

301 Over the Southern Ocean, P-E on average from 1994-2013 was 21,000 Gt yr⁻¹ from the
302 MERRA reanalysis and 27,700 Gt yr⁻¹ from the ERA-interim reanalysis. The CMIP5 models
303 have an across-model ensemble mean of 23,108 Gt yr⁻¹ and a standard deviation of 2667 Gt yr⁻¹
304 (Fig. 5a). Over Antarctica, P-E over the same period is an order of magnitude smaller; it is 2480
305 Gt/yr from the MERRA reanalysis and 2580 Gt/yr from the ERA-interim reanalysis. The across
306 model mean for CMIP5 models for that period was 2608 Gt yr⁻¹ with a standard deviation of
307 538 Gt yr⁻¹ (Fig. 5b). If Antarctica's ice sheets and shelves were in mass balance, the meltwater
308 from Antarctica (mainly from basal melt and iceberg calving) would equal P-E falling over Antarc-
309 tica averaged over a few decades. Thus the mean combined freshwater input to the Southern Ocean
310 from P-E and Antarctic meltwater in CMIP5 models is about 25,700 Gt yr⁻¹. Further, the change
311 in P-E since pre-industrial times over the Southern Ocean and Antarctic continent combined in
312 CMIP5 models, taken as the difference between the average over 1994-2013 and the average over
313 1861-1890, is 2595 Gt yr⁻¹, with a standard deviation of 1409 Gt yr⁻¹ (Fig. 5c). The contribu-
314 tion to the increase in P-E from over Antarctica alone in CMIP5 models is 623 Gt yr⁻¹, which
315 lies above the wide-ranging estimates of the total present mass imbalance of Antarctic ice from
316 observations (roughly 119-544 Gt yr⁻¹).

317 In summary, the largest source of fresh water to the Southern Ocean is the P-E falling directly
318 onto the ocean. The P-E, and hence runoff (see Equation 4), from the Antarctic continent is an
319 order of magnitude smaller, and is coincidentally of similar magnitude to the increase in P-E

320 falling on the Southern Ocean since pre-industrial times and the largest of our artificial freshwater
321 enhancement experiments (3000 Gt yr^{-1}).

322 *b. Ocean Response Difference Between Interior and Surface Freshening*

323 The freshwater input scenario in our experiments is quite different for the surface and interior
324 cases (see Fig. 3). When fresh water is injected in the interior, it enters exclusively at the Antarctic
325 coast, while at the surface it is introduced over a much wider area. In this section we present the
326 ocean response to freshwater enhancement and describe the extent to which the point of origin of
327 the freshening influences the results. We compare only the response of the ensemble means of sur-
328 face freshwater experiments with $\geq 2000 \text{ Gt yr}^{-1}$ freshwater enhancement and the 2000 Gt yr^{-1}
329 interior freshwater experiments. With regard to the other experiments, the ocean response ap-
330 pears to be roughly linear in the magnitude of freshwater input, though the response to adding just
331 167 Gt yr^{-1} was not significant.

332 Examining all experiments with $\geq 2000 \text{ Gt yr}^{-1}$ freshwater enhancement, it takes only a few
333 years after we begin to artificially add fresh water in 1980 before the upper ocean salinity decreases
334 substantially south of about 40° S (Fig. 6) (Here and henceforth we compare our experiments to
335 the 30-member ensemble mean of the LENS at an equivalent point in time). The response within
336 the mixed layer, which is from the surface to $\sim 100 \text{ m}$ depth, between $\sim 40 - 75^\circ \text{ S}$ shows little
337 evidence of the point of origin of the freshening. The stabilizing effect of the desalination extends
338 year round to the northernmost reach of the sea ice cover.

339 The increased stratification of the water column inhibits sinking near the coast of Antarctica
340 and upwelling further north in the Southern Ocean. The resulting weaker meridional overturning
341 circulation reduces the exchange of heat between the intermediate depth ocean and the surface
342 mixed layer. The temperature response shows upper ocean cooling over a large domain, except

343 for patches of warming below about 100 m south of $\sim 60^\circ$ S (Fig. 7). The maximum cooling in
 344 the zonal mean is over 0.5°C at the surface just beyond the winter sea ice extent. The sea surface
 345 temperature response is nearly the same for the surface and interior freshwater experiments. The
 346 temperature response at depth differs more between freshwater forcing scenarios. The coastal
 347 subsurface warming results from a reduction in sinking of cold continental shelf waters, while
 348 the subsurface warming at $\sim 70^\circ$ S results from a reduction in upwelling in the vicinity of a
 349 temperature inversion (i.e., the ocean is warmer below the mixed layer at $\sim 70^\circ$ S).

350 Because the interior freshwater experiments concentrate the freshwater flux near the coast of
 351 Antarctica, the coastal subsurface warming is greater, while the maximum warming in the surface
 352 freshwater experiments is at $\sim 70^\circ$ S. The apparent greater magnitude of warming in the interior
 353 freshwater experiments may be because signals are concentrated on smaller latitude circles at high
 354 southern latitudes.

355 We diagnose the cooling rate by this mechanism in an analysis similar to that used by Fer-
 356 reira et al. (2015). A key component of the temperature tendency ($\partial T/\partial t$) is from advection by
 357 the residual mean vertical upwelling rate (w_{res}) and the sum of the Eulerian and parameterized
 358 eddy-induced vertical velocities acting on the mean vertical temperature gradient ($\partial\bar{T}/\partial z$). The
 359 reduction in upwelling results in an advective tendency response from the residual mean upwelling
 360 anomaly acting on the temperature gradient from the mean-state:

$$\frac{\partial\Delta T}{\partial t} \approx -\Delta w_{\text{res}} \frac{\partial\bar{T}}{\partial z}. \quad (5)$$

361 where Δ indicates an anomaly. The expression in Eq. 5 does not include a vertical velocity gradient
 362 term which Ferreira et al. (2015) have demonstrated is of second-order importance.

363 Figure 8 shows the advective temperature tendency response (using Eq. 5 with $\partial\bar{T}/\partial z$ from the
 364 ensemble mean of the LENS) in our experiments. There is predominantly a cooling tendency in the

365 Southern Ocean at 100-200 m depth, which is evidence of the reduction in upwelling of warmer
366 water from below. There is no clear dependence in the response of the advective temperature
367 tendency on whether the freshwater is injected in the interior or added at the surface (see Fig. 8).

368 It is interesting to note that the most negative temperature tendencies by advection in Figure
369 8 appear far to the south of greatest cooling in Figure 7. We attribute this disparity to the fact
370 that we have only examined the response to vertical advection. Heat transport occurs mainly
371 along isopycnals, which are more horizontal in mid-latitudes. An anomalous northward ocean
372 heat transport was found in support of this explanation (not shown).

373 An interesting result of our freshwater enhancement experiments is that fresh water added at the
374 surface tends to reduce the mixed layer depth relative to the LENS mean at most times of the year,
375 while the interior freshwater enhancement caused the mixed layer to become deeper as shown in
376 Figure 9. As seen in Figure 4, when injected in the interior, most of the fresh water enters at the
377 base of the mixed-layer. Since the density of the water is dominated by the salinity, the fresh water
378 is buoyant, which drives convective overturning and deepens the mixed layer.

379 In summary, injecting fresh water at depth does drive greater mixing, which significantly deep-
380 ens the mixed layer (see Fig. 9) and leads to a greater reduction in salinity at 100-200 m depth
381 at the Antarctic coast. Nonetheless, to a large extent the upper ocean salinity and temperature re-
382 sponse is independent of the two methods we employed for adding fresh water, especially in ways
383 that are likely to be important to the sea ice cover.

384 *c. Sea Ice Response to Artificial Freshwater Enhancement*

385 Given the weak sensitivity of the surface ocean to the depth of freshwater injection, it is not
386 surprising that the trend in sea ice area is also insensitive to the method by which we added fresh
387 water. However, the response in the 1994-2013 annual mean of the total sea ice area does depend

388 on the amount of fresh water input. After a 5-10 year adjustment period from the start of freshwater
389 enhancement, only the total area in those cases enhanced by 2000 Gt yr^{-1} or more (SFW2000A, C,
390 and D; IFW2000A and B; and SFW3000A) lie outside the spread of the LENS members (see Fig.
391 10). In each of these cases the total area is significantly larger than the distribution of the LENS
392 in the last 20 years of the experiments (1994-2013) in every season. From 1994-2013 the total
393 sea ice area in the ensemble mean of the $\geq 2000 \text{ Gt yr}^{-1}$ freshwater enhancement cases compared
394 to the LENS mean is significantly larger in winter and spring (by about a factor of two) than in
395 summer and autumn. The response in the magnitude of sea ice area for the IFW167A experiment
396 stays well within the range of the LENS.

397 Figure 11 shows the slope of a linear fit to the timeseries of seasonal mean sea ice area for
398 each of our artificial freshwater enhancement experiments. These are plotted on a histogram of the
399 slopes of a linear fit to each of the members of the LENS for the period 1994-2013. We see that the
400 trends for all of the experiments fall well within the range of the ensemble trends. This suggests
401 that the introduction of large artificial freshwater enhancement causes no significant change in the
402 trend in seasonal mean sea ice area.

403 In the trend analysis just described, we eliminate the first six years of our experiments because
404 during this time the sea ice in some of our experiments undergoes a rapid expansion before level-
405 ling off. We repeated our analysis for a range of different start and end dates with a period length
406 of at least 20 years, and found the results were unchanged.

407 Figure 12 shows spatial maps of the sea ice trend in the freshwater enhancement experiments
408 branched from LENS run A (see Fig. 2) and for the ensemble mean of $\geq 2000 \text{ Gt yr}^{-1}$ enhance-
409 ment experiments compared to the LENS mean in individual seasons. In agreement with the trends
410 in total area response in Figure 11, there is no consistent spatial pattern in the trend response among
411 the individual experiments in Figure 12. Many anomalies persist over the seasonal cycle, which is

412 expected because sea ice concentration anomalies exhibit persistence and re-emergence for up to
413 about a year (e.g. Holland et al. 2013). When averaged over a number of runs, these anomalies are
414 removed.

415 **5. Discussion**

416 The freshwater inputs over the Southern Ocean in the CMIP5 ensemble (see Fig. 5), which
417 includes P-E that falls directly into the ocean and that which falls on Antarctica and generally
418 becomes meltwater input to the Southern Ocean according to Eq. 4, give a useful benchmark
419 with which to compare our freshwater forcings, and those used in previous studies. It is also
420 reassuring that these estimates agree well with the values obtained from the reanalyses. At most
421 we are adding around 10% on top of the net amount of fresh water already received by the Southern
422 Ocean from P-E. Our most aggressive freshwater forcings are of a similar magnitude (i.e. $\sim 100\%$)
423 to the amount of additional P-E entering the Southern Ocean at present compared to pre-industrial
424 values in CMIP5 models. In contrast, the previous studies of Bintanja et al. (2013, 2015) and Swart
425 and Fyfe (2013) add at most 1%, 0.5% and 3% to the fresh water from P-E that is received by the
426 Southern Ocean. Importantly, in the latter two studies, the freshwater inputs were added to models
427 forced with 20th and 21st century scenarios, which therefore already have substantial increases in
428 P-E compared to the pre-industrial period. Relative to this increase in P-E, the enhancement was
429 at most about 5% in Bintanja et al. (2015) and 30% in Swart and Fyfe (2013).

430 In response to artificially adding fresh water in our model, the upper 100-200 m freshens and
431 the upper 100 m cools south of about 65° S. The same near surface response is described by other
432 recent studies (Bintanja et al. 2013; Swart and Fyfe 2013, suppl.). The peak surface cooling in
433 the zonal mean in Bintanja et al. (2013) is within the winter sea ice covered region about 65° S,
434 while in our study it is shifted north by about 5° of latitude, which is nearly always beyond the sea

435 ice cover. Even larger differences in the pattern of subsurface temperature prevail among recent
436 studies (including ours). In Bintanja et al. (2013), the peak warming in the zonal mean occurs at
437 about 42° S at ~ 300 m depth. In the other two studies (including ours), the peak warming in the
438 zonal mean occurs south of 65° S at a similar depth.

439 It has been shown (Fig. 9) that the mixed layer response depends upon whether fresh water
440 is added at the surface or interior, while sea ice and vertical advection do not. We suggest the
441 dominant mechanism that limits the sea ice is the increased stratification of the ocean, where
442 the density difference between the surface mixed layer and the ocean immediately below it is
443 increased, inhibiting vertical transport of heat to the surface. Using salinity as a proxy for density,
444 we see very little difference in this response between the two experiments (Fig. 6). We conclude
445 that the behavior of the mixed layer depth, while interesting, does not determine the response in
446 sea ice area.

447 Even though our model freshens and cools in the upper Southern Ocean, we see clearly in Figure
448 11 that the trends in all of our artificial freshwater enhancement experiments fall well within
449 the range of the trends of the LENS members (which had no artificial freshwater forcing). This
450 suggests that even a very large artificial freshwater enhancement introduced at a constant annual
451 mean rate is not sufficient to reverse the model's trend in sea ice area over the last 34 years.

452 Although our artificial freshwater enhancement does not cause the sea ice to expand over time,
453 our integrations do have a substantial ocean and 1994-2013 annual mean total sea ice area magni-
454 tude response. The sea ice total area is about 1 million square kilometers greater than in the LENS,
455 and the sea surface temperature is cooler by as much as 0.5 °C in the zonal mean. Interestingly, the
456 IFW167A experiment, with a freshwater input that lies within our calculated range of estimates of
457 total Antarctic ice mass imbalance has no significant effect on the sea ice area trend or magnitude.

458 The sea ice response in our experiments is more consistent with Swart and Fyfe (2013). When
459 we added an amount of fresh water of a similar magnitude to theirs, we found no significant
460 response in the sea ice total area in any season. We had to more than double the amount of fresh
461 water used by Swart and Fyfe (2013) before the sea ice total area response was significant. Our
462 results also agree with those of Zunz and Goosse (2015), who concluded that while freshwater
463 input from melting plays some role in determining sea ice area, it appears not to be the dominant
464 mechanism.

465 In contrast Bintanja et al. (2013, 2015) had a significant response from an order of magnitude
466 less freshwater forcing than was used in the artificial freshwater enhancement experiments in our
467 model. In our experiments that have a significant sea ice response the salinity response in the
468 mixed-layer also appears to be about 5-10 times greater than that of Bintanja et al. (2013). If we
469 assume that no error was made in the estimate of freshwater inputs by Bintanja et al. or us, then it is
470 difficult to understand why such a small freshwater enhancement had such a dramatic effect in the
471 simulations presented in Bintanja et al. (2013, 2015). Our evaluation of the freshwater inputs into
472 the Southern Ocean in Figure 5 gives no indication why the results should differ so dramatically
473 since our model (CESM1-CAM5) and the model used by Bintanja et al. (EC-EARTH) are similar
474 and both are in line with other CMIP5 models. We can only assume that the water column in
475 the EC-EARTH model is weakly stratified so that the addition of a very small surface freshwater
476 forcing is enough to cause significant surface cooling and thus reverse the trend in sea ice area.

477 **6. Conclusions**

478 We have investigated the hypothesis that recent freshening of the Southern Ocean might be the
479 cause of recent Antarctic sea ice expansion. This mechanism has received attention in part because
480 it involves meltwater from ice shelves and icebergs, which are not treated in GCMs, and therefore

481 could be the missing mechanisms responsible for discrepancy in sea ice behavior in CMIP5 models
482 and observations.

483 We began with an analysis of sources of fresh water that are included in CMIP5 from P-E
484 over the Southern Ocean and Antarctica. Given the simplifications to the surface mass balance
485 of Antarctica in CMIP5 models, P-E falling on Antarctica is roughly equal to the source of fresh
486 water from Antarctica that reaches the Southern Ocean in CMIP5 models. We found P-E directly
487 falling on the Southern Ocean is about an order of magnitude higher than the P-E that falls on
488 Antarctica. Further, the *increase* (at present day relative to pre-industrial) in this freshwater source
489 to the Southern Ocean in CMIP5 models is 2608 Gt yr^{-1} on average. Thus the increase in fresh
490 water that has been accounted for in CMIP5 models is roughly 5-22 times larger than the sum
491 of current estimates of the missing sources in CMIP5 models from the mass imbalance of the
492 grounded ice sheet (-31 to -256 Gt yr^{-1}) and the ice shelves (-88 to -288 Gt yr^{-1}).

493 There are disagreements in the sensitivity of models to the missing freshwater sources from
494 Antarctica among recent studies that have introduced artificial freshwater enhancements to the
495 Southern Ocean. We not only explored the sensitivity in another model, but we ask how much
496 freshening is needed to produce a significant response. We introduced freshwater enhancements
497 to the Southern Ocean in the CESM1-CAM5 model that ranged from 167 to 3000 Gt yr^{-1} , which
498 at the high end is much larger than observational estimates suggest is reasonable. Freshwater
499 input within the range of estimates of combined Antarctic ice sheet/ice shelf imbalance caused
500 no significant effect on either the annual mean sea ice area magnitude or trend. In response to
501 larger freshwater enhancement ($\geq 2000 \text{ Gt yr}^{-1}$), after an initial rapid adjustment, the sea ice
502 area remained elevated by at most about 1 million square kilometers compared to integrations
503 without freshwater enhancement. Despite the large freshwater input, the forcing we introduced
504 was not sufficient to alter the trend in our model's annual mean sea ice area after the initial rapid

505 adjustment. Our weak response in sea ice area to this large forcing suggests a constant annual
506 mean freshwater input is not wholly responsible for the observed increase in sea ice area over
507 recent decades.

508 In addition to investigating the amount of fresh water needed to produce a significant sea ice
509 response, we also explored whether the response depended on whether the fresh water was dis-
510 tributed as if all the meltwater was from iceberg melt, or all from ice shelf basal melt. We antici-
511 pated that adding fresh water at depth might drive mixing that would compete with the ability of
512 the fresh water to stratify the upper ocean. We found that injecting water at the depth of the front
513 of ice shelf around Antarctica caused the ocean mixed-layer to deepen, while adding fresh water
514 at the surface caused the mixed-layer to shoal. However, the overall response of the ocean and sea
515 ice is not very sensitive to the difference, indicating that the likely mechanism by which Antarctica
516 loses mass now and in the future will not affect the sea ice response.

517 A limitation of our experiments at depth is that we introduce fresh water at a constant rate in time
518 over the length of the experiments, which is almost certainly not the case in nature. At present little
519 is known about the seasonality of meltwater from ice shelf melt, and the sensitivity of response to
520 the time of freshwater input could be a useful area of future work.

521 The inconsistent response to artificial freshwater enhancement among different modelling stud-
522 ies suggests important mechanisms in the interaction between the ocean and sea ice are being
523 misrepresented in models. An investigation into these interactions in models is needed to account
524 for this discrepancy in response. A comparison of CMIP5 model response to freshwater enhance-
525 ment has been suggested by Bintanja et al. (2015), and seems a crucial step in identifying the
526 source of discrepancy between models and observations, and between models themselves.

527 *Acknowledgments.* We thank three anonymous reviewers whose detailed and thoughtful com-
528 ments helped greatly in preparing the manuscript. We thank Dr. Torge Martin for providing the
529 iceberg melt distributions from his earlier study and for helpful discussions. CMB gratefully ac-
530 knowledges receiving a Fulbright US Senior Scholar award along with the support of Fulbright
531 New Zealand, and funding from the National Science Foundation through grant PLR-1341497.
532 PJJ and IJS were supported through University of Otago Research Grant 111030 and two sub-
533 contracts to NIWA: one for the Ministry of Business, Innovation, and Employment funded project
534 “Climatic variability in the Ross Sea region of Antarctica and its potential influences on the ma-
535 rine ecosystem” (C01X1226), and one from Crown Research Institute core funding. The author(s)
536 wish to acknowledge the contribution of NeSI high-performance computing facilities to the re-
537 sults of this research. NZ’s national facilities are provided by the NZ eScience Infrastructure and
538 funded jointly by NeSI’s collaborator institutions and through the Ministry of Business, Innova-
539 tion & Employment’s Research Infrastructure programme. We thank Peter Maxwell in particular
540 for his assistance in running CESM1-CAM5 on the cluster. We would like to acknowledge high-
541 performance computing support from Yellowstone (ark:/85065/d7wd3xhc) provided by NCAR’s
542 Computational and Information Systems Laboratory, sponsored by the National Science Founda-
543 tion. AGP’s initial involvement in the project was supported by a University of Otago Summer
544 Scholarship from the Polar Environments Research Theme, and his continued involvement through
545 a Kelly Tarlton’s Antarctic Scholarship (awarded through the Antarctica New Zealand Postgrad-
546 uate Research Scholarships Programme). We acknowledge the CESM1(CAM5) Large Ensemble
547 Community Project, and the World Climate Research Programme’s Working Group on Coupled
548 Modelling, which is responsible for CMIP. We thank the climate modelling groups (shown in
549 Fig. 5 of this paper) for producing and making available their model output. For CMIP the U.S.
550 Department of Energy’s Program for Climate Model Diagnosis and Intercomparison provides co-

551 ordinating support and led development of software infrastructure in partnership with the Global
552 Organization for Earth System Science Portals.

553 **References**

554 Aiken, C. M., and M. H. England, 2008: Sensitivity of the present-day climate to freshwa-
555 ter forcing associated with Antarctic sea ice loss. *J. Climate*, **21**, 3936–3946, doi:10.1175/
556 2007JCLI1901.1.

557 Barletta, V. R., L. S. Sorensen, and R. Forsberg, 2013: Scatter of mass changes estimates
558 at basin scale for Greenland and Antarctica. *Cryosphere*, **7 (5)**, 1411–1432, doi:10.5194/
559 tc-7-1411-2013.

560 Beckmann, A., and H. Goosse, 2003: A parametrization of ice shelf-ocean interaction for climate
561 models. *Ocean Modelling*, **5 (2)**, 157–170, doi:10.1016/S1463-5003(02)00019-7.

562 Bintanja, R., G. J. van Oldenborgh, S. S. Drijfhout, B. Wouters, and C. A. Katsman, 2013: Impor-
563 tant role for ocean warming and increased ice-shelf melt in Antarctic sea ice expansion. *Nature*
564 *Geoscience*, **6 (5)**, 376–379, doi:10.1038/ngeo1767.

565 Bintanja, R., G. J. van Oldenbrough, and C. A. Katsman, 2015: The effect of increased fresh water
566 from Antarctic ice shelves on future trends in Antarctic sea ice. *Ann. Glaciol.*, **56 (69)**, 120–126,
567 doi:10.3189/2015AoG69A001.

568 Depoorter, M. A., J. L. Bamber, J. A. Griggs, J. T. M. Lenaerts, S. R. M. Ligtenburg, M. R.
569 van den Broeke, and G. Moholdt, 2013: Calving fluxes and basal melt rates of antarctic ice
570 shelves. *Nature*, **502 (7469)**, 89–92, doi:10.1038/nature12567.

571 Ding, Q. H., E. J. Steig, D. S. Battisti, and M. Kuttel, 2011: Winter warming in West Antarctica
572 caused by central tropical Pacific warming. *Nature Geoscience*, **4** (4), 398–403, doi:10.1038/
573 NGE01129.

574 Eisenman, I., W. N. Meier, and J. R. Norris, 2014: A spurious jump in the satellite record:
575 has Antarctic sea ice expansion been overestimated? *Cryosphere*, **8**, 1289–1296, doi:10.5194/
576 tc-8-1289-2014.

577 Fan, T., C. Deser, and D. P. Schneider, 2014: Recent Antarctic sea ice trends in the context of
578 Southern Ocean surface climate variations since 1950. *Geophys. Res. Lett.*, **41**, 2419–2426,
579 doi:10.1002/2014GL059239.

580 Ferreira, D., J. Marshall, C. M. Bitz, S. Solomon, and A. Plumb, 2015: Antarctic ocean and sea
581 ice response to ozone depletion: A two-time-scale problem. *J. Climate*, **28** (3), 1206–1226,
582 doi:10.1175/JCLI-D-14-00313.1.

583 Flato, G., and Coauthors, 2013: Evaluation of Climate Models. *Climate Change 2013: The Phys-*
584 *ical Science Basis. Contributions of Working Group I to the Fifth Assessment Report of the*
585 *Intergovernmental Panel on Climate Change*, T. F. Stocker, D. Qin, D.-K. Plattner, M. Tig-
586 nor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, and P. M. Midgley, Eds., Cambridge
587 University Press.

588 Gille, S. T., 2008: Decadal-scale temperature trends in the southern hemisphere ocean. *J. Climate*,
589 **21** (18), 4749–4765, doi:10.1175/2008JCL12131.1.

590 Goosse, H., and V. Zunz, 2014: Decadal trends in the Antarctic sea ice extent ultimately controlled
591 by ice-ocean feedback. *Cryosphere*, **8**, 453–470, doi:10.5194/tc-8-453-2014.

- 592 Hellmer, H. H., 2004: Impact of Antarctic ice shelf basal melting on sea ice and deep ocean
593 properties. *Geophysical Research Letters*, **31** (10), L10 307, doi:10.1029/2004gl019506.
- 594 Holland, M. M., E. Blanchard-Wrigglesworth, J. Kay, and S. Vavrus, 2013: Initial-value pre-
595 dictability of Antarctic sea ice in the Community Climate System Model 3. *Geophys. Res. Lett.*,
596 **40** (10), 2121–2124, doi:10.1002/grl.50410.
- 597 Holland, P. R., N. Bruneau, C. Enright, M. Losch, N. T. Kurtz, and R. Kwok, 2014: Modeled trends
598 in Antarctic sea ice thickness. *J. Clim.*, **27** (10), 3784–3801, doi:10.1175/JCLI-D-13-00301.1.
- 599 Holland, P. R., and R. Kwok, 2012: Wind-driven trends in Antarctic sea ice drift. *Nature Geo-*
600 *science*, **5** (12), 872–875, doi:10.1038/NGEO1627.
- 601 Hurrell, J. W., and Coauthors, 2013: The Community Earth System Model: A Frame-
602 work for Collaborative Research. *Bull. Amer. Meteor. Soc.*, **94**, 1339–1360, doi:10.1175/
603 BAMS-D-12-00121.1.
- 604 Jacobs, S. S., A. Jenkins, C. F. Giulivi, and P. Dutrieux, 2011: Stronger ocean circulation and
605 increased melting under Pine Island Glacier ice shelf. *Nature Geoscience*, **4** (8), 519–523, doi:
606 10.1038/NGEO1188.
- 607 Jongma, J. I., E. Driesschaert, T. Fichefet, H. Goosse, and H. Renssen, 2009: The effect of
608 dynamic-thermodynamic icebergs on the Southern Ocean in a three-dimensional model. *Ocean*
609 *Modelling*, **26** (1-2), 104–113, doi:10.1016/j.ocemod.2008.09.007.
- 610 Kay, J. E., and Coauthors, 2015: The Community Earth System Model (CESM) Large Ensemble
611 Project: A Community Resource for Studying Climate Change in the Presence of Internal Cli-
612 mate Variability. *Bull. Amer. Met. Soc.*, doi:10.1175/BAMS-D-13-00255.1.

613 King, M. A., R. J. Bingham, P. Moore, P. L. Whitehouse, M. J. Bentley, and G. A. Milne, 2012:
614 Lower satellite-gravimetry estimates of Antarctic sea-level contribution. *Nature*, **491 (7425)**,
615 doi:10.1038/nature11621.

616 Li, X. C., D. M. Holland, E. P. Gerber, and C. Yoo, 2014: Impacts of the north and tropical
617 Atlantic Ocean on the Antarctic Peninsula and sea ice. *Nature*, **505 (7484)**, 538–542, doi:10.
618 1038/nature12945.

619 Liston, G. E., and J.-G. Winther, 2005: Antarctic surface and subsurface snow and ice melt fluxes.
620 *J. Climate*, **18 (10)**, 1469–1481, doi:10.1175/JCLI3344.1.

621 Maksym, T., S. E. Stammerjohn, S. Ackley, and R. Massom, 2012: Antarctic sea ice - A polar
622 opposite? *Oceanography*, **25 (3)**, 140–151, doi:10.5670/oceanog.2012.88.

623 Martin, T., and A. Adcroft, 2010: Parameterizing the fresh-water flux from landice to ocean with
624 interactive icebergs in a coupled climate model. *Ocean Modelling*, **34**, 111–124, doi:10.1016/j.
625 ocemod.2010.05.001.

626 McMillan, M., A. Shepherd, A. Sundal, K. Briggs, A. Muir, A. Ridout, A. Hogg, and D. Wingham,
627 2014: Increased ice losses from Antarctica detected by CryoSat-2. *Geophys. Res. Lett.*, **41 (11)**,
628 3899–3905, doi:10.1002/2014GL060111.

629 Oleson, K. W., and Coauthors, 2013: Technical description of version 4.5 of the Community Land
630 Model (CLM). NCAR Technical Note NCAR/TN-503+STR, National Center for Atmospheric
631 Research, Boulder, Colorado, 233 pp.

632 Paolo, F. S., H. A. Fricker, and L. Padman, 2015: Volume loss from Antarctic ice shelves is
633 accelerating. *Science*, **348 (6232)**, 327–331, doi:10.1126/science.aaa0940.

634 Polvani, L. M., and K. L. Smith, 2013: Can natural variability explain observed Antarctic sea
635 ice trends? New modelling evidence from CMIP5. *Geophys. Res. Lett.*, **40** (12), 3195–3199,
636 doi:10.1002/grl.50578.

637 Renwick, J. A., A. Kohout, and S. Dean, 2012: Atmospheric forcing of Antarctic sea ice on in-
638 traseasonal time scales. *J. Climate*, **25** (17), 5962–5975, doi:10.1175/JCLI-D-11-00423.1.

639 Rignot, E., S. Jacobs, J. Mouginot, and B. Scheuchl, 2013: Ice-shelf melting around Antarctica.
640 *Science*, **341** (6143), 266–270, doi:10.1126/science.1235798.

641 Rignot, E., I. Velicogna, M. R. van den Broeke, A. Monaghan, and J. Lenaerts, 2011: Acceleration
642 of the contribution of the Greenland and Antarctic ice sheets to sea level rise. *Geophys. Res.*
643 *Lett.*, **38**, L05503, doi:10.1029/2011GL046583.

644 Rye, C. D., A. C. N. Garabato, H. P. R., M. P. Meredith, A. J. G. Nurser, C. W. Hughes, A. C.
645 Coward, and D. J. Webb, 2014: Rapid sea-level rise along the Antarctic margins in response to
646 increased glacial discharge. *Nat. Geosci.*, **7** (10), 732–735, doi:10.1038/NGEO2230.

647 Screen, J. A., and I. Simmonds, 2010: The central role of diminishing sea ice in recent Arctic
648 temperature amplification. *Nature*, **464** (7293), 1334–1337, doi:10.1038/nature09051.

649 Shepherd, A., D. Wingham, D. Wallis, K. Giles, S. Laxon, and A. V. Sundal, 2010: Recent loss
650 of floating ice and the consequent sea level contribution. *Geophys. Res. Lett.*, **37**, L13503, doi:
651 10.1029/2010GL042496.

652 Shepherd, A., and Coauthors, 2012: A reconciled estimate of ice-sheet mass balance. *Science*,
653 **338** (6111), 1183–1189, doi:10.1126/science.1228102.

654 Stammerjohn, S. E., D. G. Martinson, R. C. Smith, X. Yuan, and D. Rind, 2008: Trends in
655 Antarctic annual sea ice retreat and advance and their relation to El Niño-Southern Oscil-

656 lation and Southern Annular Mode variability. *J. Geophys. Res. Oceans*, **113**, C03S90, doi:
657 10.1029/2007JC004269.

658 Sutterley, T. C., I. Velicogna, E. Rignot, J. Mouginot, T. Flament, M. R. van den Broeke, J. M.
659 van Wessem, and C. H. Reijmer, 2014: Mass loss of the Amundsen Sea Embayment of West
660 Antarctica from four independent techniques. *Geophys. Res. Lett.*, doi:10.1002/2014GL061940,
661 URL <http://dx.doi.org/10.1002/2014GL061940>.

662 Swart, N. C., and J. C. Fyfe, 2013: The influence of recent Antarctic ice sheet retreat on simulated
663 sea ice area trends. *Geophys. Res. Lett.*, **40** (16), 4328–4332, doi:10.1002/grl.50820.

664 Swingedouw, D., T. Fichefet, P. Huybrechts, H. Goosse, E. Driesschaert, and M.-F. Loutre, 2008:
665 Antarctic ice-sheet melting provides negative feedbacks on future climate warming. *Geophys.*
666 *Res. Lett.*, **35** (17), L17705, doi:10.1029/2008GL034410.

667 Taylor, K. E., R. J. Stouffer, and G. A. Meehl, 2012: An overview of CMIP5 and the experiment
668 design. *Bull. Amer. Meteor. Soc.*, **93**, 485–498, doi:10.1175/BAMS-D-11-00094.1.

669 Timmermann, R., and Coauthors, 2010: A consistent dataset of Antarctic ice sheet topography,
670 cavity geometry, and global bathymetry. *Earth System Science Data*, **2** (2), 261–273, doi:10.
671 5194/essd-2-261-2010.

672 Turner, J., J. S. Hosking, T. Phillips, and G. J. Marshall, 2013: Temporal and spatial evolution of
673 the Antarctic sea ice prior to the September 2012 record maximum extent. *40*, (22), 5894–5898,
674 doi:10.1002/2013GL058371.

675 Turner, J., and Coauthors, 2009: Non-annular atmospheric circulation change induced by strato-
676 spheric ozone depletion and its role in the recent increase of Antarctic sea ice extent. *J. Geophys.*
677 *Res. Lett.*, **36**, L08 502, doi:10.1029/2009GL037524.

678 Vaughan, D. G., and Coauthors, 2013: Observations: Cryosphere. *Climate Change 2013: The*
679 *Physical Science Basis, Contribution of Working Group I to the Fifth Assessment Report of the*
680 *Intergovernmental Panel on Climate Change*, T. F. Stocker, D. Qin, D.-K. Plattner, M. Tignor,
681 S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, and P. M. Midgley, Eds., Cambridge
682 University Press.

683 Velicogna, I., and J. Wahr, 2013: Time-variable gravity observations of ice sheet mass imbalance:
684 Precision and limitations of the GRACE satellite data. *Geophys. Res. Lett.*, **40** (12), 3055–3063,
685 doi:10.1002/grl.50527.

686 William, S. D. P., P. Moore, M. A. King, and P. L. Whitehouse, 2014: Revisiting GRACE Antarctic
687 ice mass trends and accelerations considering autocorrelation. *Earth and Planetary Science*
688 *Letters*, **385**, 12–21, doi:10.1016/j.epsl.2013.10.016.

689 Zunz, V., and H. Goosse, 2015: Influence of freshwater input on the skill of decadal forecast of
690 sea ice in the Southern Ocean. *Cryosphere*, **9** (2), 541–556, doi:10.5194/tc-9-541-2015.

691 Zunz, V., H. Goosse, and F. Massonet, 2013: How does internal variability influence the ability of
692 CMIP5 models to reproduce the recent trend in Southern Ocean sea ice extent. *Cryosphere*, **7**,
693 451–468, doi:10.5194/tc-7-451-2013.

694 Zwally, H. J., M. B. Giovinetto, J. Li, H. G. Cornejo, M. A. Beckley, A. C. Brenner, J. L.
695 Saba, and D. H. Yi, 2005: Mass changes of the Greenland and Antarctic ice sheets and
696 shelves and contributions to sea-level rise: 1992-2002. *J. Glaciol.*, **51** (175), 509–527, doi:
697 10.3189/172756505781829007.

698 **LIST OF TABLES**

699 **Table 1.** Summary of recent gravimetry- and altimetry-based estimates of Antarctic
700 grounded ice mass imbalance (GMI), ice shelf mass imbalance (IMI) and total
701 mass imbalance (GMI + IMI). 34

702 **Table 2.** The experiments discussed in this paper. The A to D suffix on the experiment
703 name indicates multiple ensemble members and the CESM1-CAM5 LENS
704 member counterpart as shown in Figure 2. Mask source gives the data source
705 used to construct the distribution of freshwater input. 35

Name	GMI (Gt yr ⁻¹)	IMI (Gt yr ⁻¹)	MI + IMI	Period
Zwally et al. (2005)	-31 ± 12	-	-	1992 - 2002
King et al. (2012)	-69 ± 18	-	-	2002 - 2010
Barletta et al. (2013)	-83 ± 36	-	-	2003 - 2011
Velicogna and Wahr (2013)	-83 ± 49	-	-	2003 - 2012
William et al. (2014)	-256 ± 22	-	-	2003 - 2012
McMillan et al. (2014)	-159 ± 48	-	-	2010 - 2013
	-83 ± 5	-	-	1992 - 2013
Sutterley et al. (2014)	-84 ± 10	-	-	2003 - 2009
	-102 ± 10	-	-	2003 - 2011
Shepherd et al. (2010)	-	-88 ± 47	-	1994 - 2004
Paolo et al. (2015)	-	-288 ± 69	-	2003 - 2012
Rye et al. (2014)	-	-	-350 ± 100	1992-2011

706 TABLE 1. Summary of recent gravimetry- and altimetry-based estimates of Antarctic grounded ice mass
707 imbalance (GMI), ice shelf mass imbalance (IMI) and total mass imbalance (GMI + IMI).

Expt. Name	Mass (Gt yr ⁻¹)	Mask Source
SFW1000A	1000	GFDL Iceberg Dist.
SFW2000A,C,D	2000	GFDL Iceberg Dist.
SFW3000A	3000	GFDL Iceberg Dist.
IFW167A	167	Derived from RTopo-1
IFW2000A,B	2000	Derived from RTopo-1

708 TABLE 2. The experiments discussed in this paper. The A to D suffix on the experiment name indicates
709 multiple ensemble members and the CESM1-CAM5 LENS member counterpart as shown in Figure 2. Mask
710 source gives the data source used to construct the distribution of freshwater input.

711 **LIST OF FIGURES**

712 **Fig. 1.** The components of the mass budget for: (a) the grounded ice on Antarctica, (b) the Antarctic
713 ice shelves, (c) the representation of Antarctica in CESM1-CAM5. S.W.E. denotes “snow
714 water equivalent.” 38

715 **Fig. 2.** Seasonal total sea ice area for the 30 members of the CESM1-CAM5 LENS. Our experi-
716 ments were branched from the colored trajectories. We shall refer to the member highlighted
717 in blue as run ‘A’, red as run ‘B’, pink as run ‘C’ and green as run ‘D’. The interior freshwa-
718 ter (IFW) experiments were branched from ‘A’ and ‘B’, while the surface freshwater (SFW)
719 experiments were branched from ‘A’, ‘C’, and ‘D’. A, B, C and D correspond to members
720 25, 20, 26 and 27 of the ensemble respectively. 39

721 **Fig. 3.** Maps showing the surface freshwater (SFW) (top) and interior freshwater (IFW) (bottom)
722 distributions. The surface freshwater distribution based on iceberg drift is on a logarithmic
723 scale in order to resolve input far from the coast. The interior freshwater distribution based
724 on ice shelf location shows the location of the grid cells in which the negative salinity forcing
725 was input, the color scale indicates the depth at which the forcing was input. 40

726 **Fig. 4.** Difference between the modelled mixed layer depth and the depth of freshwater input for
727 the interior freshwater experiments for each season. A positive (negative) value indicates
728 the depth of input is above (below) the mixed layer depth. 41

729 **Fig. 5.** The net precipitation (precipitation - evaporation) for a selection of the models used in the
730 CMIP5 ensemble for: (a) the Southern Ocean averaged over 1994-2013, (b) the Antarc-
731 tic Continent averaged over 1994-2013, and (c) the difference between (1994-2013) and
732 (1861-1890) over the Southern Ocean and Antarctic continent combined. The 1994 to 2013
733 averages also include the ERA and MERRA reanalyses estimates of P-E over the Southern
734 Ocean and Antarctica respectively (red). The CMIP5 model used in this study (CESM1-
735 CAM5) is highlighted in cyan. 42

736 **Fig. 6.** The response of the zonal mean salinity in the ensemble mean of SFW2000A, C, and D
737 (upper panel) and IFW2000A and B (lower panel) for 1994-2013 (all months) compared to
738 the LENS. Blue/red colors denote a decrease/increase in zonal mean salinity relative to the
739 LENS. 43

740 **Fig. 7.** The response of the zonal mean temperature in the ensemble mean of SFW2000A, C, and D
741 (upper panel) and IFW2000A and B (lower panel) for 1994-2013 (all months) compared to
742 the LENS. Blue/red colors denote a decrease/increase in zonal mean temperature relative to
743 the LENS. 44

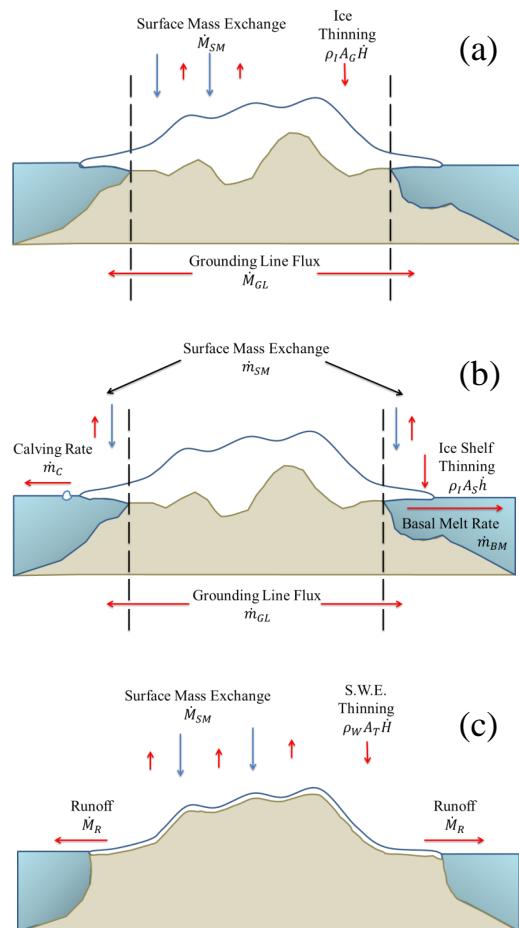
744 **Fig. 8.** The response in vertical advection for the SFW2000A, C, and D (top) and IFW2000A
745 and B experiments (bottom) calculated from Eq. 5. Positive/negative values denote an
746 increase/decrease in upwards advection. 45

747 **Fig. 9.** Seasonal mean mixed layer depth, averaged over all longitudes and all latitudes south of 60°
748 S. 46

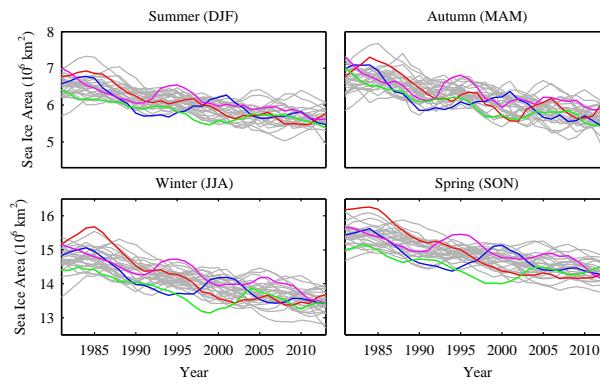
749 **Fig. 10.** The 5 year running mean total sea ice area for each of the experiments, as well as the 30
750 individual LENS members and their mean. Note the different vertical axis scale between
751 Summer/Autumn and Winter/Spring. 47

752 **Fig. 11.** Histogram of the slopes of a linear fit to the seasonal timeseries of total sea ice area for each
753 of the CESM-CAM5 LENS overlaid with the IFW2000A and B (cyan), IFW167A (dotted
754 black), SFW1000A (blue), SFW2000A, C, and D (pink), and SFW3000A (green) slopes.
755 All were calculated as linear fits to the model output over the period 1994 to 2013, to avoid
756 the transient initial response in the freshwater enhancement experiments. 48

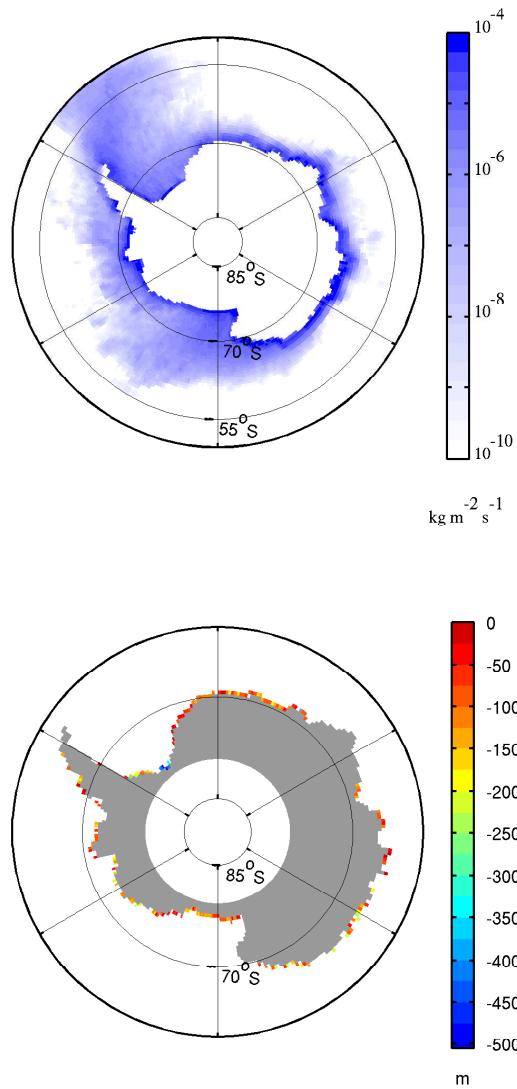
757 **Fig. 12.** The LENS mean sea ice concentration and extent for 1994-2013 (top), with the re-
758 sponse to the freshwater forcing in sea ice concentration for the SFW2000A (second row),
759 SFW3000A, (third row) and IFW2000A (fourth row) experiments. We also show the mean
760 response of all experiments with $\geq 2000 \text{ Gt yr}^{-1}$ (fifth row). The response is the slope of a
761 linear fit to the difference between the experiment and the LENS mean over the period 1994-
762 2013 of the experiments. Note the differing color scales for the top row and those below it.
763 In the lower 4 rows, blue/red colors denote a increase/decrease relative to the LENS mean. . . . 49



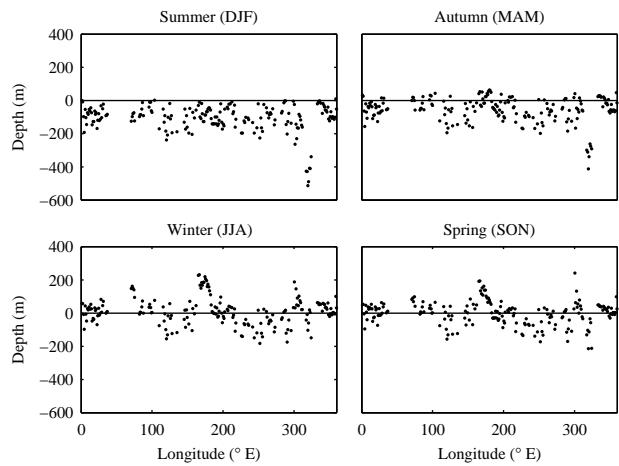
764 FIG. 1. The components of the mass budget for: (a) the grounded ice on Antarctica, (b) the Antarctic ice
 765 shelves, (c) the representation of Antarctica in CESM1-CAM5. S.W.E. denotes “snow water equivalent.”



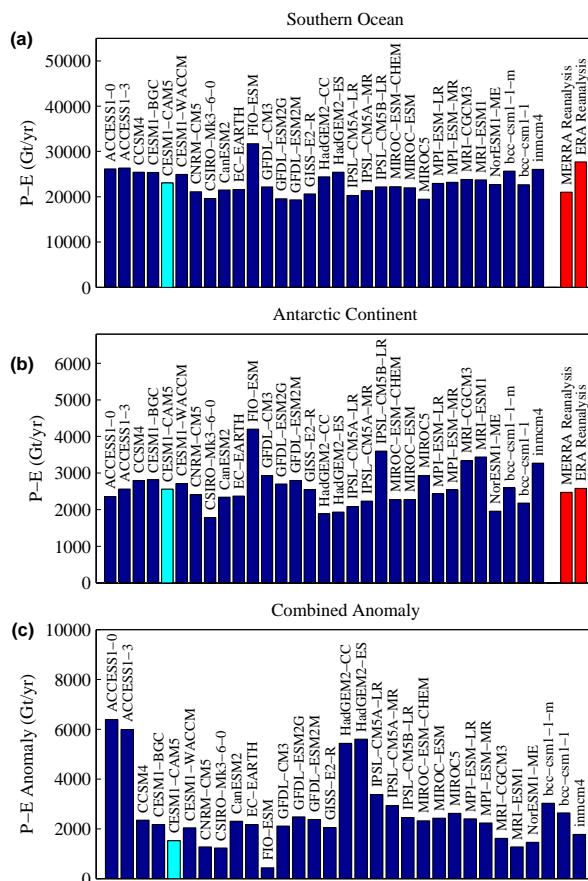
766 FIG. 2. Seasonal total sea ice area for the 30 members of the CESM1-CAM5 LENS. Our experiments were
 767 branched from the colored trajectories. We shall refer to the member highlighted in blue as run ‘A’, red as run
 768 ‘B’, pink as run ‘C’ and green as run ‘D’. The interior freshwater (IFW) experiments were branched from ‘A’
 769 and ‘B’, while the surface freshwater (SFW) experiments were branched from ‘A’, ‘C’, and ‘D’. A, B, C and D
 770 correspond to members 25, 20, 26 and 27 of the ensemble respectively.



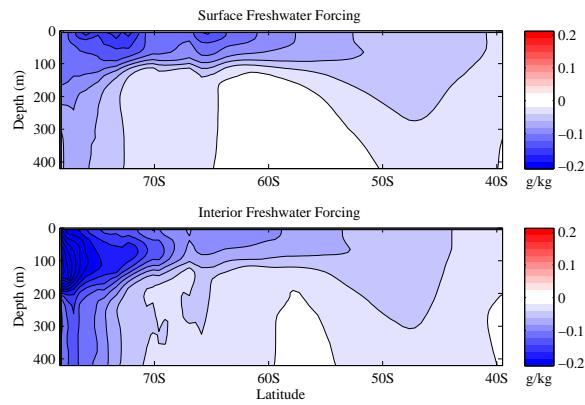
771 FIG. 3. Maps showing the surface freshwater (SFW) (top) and interior freshwater (IFW) (bottom) distribu-
 772 tions. The surface freshwater distribution based on iceberg drift is on a logarithmic scale in order to resolve
 773 input far from the coast. The interior freshwater distribution based on ice shelf location shows the location of
 774 the grid cells in which the negative salinity forcing was input, the color scale indicates the depth at which the
 775 forcing was input.



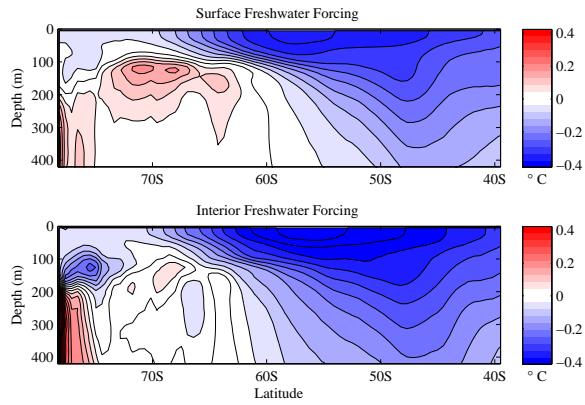
776 FIG. 4. Difference between the modelled mixed layer depth and the depth of freshwater input for the interior
 777 freshwater experiments for each season. A positive (negative) value indicates the depth of input is above (below)
 778 the mixed layer depth.



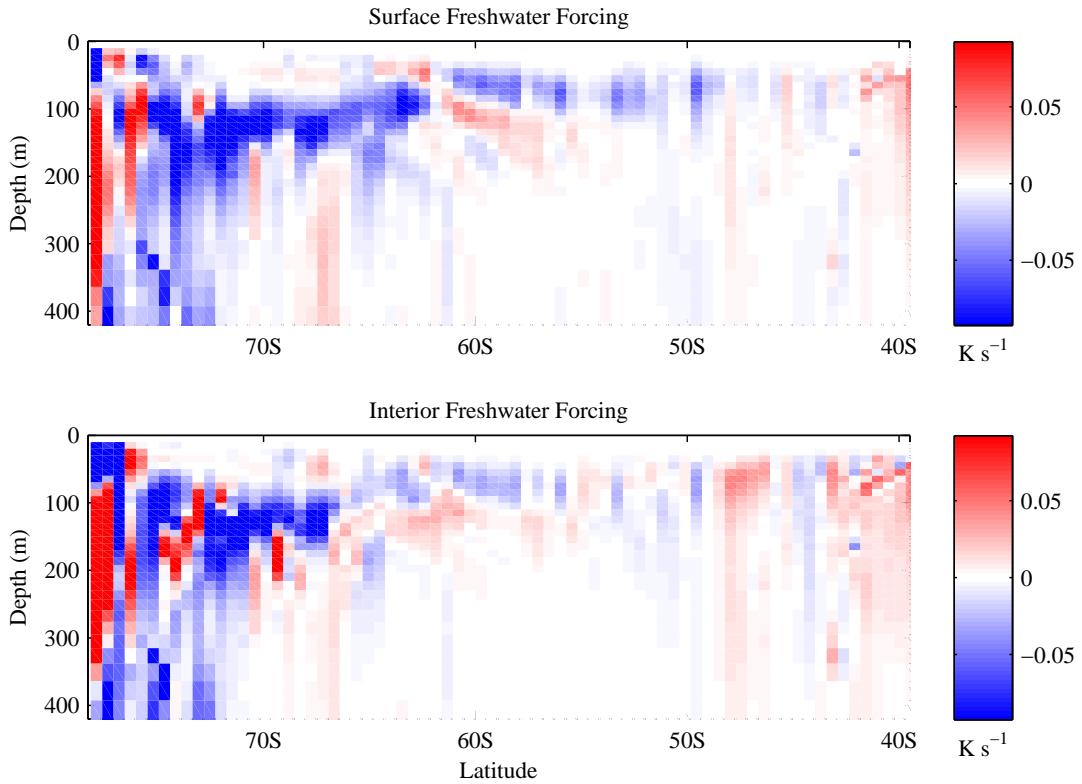
779 FIG. 5. The net precipitation (precipitation - evaporation) for a selection of the models used in the CMIP5
 780 ensemble for: (a) the Southern Ocean averaged over 1994-2013, (b) the Antarctic Continent averaged over
 781 1994-2013, and (c) the difference between (1994-2013) and (1861-1890) over the Southern Ocean and Antarctic
 782 continent combined. The 1994 to 2013 averages also include the ERA and MERRA reanalyses estimates of
 783 P-E over the Southern Ocean and Antarctica respectively (red). The CMIP5 model used in this study (CESM1-
 784 CAM5) is highlighted in cyan.



785 FIG. 6. The response of the zonal mean salinity in the ensemble mean of SFW2000A, C, and D (upper panel)
 786 and IFW2000A and B (lower panel) for 1994-2013 (all months) compared to the LENS. Blue/red colors denote
 787 a decrease/increase in zonal mean salinity relative to the LENS.



788 FIG. 7. The response of the zonal mean temperature in the ensemble mean of SFW2000A, C, and D (upper
 789 panel) and IFW2000A and B (lower panel) for 1994-2013 (all months) compared to the LENS. Blue/red colors
 790 denote a decrease/increase in zonal mean temperature relative to the LENS.



791 FIG. 8. The response in vertical advection for the SFW2000A, C, and D (top) and IFW2000A and B ex-
 792 periments (bottom) calculated from Eq. 5. Positive/negative values denote an increase/decrease in upwards
 793 advection.

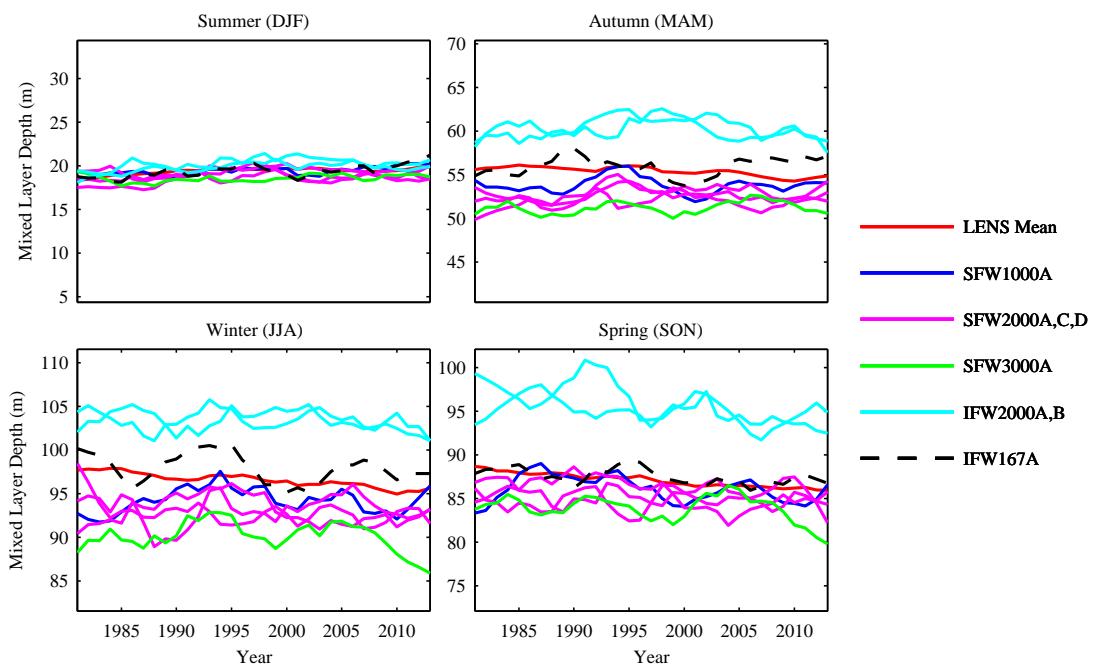
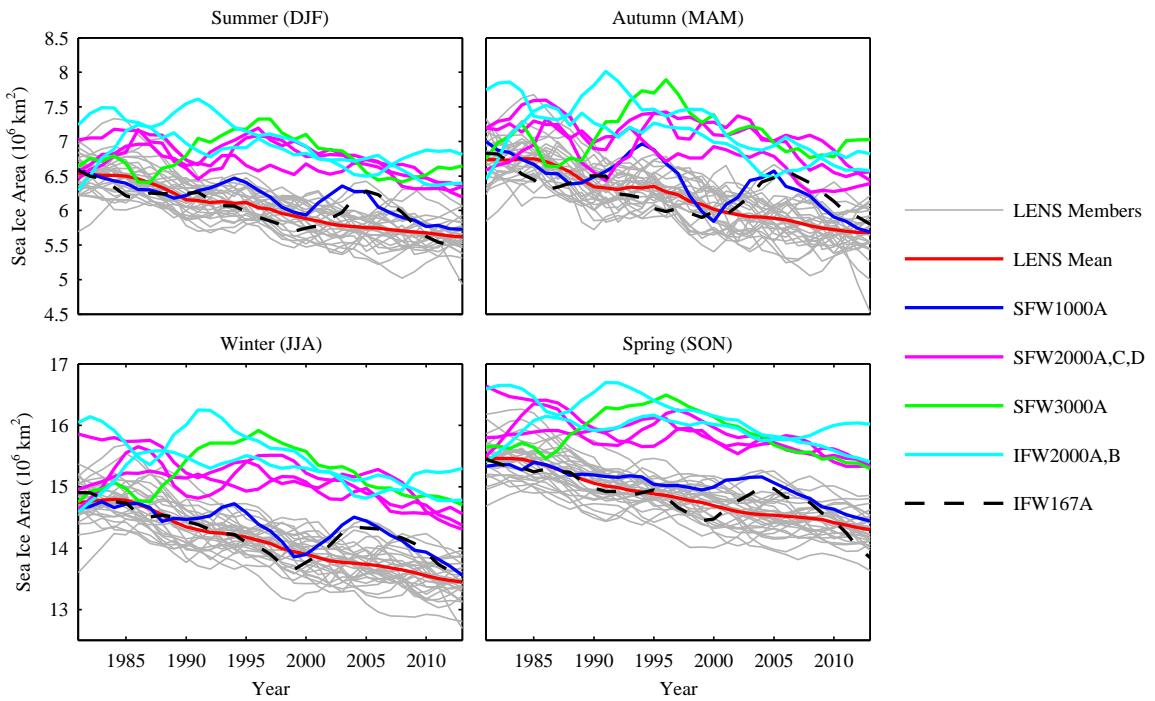
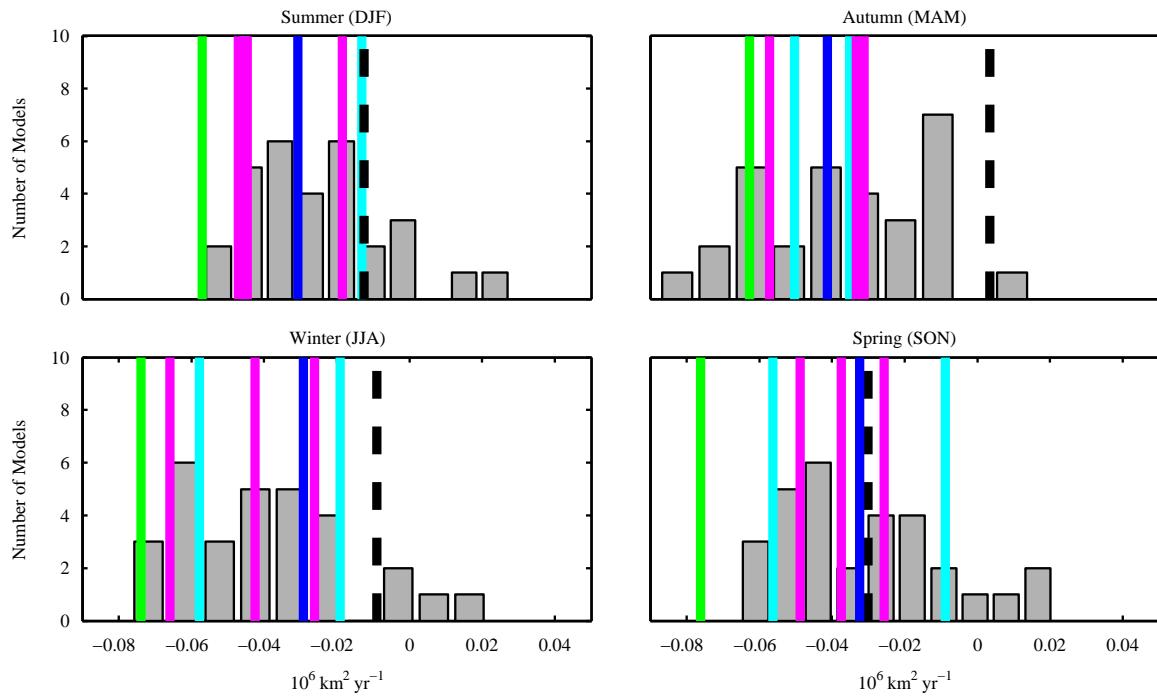


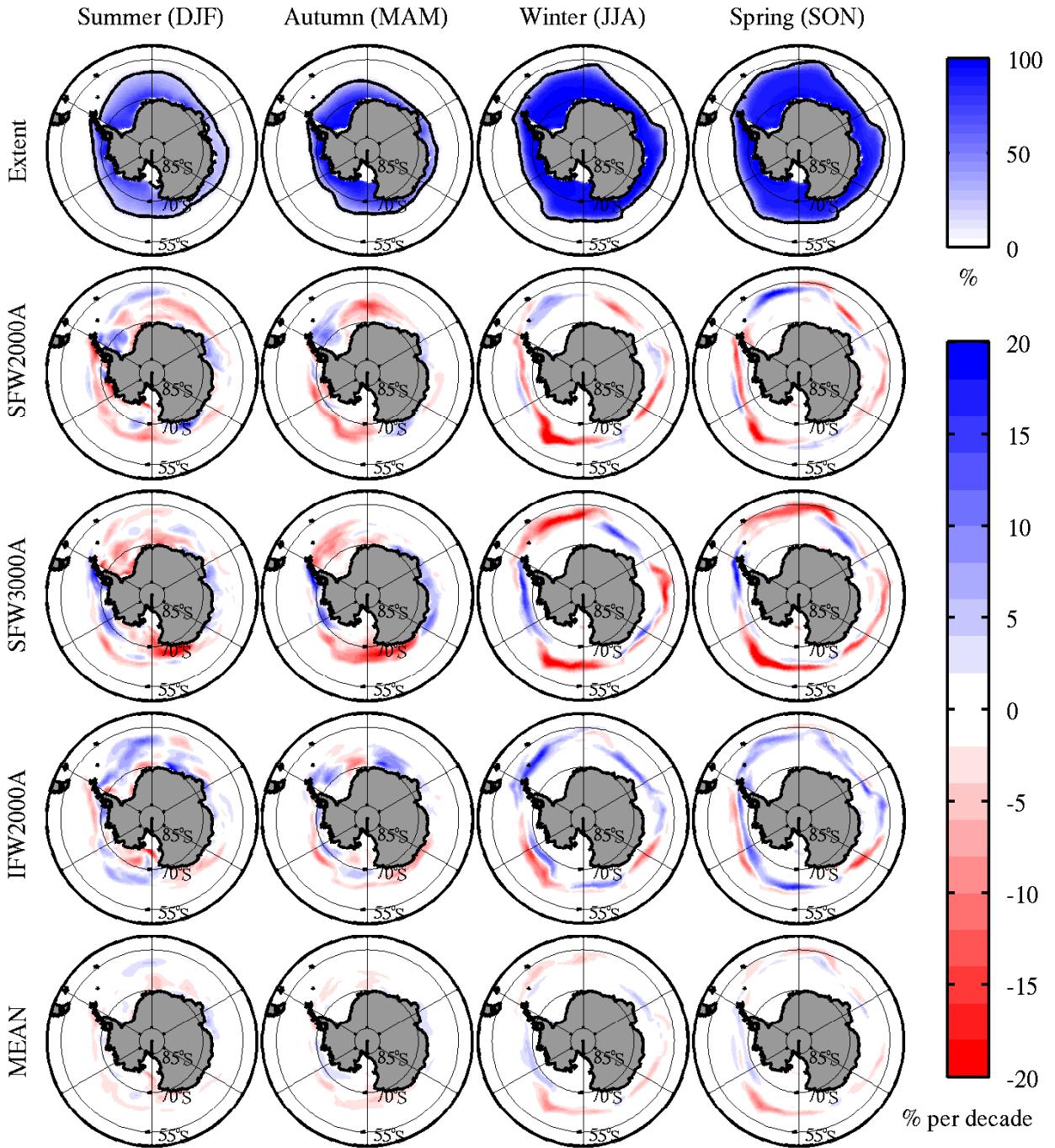
FIG. 9. Seasonal mean mixed layer depth, averaged over all longitudes and all latitudes south of 60° S.



794 FIG. 10. The 5 year running mean total sea ice area for each of the experiments, as well as the 30 indi-
 795 vidual LENS members and their mean. Note the different vertical axis scale between Summer/Autumn and
 796 Winter/Spring.



797 FIG. 11. Histogram of the slopes of a linear fit to the seasonal timeseries of total sea ice area for each of
 798 the CESM-CAM5 LENS overlaid with the IFW2000A and B (cyan), IFW167A (dotted black), SFW1000A
 799 (blue), SFW2000A, C, and D (pink), and SFW3000A (green) slopes. All were calculated as linear fits to the
 800 model output over the period 1994 to 2013, to avoid the transient initial response in the freshwater enhancement
 801 experiments.



802 FIG. 12. The LENS mean sea ice concentration and extent for 1994-2013 (top), with the response to the fresh-
 803 water forcing in sea ice concentration for the SFW2000A (second row), SFW3000A, (third row) and IFW2000A
 804 (fourth row) experiments. We also show the mean response of all experiments with $\geq 2000 \text{ Gt yr}^{-1}$ (fifth row).
 805 The response is the slope of a linear fit to the difference between the experiment and the LENS mean over the
 806 period 1994-2013 of the experiments. Note the differing color scales for the top row and those below it. In the
 807 lower 4 rows, blue/red colors denote a increase/decrease relative to the LENS mean.