1 Energetic particle precipitation into the middle atmosphere triggered by a

## 2 coronal mass ejection

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Abstract. Precipitation of relativistic electrons into the atmosphere has been suggested as the 7 primary loss mechanism for radiation belt electrons during large geomagnetic storms. Here, we 8 investigate the geographical spread of precipitation as a result of the arrival of a coronal mass 9 ejection (CME) on 21 January 2005. In contrast to previous statistical studies we provide one of 10 the first attempts to describe the geographic and temporal variability of energetic particle 11 precipitation on a global scale using an array of instruments. We combine data from 12 subionospheric VLF radio wave receivers, the high altitude MINIS balloons, riometers, and 13 pulsation magnetometers during the first hour of the event. There were three distinct types of 14 energetic electron precipitation observed, one globally, one on the dayside, and one on the 15 nightside. The most extensively observed form of precipitation was a large burst starting when 16 the CME arrived at the Earth, where electrons from the outer radiation belt were lost to the 17 atmosphere over a large region of the Earth. On the dayside of the Earth (10-15 MLT) the CME 18 produced a further series of precipitation bursts, while on the nightside dusk sector (~20 MLT) a 19 continuous precipitation event lasting  $\sim$ 50 minutes was observed at 2.5<L<3.7 along with Pc 1-2 20 pulsations observed with a ground-based magnetometer. These observations suggest the 21 generation of energetic electron precipitation at the inner edge of the outer radiation belt from 22 EMIC wave scattering into the loss cone, and is the most direct evidence to date connecting 23 EMIC activity and energetic precipitation. 24

28 1. Introduction

When a coronal mass ejection (CME) hits the Earth's magnetosphere the 29 dayside magnetopause is compressed towards the Earth. At geostationary orbit 30 increases or decreases in relativistic electron fluxes are observed [Reeves, 1998] 31 depending on the severity of the shock associated with the CME, and a complex 32 interplay between loss and acceleration processes [Horne and Thorne, 2003]. 33 Energetic electrons are believed to be lost from geostationary orbit (and the outer 34 radiation belt generally) by three possible mechanisms: (1) adiabatic motion; (2) 35 36 magnetopause encounters; and (3) precipitation into the atmosphere [Lorentzen et al., 2001; Green et al., 2004; O'Brien et al., 2004; Selesnick et al., 2006]. Adiabatic 37 motion caused by the stretching of magnetospheric field lines during a magnetic 38 39 storm, known as the  $D_{st}$  effect, has been suggested as the primary cause of the flux decreases, but does not lead to a permanent loss of electrons. However, recent work by 40 Ukhorskiy et al. [2006] has indicated that storm time intensification of the ring current 41 produces an expansion of electron drift orbits such that their paths intersect the 42 magnetopause leading to rapid electron loss. Precipitation into the atmosphere of 43 electrons driven into the bounce loss cone has also been suggested as the primary loss 44 mechanism, through interaction with electron cyclotron harmonic waves [Horne and 45 Thorne, 2000], electromagnetic ion cyclotron waves [Summers and Thorne, 2003], or 46 whistler waves [Horne and Thorne, 2003], either separately or in combination. 47 This paper describes the geographic and temporal variability of the loss of 48 electrons to the atmosphere, as a result of the CME on 21 January 2005. Relativistic 49 Electron Precipitation (REP) into the atmosphere has been observed to take several 50 forms. Clilverd et al. [2006] showed that a series of bursts of precipitation into the 51 middle atmosphere, each lasting several minutes, could be observed following the 52

53 CME of 21 January 2005. Precipitation events lasting minutes to hours have

previously been observed from the MAXIS balloon. They were observed between *L*=4-7, in the late afternoon/dusk sector, and may be produced by EMIC waves [Millan et al., 2002]. Loss rates suggest that these minute-hour events are a primary loss mechanism for outer zone relativistic electrons. During the 21 January 2005 CME event the total precipitation into the atmosphere could account for up to 50% of the >2 MeV electron flux losses in the outer radiation belt at the time [Clilverd et al., 2006].

When energetic electrons precipitate into the atmosphere they ionize the neutral 61 atmosphere constituents, changing the existing electron density altitude profiles, 62 driving chemical reactions, and generating bremsstrahlung X-rays from the 63 collisions. The altitude at which the ionization occurs is dependent on the energy of 64 the particle, with more energetic particles penetrating deeper into the atmosphere, 65 e.g., 500 keV electrons produce peak ionization rates at  $\sim$ 70 km altitude. When a 66 CME occurs in the presence of a solar proton event both precipitating electrons and 67 protons can be present at the same time. Different instruments will observe the 68 precipitation driven ionization increases in different ways. 69

Riometers (Little and Leinbach, 1959) will observe the integrated absorption of 70 cosmic radio noise through the ionosphere, with increased absorption due to 71 additional ionization due to both proton and electron precipitation. They have 72 previously been shown to respond to the effects of CMEs [Brown et al., 1961; 73 Osepian and Kirkwood, 2004]. The dominant altitude of the absorption is typically in 74 the range 70-100 km i.e., biased towards relatively soft particle energies (>30 keV 75 electrons), though significant solar proton precipitation (>10 MeV) will drive this 76 altitude lower. Subionospheric VLF radio wave receivers that receive oblique 77 incident radio waves are affected by the lowest altitude of significant ionization, thus 78 the dominant altitude is set by the highest particle energies where there are 79 significant high energy fluxes. If the electron precipitation energies are high enough 80

to penetrate lower into the atmosphere than the proton precipitation, then variations 81 in radio propagation conditions will be dominated by the electron precipitation. The 82 opposite is true if the proton energies are dominant. Balloon-borne instruments detect 83 bremsstrahlung radiation (20 keV–10 MeV) caused during energetic particle 84 collision with the neutral atmosphere. The instruments are biased towards the highest 85 energy precipitation present because the particles scatter at the lowest altitudes, and 86 are relatively insensitive to low energy precipitation particularly when high energy 87 precipitation is occurring. 88

In this study we analyze ground-based ionospheric data from mid and high 89 latitudes during the arrival of a coronal mass ejection on 21 January 2005. We 90 investigate the geographical spread of precipitation into the atmosphere as a result of 91 the shock, and attempt to identify the processes that have driven it. We combine data 92 93 from subionospheric VLF radio wave receivers, the high altitude MINIS balloons, riometers, and pulsation magnetometers to describe the geographic and temporal 94 variability of energetic particle precipitation into the middle atmosphere during the 95 first hour of the event. We particularly concentrate on describing the balloon and 96 radio wave data because of their pre-disposition to monitor the impact of relativistic 97 electron precipitation during this study period. We show that following the shock 98 arrival there are significant differences in energetic particle precipitation between the 99 dayside and the nightside driven by different wave-particle interactions, as well as 100 significant differences in latitudinal structure. 101

103 2. Event conditions on January 21, 2005

An X7 solar flare at 06 UT on January 20, 2005 was followed in about 20 minutes 104 by an unusually hard solar proton event. Recovery of the ionosphere due to the 105 declining levels of proton flux was well underway late on 21 January when an 106 associated coronal mass ejection (CME) triggered a  $K_p=8$ ,  $D_{st}\approx$ -100 nT geomagnetic 107 storm, leading to a relativistic electron drop-out at geosynchronous orbit starting at 108 ~17:10 UT. By 18 UT GOES-10 and GOES-12 >2 MeV electron fluxes had 109 decreased by three orders of magnitude. The solar wind associated with the CME 110 showed an increase from 600-900 km/s, and a density change from 6-16 protons cm<sup>-3</sup> 111 112 in less than 2 minutes (http://www.srl.caltech.edu/ACE/ASC/level2/swepam l2desc.html). The shock was 113 observed at ACE at 16:48 UT, and the propagation time to Earth was about 23 114 115 minutes, indicating an expected CME arrival time of 17:11 UT in our data. In Figure 1 the changes in solar wind  $H^+$  speed and density associated with the 116

117 CME shock are shown. The data have been delayed by 23 minutes in order to 118 represent the travel time from the ACE satellite to Earth. The lower panel shows the 119 impact of the CME on the GOES relativistic electron fluxes measured at 120 geostationary orbit.

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122 3. Experimental setup

This paper combines data from subionospheric VLF radio wave receivers, the high altitude MINIS balloons, riometers, and pulsation magnetometers to describe the geographic and temporal variability of energetic particle precipitation into the middle atmosphere during the first hour of the event. This section describes the setup of each instrument, and relevance to this study. Clilverd et al. [2006] described observations showing energetic particle precipitation lasting 2.7 hours from the CME event, however, here we just concentrate on the initial period which shows the immediateeffects of the shock arrival.

Here we use narrow band subionospheric VLF/LF data spanning 20-40 kHz 131 received at three sites: Sodankylä, Finland (67°N, 23°E, L=5.2); Ny Ålesund, 132 Svalbard (79°N, 11°E, L=18.3); and Halley, Antarctica (76°S, 26°W, L=4.7). These 133 sites are part of the Antarctic-Arctic Radiation-belt Dynamic Deposition VLF 134 Atmospheric Research Konsortia (AARDDVARK, see the description of the array at 135 www.physics.otago.ac.nz/space/AARDDVARK homepage.htm). The effects of 136 changing ionization conditions in the mesosphere, due to energetic particle 137 precipitation, can be observed along the propagation path between a transmitter and a 138 139 receiver. Subionospheric propagation is sensitive to ionization located below about 90 km. The effect of increased ionization on the propagating signals can be seen as 140 141 either an increase or decrease in signal amplitude or phase depending on the modal mixture of each signal observed [Barr et al., 2000]. 142

The MINIS balloon project launched 6 balloons in January 2005 to observe the 143 size, frequency and mechanisms of relativistic electron precipitation. The campaign 144 staggered the launches in order to extend the longitudinal range over which 145 relativistic electron precipitation could be observed. These balloons were launched 146 from the South African Antarctic station, SANAE (72°S, 2°W) and Churchill, 147 Canada (58.76°N, 265.91°E). The balloons carried Sodium Iodide (Nal) X-ray 148 scintillation detectors used to detect Bremsstrahlung radiation (20 keV-10 MeV) 149 caused during energetic particle collision with the neutral atmosphere, particularly 150 those collisions at altitudes of 40-60 km. The MINIS balloon experiment observed 151 significant X-ray counts from 17:10-17:40 UT on 21 January 2005. Most of the 152 fluxes were observed from balloons at L=3.5 and L=4.1 in the southern hemisphere, 153 although the first burst at 17:12 was also seen by a balloon at L=10 in the northern 154 hemisphere [E. A. Bering III and the MINIS Team, Multiple balloon observations of 155

157 Scientific Assembly 2005, IAGA2005-A-00631].

The riometers used in this study are located either in the NORSTAR and 158 MIRACLE arrays in Canada and Scandinavia respectively, or at Halley, Antarctica 159 (76°S, 26°W, and L=4.5). The data from Kilpisjärvi, Finland, (69.02°N, 20.86°E) are 160 taken from the central beam of the Imaging Riometer for Ionospheric Studies (IRIS) 161 (Browne et al., 1995), which operates at 38.2 MHz. The Canadian riometers are 162 widebeam, 30 MHz, vertical pointing parallel dipole systems, with time resolutions 163 of 1-10 seconds, although we typically present 1 minute average data. The riometer 164 data presented from Pinawa (50.20°N, 263.96°E, and L=4.1) in the NORSTAR array 165 is on the dayside during the CME. Similarly Rankin is also in the NORSTAR array, 166 on the dayside during the CME, and located at 62.82°N, 267.87°E, L=12.44 The IRIS 167 riometer in Kilpisjärvi, Finland, was in the dusk sector during the CME, located at 168  $69.02^{\circ}$ N, 20.86°E, and at L=6.1. We also use Jyväskylä in Finland, which was also in 169 the dusk sector, at 64.42°N, 25.28°E, and L=3.7 and operates at 32.4 MHz. 170

A latitudinal chain of pulsation magnetometers is located in Finland, and operated 171 by the Sodankylä Geophysical Observatory. The magnetometers range from L=3.4-172 6.1, and operate with a time resolution of 0.025 s. We are principally interested in the 173 Nurmijärvi site, located at 60.51°N, 24.65°E, and at L=3.4. Here we study the 174 frequency range of 0.1-4 Hz. In this frequency range waves of  $\sim$ 2 Hz are thought to 175 be generated by the electromagnetic ion cyclotron (EMIC) instability near the 176 magnetic equator. Pc 1-2 waves propagate along the field line, and can also be 177 observed on the ground [Erlandson et al., 1996]. Solar wind compressions of the 178 magnetosphere can generate Pc 1 pulsations, as the compressions increase the ion 179 anisotropy which, in turn, increases the EMIC wave growth rate [Kangas et al., 180 1986]. As previously mentioned, precipitation into the atmosphere of electrons 181 driven into the bounce loss cone has been suggested as the primary loss mechanism 182

from the radiation belts through interaction with EMIC waves [Summers and Thorne, 183 2003], although conclusive experimental evidence showing precipitation occurring 184 during EMIC activity has yet to be reported. Arnoldy et al. [1982] found that 185 pulsating aurora was accompanied by Pc1 ULF waves at Siple station, Antarctica 186 (L=4.2) and closely associated with riometer absorption, all potentially linked 187 through enhanced particle precipitation. Arnoldy et al. [1983] further observed that 188 auroral light bursts were correlated with Pc1 wave packets recorded at Siple and 189 suggested a mechanism of the acceleration and precipitation of electrons with auroral 190 energies (few keV) by EMIC waves. 191

Figure 2 shows the location of the radio wave receiver sites (diamonds), and the 192 transmitter-receiver paths that were under study during the event period (transmitter 193 locations are given by the circles). The majority of the paths studied here are in the 194 195 same longitude sector as the GOES-12 satellite. The solid squares show the location where the MINIS balloons were operating and hollow squares their equivalent 196 conjugate based on the IGRF magnetic field model. Riometer sites which provided 197 data for this study are shown by triangles, and the pulsation magnetometer site by a 198 star. The location of the sunrise/sunset terminator are also shown (dotted line), 199 America and Antarctica are daylit during the events. The CME occurred during the 200 northern hemisphere winter, with North America being close to midday, and Europe 201 being in the evening/dusk sector. The observations made in Antarctica in the 202 southern hemisphere were fully sunlit, and close to midday as well. Additional data 203 from an Australian site is included later in the study. Australia was close to midnight 204 at the time of the CME. 205

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4. Results

208 (i) Dayside observations (10-15 MLT)

In this section we describe the dayside precipitation characteristics during the 209 CME. Figure 3 shows northern hemisphere riometer data from Rankin (L=12.4), 210 Pinawa (L=4.1), and radio wave data from North Dakota (NDK, 25.2 kHz) received 211 at Sodankylä Geophysical Observatory (SGO), Finland, where the transmitter-212 receiver great circle path stretches from L=3-15. The time axis covers the first hour 213 of the CME event. The solid vertical dashed line shows the start of the CME-arrival 214 associated precipitation in all three panels. The precipitation at onset is seen at both 215 riometers at  $L\approx 12$  and  $L\approx 4$  (17:12 UT, ~10:30 MLT), and also occurs on the MINIS 216 balloon at L=10 (17:12 UT, ~ 12:30 MLT, not shown). Both Rankin and the L=10 217 218 balloon do not observe any significant variations following the initial pulse which is 219 consistent with their high latitude locations, and field-line positioning outside the dayside magnetosphere (e.g., open field lines). Note that the consistently higher 220 221 absorption at Rankin (L=12.4) is a consequence of the solar proton precipitation resulting in polar cap absorption (e.g. Reid, 1974). Pinawa (L=4.1) is sufficiently 222 equatorward to be inaccessible to the bulk of the solar proton flux because of the 223 influence of geomagnetic rigidity cutoffs [Rodger et al., 2006]. 224

In Figure 3 three additional vertical dashed lines have been added to identify 225 periods where bursts of precipitation have occurred. The bursts are seen on the 226 Pinawa riometer (L=4.1) and the radio wave data from the nearby North Dakota 227 transmitter, and are ~14 minutes apart, lasting about 50 minutes in all. The signatures 228 in the radio wave data suggest that some of the electron precipitation is at high 229 energies (>400 keV) in order for them to dominate over the ongoing solar proton 230 precipitation event, and the normal daytime solar photo-ionization levels. Each burst 231 of precipitation appears to last longer, extending from the initial ~6 minutes, to 232  $\sim$ 15 minutes by the fourth burst. This is consistent with the idea that lower energy 233 electrons are taking longer each time to come into the field of view. A comparison 234 between the reducing levels of radio wave amplitude effects and the increasing 235

riometer absorption levels for each successive burst suggests that the precipitation disperses in time, and softens in energy spectra. Figure 1 indicates that there is no clear signature of a periodic driver in the solar wind that could be causing periodicity in the precipitation bursts.

Measurements made during the CME on the southern hemisphere dayside are 240 shown in Figure 4. The data span a range of 3.5 < L < 5. A solid vertical line, and three 241 vertical dashed lines have been added to identify the times when bursts of 242 precipitation were observed in the northern hemisphere data. The MINIS balloon 243 measurements during the study period were made north-east of Halley, Antarctica 244 (76°N, 26°W, ~15 MLT), while the radio wave data was taken from the Hawaii 245 transmitter (NPM, 21.4 kHz) to the west of Halley (~12 MLT). The region of 246 sensitivity of the Hawaii-Halley path is shown as a heavy line on the path in Figure 247 2, and results from increased propagation sensitivity to boundary conditions over the 248 thick ice shelf of Antarctica (Clilverd et al., 2005). 249

Widebeam riometer data from Halley is also shown in Figure 4, representing 250 precipitation at L=4.5. The shock onset and first additional precipitation burst are 251 reasonably timed with respect to the bursts observed in the northern hemisphere, 252 although there is clearly additional precipitation being detected in the region around 253 Halley. The MINIS balloon data contains some data gaps due to the loss of the 254 Iridium satellite connection, but the L=4.1 balloon shows event occurrence that is 255 consistent with the northern hemisphere timing. The L=3.5 balloon is largely 256 unaffected and may therefore represent a lower L-shell limit for this behavior. The 257 Balloon MLT (15-16 MLT) during this event is approximately the same as that given 258 for Halley although they are located slightly east of the station at the time (see Figure 259 1). 260

The radio wave data shown in the upper panel similarly identifies the initial burst of energetic precipitation at the same time as all of the instruments, but thereafter only indicates that some precipitation is occurring in the region west of Halley. This is primarily because of the combination of local precipitation overlapping with the large scale bursts of precipitation, and the smearing effect of the Hawaii to Halley path looking over a large range of longitudes. Overall the southern hemisphere dayside data also shows burst activity lasting about 50 minutes caused by energetic electron precipitation, and the timing characteristics are the same as those in the northern hemisphere.

In order to compare the riometer and balloon data more closely we analyze a 270 shorter period in detail. Figure 5 shows the period between 17:18-17:45 UT. Four 271 significant peaks in L=4.5 riometer absorption can be seen in the period, identified on 272 the plot as '1', '2', '3', and '4'. Each of the peaks are captured in the balloon data, 273 although peak '4' is missing in the L=4.1 balloon because of a data gap. Peaks '2' 274 275 and '3' are seen in the L=4.1 balloon data, but only as a sharp increase in x-ray count rate at the very beginning of the event. The duration of each event is typically 1 276 minute in the balloon data in contrast to 4 minutes in the riometer data. The balloon-277 bourne experiment observes the production of bremsstrahlung ionization 278 continuously overhead for  $\sim 1$  minute. Any low altitude recombination of the 279 ionization is extremely fast at low altitudes, and the end of the event is most likely to 280 be associated with the end of the precipitation. The riometer responds to the 281 production and decay of the additional ionization from higher altitudes during the 282 precipitation event. Peak '4' is seen as a short-lived event by the riometer and the 283 L=3.5 balloon, and is spread over a larger range of L-shells than events '2' and '3'. 284 Further work is planned on the response of these two instruments during the 3 last 285 peaks identified here. 286

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288 (ii) Duskside observations (~20 MLT)

In this section we describe the duskside precipitation characteristics during the CME. Figure 6 shows northern hemisphere riometer data from Kilpisjärvi (L=6.1), Jyväskylä (L=3.7), both in Finland, and radio wave data from Iceland (NRK, 37.5 kHz) and Germany (DHO, 23.4 kHz) both received at SGO, Finland (L=5-6, and L=2.5-5 for the span of the paths respectively). Again, the time axis covers the first hour of the CME event. The solid vertical line shows the start of CME precipitation in all four panels (17:12 UT, ~20 MLT).

At about  $L\sim6$  the riometer and the radio wave data are very consistent. They both 296 show the initial burst of energetic precipitation at the start of the CME event, but 297 within 10-15 minutes there are no signs of any further disturbances. The elevated 298 299 background absorption levels on the Kilpisjärvi riometer are consistent with a quasiconstant background of ionization from the solar proton event. These observations 300 301 are interpreted as indicating that a burst of precipitation occurred as the magnetosphere was compressed to  $L\sim 6$ , but afterwards that there was no significant 302 influence of the solar wind dynamics, primarily because of the region being on the 303 duskside of the Earth. 304

At  $L\sim4$ , shown by Jyväskylä at L=3.7, the picture appears quite similar with a burst 305 of enhanced ionization at the start of the CME, followed by a relatively flat response 306 until a small absorption event at about 18:10 UT. However the DHO-SGO radio 307 wave data from the propagation path that spans  $2.5 \le L \le 5.2$  (Germany to Finland) 308 there is a clear signature of energetic precipitation occurring from the onset of the 309 CME arrival, and lasting about 50 minutes. On the plot the near-horizontal dashed 310 line has been added to provide a representation of the likely non-disturbed amplitude 311 levels using quiet-time data from before and after the storm period. In Figure 7 we 312 contrast the phase and amplitude data from the DHO-SGO path with data taken from 313 the NLK-Ny Ålesund path (Seattle to Svalbard) recorded during the X45 solar flare 314 on 4 November 2003, also known as Thomson's Great Flare (Thomson et al., 2005). 315

As before, near-horizontal lines have been added to the panels to indicate the likely 316 phases and amplitudes that would have occurred if the enhanced ionization had not 317 been present. The similarity between the two events is striking, and clearly show that 318 in the same way as a very large solar flare, the CME event lead to sustained period of 319 enhanced ionization on the nightside and not the bursts of precipitation-induced 320 ionization which we observe on the dayside. The phase and amplitude data show us 321 that the peak in precipitation flux on the DHO-SGO path was at 17:21 UT, and the 322 event lasted from 17:12-17:57 UT. The lack of this signature in the Jyvaskyla 323 riometer data suggests the possibility that the energetic precipitation is only 324 325 occurring for 2.5<L<3.7.

An energetic precipitation event lasting  $\sim 45$  minutes is consistent with the length 326 of precipitation events reported by the MAXIS balloon experiment [Millan et al., 327 328 2002], although the L-shell range in this event is lower than the range of  $4 \le 12^{-7}$  that MAXIS was primarily observing. Millan et al. [2002] proposed EMIC waves as the 329 possible precipitation driver, due to the balloon-observed L-shell range and local 330 time dependence of the precipitation events observed. In Figure 8 we show the 331 pulsation magnetometer data from Nurmijärvi, Finland, located at 60.51°N, 24.65°E, 332 L=3.4. Strong Pc-1 waves in the frequency range 0.5-2.5 Hz were detected following 333 the CME, lasting until 18:06 UT. The EMIC wave power began in the frequency 334 range 0.5-1.0 Hz from 17:14-17:32 UT, followed by a sudden change to 2.0-2.5 Hz 335 which lasted for the remainder of the event (~30 minutes more). At the top of the plot 336 we show the mean EMIC wave power in the band 0.5-3 Hz. The noise floor prior to 337 the arrival of the CME is defined at the 0 dB level. The peak EMIC wave power is 338 339 observed at 17:20 (17.33) UT which is consistent with the timing of the maximum electron precipitation effect observed on the DHO-SGO path, i.e., Figure 7. 340

The EMIC was measured simultaneously at every station in the latitudinal array in Finland. The highest power was seen at the southern most station (Nurmijärvi) indicating that the EMIC wave was generated on a low latitude field line, either near L=3.4 or lower and that the wave propagated long distances in the ionosphere. The polarization of the wave was predominantly left handed, again confirming the EMIC source. The duration and latitude of the EMIC is entirely consistent with the continuous precipitation observed in the DHO-SGO radio wave data, and the EMIC waves are therefore a strong candidate as the cause of the precipitation observed.

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350 (iii) Nightside observations (~04:30 MLT)

In this section we briefly describe the nightside precipitation characteristics during 351 352 the CME. Figure 9 shows southern hemisphere riometer data from Macquarie Island  $(54.50^{\circ}\text{S}, 158.95^{\circ}\text{E}, \text{ and } L=5.4)$ , south of Australia. The CME arrived at the Earth at 353  $\sim$ 04:30 MLT at this longitude. A clear signature of the initial burst of precipitation 354 355 can be seen at 17:12 UT confirming that this feature is observed all of the way around the Earth. Additional precipitation at this longitude occurs following the 356 CME, but does not have any of the same temporal characteristics of the dayside 357 riometer data. With no co-incident radio wave or MINIS balloon data in this 358 longitude sector at this time it is difficult to describe the spectral makeup of the 359 precipitation. 360

Radio wave data recorded between New Zealand and Australia at this time, simply indicates that there was no signature of precipitation during this event for L<2.7 (N. R. Thomson – personal communication). Which is consistent with the L-shell range, 3<L<12, already suggested for the initial burst of precipitation.

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366 7. Discussion and Summary

Energetic particle precipitation into the middle atmosphere occurred in three different ways as a result of the CME at 17:12 UT on 21 January 2005. In Figure 10 we summarize the regions affected by precipitation during the first hour following

the arrival of the CME. The likely precipitation mechanisms are indicated in the key. 370 The most commonly observed form of precipitation was a burst at the onset of the 371 CME arrival lasting about 5-8 minutes. This was observed by all the instruments 372 involved in this study, and covered a large range of L-shells (at least  $3 \le L \le 12$ ), as 373 well as daytime and nighttime longitudes. Thus electrons from the outer radiation 374 belt were lost to the atmosphere over a large region of the Earth following the arrival 375 of the CME shock. The burst of energetic electron precipitation was energetic 376 enough to generate significant additional ionization at lower altitudes than the 377 ongoing solar proton precipitation event, and also lower than the altitude of the 378 daytime lower ionosphere on the dayside at ~72 km. This typically requires electron 379 energies of >400 keV. The mechanism driving the precipitation is likely to be due to 380 the sudden compression of the magnetospheric field lines when the solar wind 381 382 pressure increased. The initial pulse of energetic electron precipitation clearly affected a wide part of the Earth's atmosphere. On the basis of our experimental 383 measurements, the minimum extent that roughly spanned L=3 to 12, both 384 hemispheres, and all longitudes (360° in extent), translates to ~15% of the Earth's 385 atmosphere at 100 km. 386

On the dayside of the Earth (10-15 MLT) the CME produced a series of 387 precipitation bursts, following the initial burst. The time delay between each 388 successive precipitation burst was approximately the same (~14 minutes). The bursts 389 are observed to last for ~50 minutes after the CME arrival onset, and then die away. 390 The times of the end of each burst of precipitation appears to be increasingly delayed 391 with respect to the start, and they suggest a period of  $\sim 17$  minutes. The longer 392 duration of enhanced absorption with each successive burst is also consistent with 393 the energy dispersion that would occur in a recurring population of drifting electrons. 394 These observations are consistent with the idea that the precipitation is being 395 caused by a recurring body of particles that are in a drift orbit around the Earth at 396

 $L \sim 4$ . Using expressions from Walt [1994] we find that the azimuthal drift period around the Earth at L=4.0 for 1.5 MeV electrons with a pitch angle of 90 degrees, i.e., equatorially trapped, is ~14 minutes, while for electrons of 800 keV energy this is 17 min. For marginally trapped electrons the 14 minute drift period would equate to electrons energies of ~1 MeV.

Interestingly, the repetitive burst precipitation is not observed on the duskside, 402 suggesting that although the energetic electrons are orbiting the Earth, the 403 precipitation mechanism is mainly located on the dayside. The most likely 404 mechanisms for this are scattering into the loss cone by VLF chorus waves, or 405 406 electron cyclotron harmonic (ECH) waves. ECH waves are typically found on the dayside only, and close to the magnetopause [Kennel et al., 1970; Anderson and 407 Maeda, 1977] thus they fit the picture of a dayside mechanism. ECH waves, though, 408 409 are not able to produce electron precipitation with energies of  $\sim 1$  MeV, as they resonate with electrons of only a few keV [Horne et al., 2003]. However, the 410 broadband VLF receiver at Halley was detecting VLF chorus (0.5-2 kHz) on the 411 dayside at the start of the CME. The wave signatures disappeared at 17:12 UT 412 probably as a result of increased ionospheric absorption of the chorus, rather than the 413 chorus stopping itself. Chorus, especially the lower frequency components, that 414 occur away from the geomagnetic equator can interact with, and precipitate, MeV 415 electrons [Lorentzen et al., 2001]. 416

On the nightside dusk sector (~20 MLT) there is little energetic particle precipitation for *L*>3.7 once the CME arrival onset burst has occurred. However, at lower L-shells 2.5 < L < 3.7 a precipitation event lasting ~50 minutes is observed. The precipitation is not bursty, but continuous, peaking at 17:35 UT, i.e. ~9 minutes after the CME onset. At the same time a Pc-1 EMIC wave was detected at *L*=3.4 in the pre-midnight dusk sector. The EMIC wave was observed at 0.5-2.5 Hz, from 17:12-18:06 UT. EMIC waves are normally observed near the plasmapause, and with  $K_P \sim 8$  424 at this time the plasmapause would be expected to be forced inwards towards L=2-3. 425 These observations are consistent with the generation of energetic electron 426 precipitation at the inner edge of the outer radiation belt from EMIC wave scattering 427 into the loss cone, and is the most direct link between EMIC activity and energetic 428 precipitation observed thus far.

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- 544 CLILVERD ET AL.: EPP TRIGGERED BY A CME

545 **Figure 1**. Solar wind parameters, and GOES-12 electron fluxes during the CME on 21 January 2005,

546 showing the conditions expected at the Earth based on delayed ACE measurements.

547

**Figure 2.** (Left) The location of subionospheric propagation paths in the northern hemisphere from VLF transmitters to the AARDDVARK receiver sites at Ny Ålesund, and Sodankylä. The locations of MINIS balloons are indicated by squares, riometer locations by triangles and pulsation magnetometer by a star. The day/night terminator is also shown by a dotted line. (Right) The equivalent map for the southern hemisphere, with the propagation paths from the Hawaii VLF transmitter to Halley, Antarctica is shown, as well as the Halley riometer.

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Figure 3. The dayside northern hemisphere data from the Rankin and Pinawa riometers, and the radio wave data from North Dakota for 17:00-18:18 UT on 21 January 2005. The start of energetic particle precipitation bursts are identified by vertical dashed lines. The *L*-shells that the observations were made at are indicated on the plot.

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**Figure 4.** The dayside southern hemisphere data recorded in Antarctica from 17:00-18:18 UT on 21 January 2005. Radio wave data from Hawaii, recorded at Halley, and data from two MINIS balloons are shown. The start of energetic particle precipitation bursts are identified by vertical dashed lines. The *L*shells that the observations were made at are indicated on the plot.

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Figure 5. The dayside southern hemisphere data recorded in Antarctica from 17:18-17:45 UT on 21
January 2005. Comparisons are shown of data from the two MINIS balloons, and the Halley riometer.

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Figure 6. The nightside northern hemisphere data from 17:00-18:18 UT on 21 January 2005. Radio wave data from Iceland and Germany, recorded at Sodankylä, Finland, and data from two Finnish riometers are shown. The *L*-shells that the observations were made at are indicated on the plot.

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Figure 7. The phase and amplitude of radio wave transmissions from Germany (DHO) received at Sodankylä, Finland following the CME onset at 17:12 UT on 21 January 2005. The right-hand panels contrast the data from Seattle (NLK) received at Ny Ålesund, Svalbard, during Thomson's Great Flare of 4 November 2003, indicating a continuous source of ionization following the CME in January 2005.

- 577 Figure 8. The Nurmijärvi (L=3.4) pulsation magnetometer data from 17:00-18:18 UT on 21 January 2005
- 578 showing the presence of Pc1 pulsations following the CME. The top panel shows the mean power in the
- 579 range 0.5-3 Hz in dB above the noise floor.
- 580
- Figure 9. The nighttime Macquarie riometer data showing the initial burst of precipitation following the
  CME at 17:12 UT on 21 January 2005 (03:30 MLT).
- 583
- 584 Figure 10. A summary map showing the regions affected by bursts of precipitation triggered by the arrival
- 585 of the CME at 17:12 UT on 21 January 2005.























## Shock precipitation

