- Long term Geomagnetically Induced Current Observations from New Zealand:
- 2 Peak Current Estimates for Extreme Geomagnetic Storms
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- Main point # 1: Analysis of a 14 year dataset of GIC in a transformer in Islington, New
- 17 Zealand, shows peaks correlated with local H'.
- 18 Main point # 2: Peak GIC values are very poorly correlated with global geomagnetic
- ¹⁹ indices (ap, Kp, Aa^{*}), and weakly correlated with local ak index values.
- 20 Main point # 3: Estimated peak GIC at Islington for a 100 year return period geomagnetic
- 21 storm is ~155-605 A, and ~155-910 A for 200 years.
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Abstract. Geomagnetically Induced Current (GIC) observations made in New Zealand 24 over 14 years show induction effects associated with a rapidly varying horizontal magnetic 25 field (dB_H/dt) during geomagnetic storms. This study analyses the GIC observations in 26 order to estimate the impact of extreme storms as a hazard to the power system in New 27 Zealand. Analysis is undertaken of GIC in transformer number six in Islington, 28 Christchurch (ISL M6), which had the highest observed currents during the 6 November 29 2001 storm. Using previously published values of 3000 nT/min as a representation of an 30 extreme storm with 100 year return period, induced currents of ~455 A were estimated for 31 Islington (with the 95% confidence interval range being ~155-605 A). For 200 year return 32 periods using 5000 nT/min, current estimates reach ~755 A (confidence interval range 155-33 910 A). GIC measurements from the much shorter dataset collected at transformer number 4 34 in Halfway Bush, Dunedin, (HWB T4), found induced currents to be consistently a factor of 35 three higher than at Islington, suggesting equivalent extreme storm effects of ~460-1815 A 36 (100 year return) and ~460-2720 A (200 year return). An estimate was undertaken of likely 37 failure levels for single phase transformers, such as HWB T4 when it failed during the 6 38 November 2001 geomagnetic storm, identifying that induced currents of ~100 A can put 39 such transformer types at risk of damage. Detailed modeling of the New Zealand power 40 system is therefore required put this regional analysis into a global context. 41

43 **1. Introduction**

The most intense geomagnetic storm ever recorded [Cliver and Svalgaard, 2004], now 44 known as the Carrington Event, followed a white light solar flare in September 1859 45 [Carrington et al., 1859]. Should a storm of similar intensity occur today, technological 46 systems around the world are expected to be severely affected. According to some scenarios, 47 a future occurrence of an extreme geomagnetic storm of magnitude similar to the Carrington 48 storm would cause widespread failure of electric power networks on regional scales due to 49 the impact of Geomagnetically Induced Currents (GIC). Lately a number of popular scientific 50 articles have been published about the growing realization that GIC pose a potential risk to 51 our technological societies [e.g, Kelleher, 2016; Witze, 2016], and the new policy and 52 strategic planning which is coming from that realization [e.g., MacAlester and Murtagh, 53 2014; National Science and Technology Council, 2015]. It should be noted that GIC are one 54 of a wide range of Space Weather impacts which occur during extreme storms, some of 55 which have been described as "extraordinary" [Knipp et al., 2016; Love and Coïsson, 2016]. 56

A United States National Academy of Sciences (NAS) report [Baker et al., 2008] indicated 57 that the most extreme geomagnetic storms could destroy 300 or more of the 2,100 high-58 voltage transformers that are the backbone of the U.S. electric grid. The academy's report 59 noted that replacements for transformers might not be available for a year or more, and the 60 cost of damage in the first year after a storm could be as high as USD\$2 trillion [Baker et al., 61 2008; JASON, 2011]. It should be noted that economic impacts of such extreme events are 62 difficult to estimate [e.g., Oughton et al., 2017], with another study suggesting 20-40 million 63 people would be affected for 16 days to ~2 years creating a total financial impact to the USA 64 of USD\$0.6-2.6 trillion [Lloyd's, 2013]. Similar levels of economic global supply chain 65 disruption (\$0.5-2.7 trillion) have been estimated due to the impact on the US electrical 66 transmission network of differing extreme geomagnetic storm scenarios [Oughton et al., 67

2016]. Clearly, it is widely agreed that the impact of an extreme geomagnetic storm would be
 substantial, while the wider uncertainties make establishing the specific technological and
 economic impact highly challenging.

Large GICs are usually closely associated with geomagnetic field disturbances that have a 71 high rate of change $(d\mathbf{B}/dt)$ and in particular the rate of change of the magnetic component in 72 the horizontal direction [Mäakinen, 1993; Viljanen, 1998, Bolduc et al., 1998]. In the current 73 study we represent the rate of change of the magnetic horizontal component, dB_H/dt , by H'. 74 Recently, a ~14 year record of GIC measured in multiple transformers in New Zealand were 75 contrasted with geomagnetic field variations, confirming that for the majority of locations the 76 best correlation was clearly with H' [Mac Manus et al., 2017]. The primary argument for 77 considering the time derivative of the magnetic field is that it is a good indicator of the 78 expected magnitude of the geomagnetically induced electric field on the Earth's surface 79 [Cagniard, 1953], which is the primary driver of GICs [e.g., Viljanen et al., 2001]. 80

There have been a number of fairly large geomagnetic storms in the last decades, in 81 particular March 1989, November 2001 and October 2003. However, the historical record 82 demonstrates more extreme geomagnetic storms can occur, for example those of September 83 1859 or May 1921, which may have been ~10 times larger than occurred in March 1989 84 [Hutchins and Overbye, 2011]. It has been suggested that there is a 6-7% probability of an 85 1859-type solar storm in the next 10 years [Love, 2012; Cannon et al., 2013], corresponding 86 to a recurrence period of 138-162 years. These values correspond to a ~27-30% probability in 87 the next 50 years. Extreme space weather events are low-frequency, high-risk phenomena and 88 as such, are necessarily studied through statistical methods. A large range of probabilities 89 regarding the recurrence of another Carrington level storm have been reported (spanning ~3-90 12% [Riley, 2012; Kataoka, 2013]), likely due to differing statistical approaches. This is well 91 92 demonstrated by the finding that the probability of a Carrington storm occurring in the next 10 years ranges from 3% for a log-normal distribution to 10.3% for a power-law distribution 93

[Riley and Love, 2016]. There are also large uncertainties associated with these extreme event
analyses. While *Love* (2012) indicated that the most likely occurrence of an 1859-type solar
storm with 10 year return period is 6.3% they also reported that the 68.3% confidence range
for 10 year return is 1.6-13.7%.

When contrasted with major seismic events, the likelihood of another significant 98 Carrington-level geomagnetic storm is reasonably high. For example, there is a ~14% chance 99 of a very large earthquake (magnitude>7) on the New Zealand Alpine Fault in the next 50 100 years [Berryman et al., 2012], corresponding to a recurrence period of ~330 years. The 101 Alpine Fault is one of the longest, straightest, and fastest-moving plate boundary transform 102 faults on Earth and poses a substantial seismic hazard to the country of New Zealand. In 103 contrast an extreme geomagnetic storm would not only effect New Zealand, but would likely 104 have global consequences. 105

Across the world there has been increasing interest in understanding the potential impact of GIC on electrical networks in order to mitigate the potential hazards. One example is a recent GIC research consortia operating across Europe, looking at European hotspots, providing monitoring and looking at the worst case scenarios [*EURISGIC*, 2013]. Multiple countries are now investigating the hazards to the electrical network [*Beck*, 2013], focusing on large to extreme storms.

To the best of our knowledge, the largest GIC reported to date is 269 A measured at 112 Simpevarp-2 in Southern Sweden on 6 April 2000 [Wik et al., 2008], which was associated 113 with a magnetic field rate of change of only ~200 nT/min at the nearest magnetic observatory 114 (Uppsala). This rate of change is large, but not particularly extreme. The Hydro Quebec 115 116 collapse in 1989 has been associated with a substorm-linked H' of 479 nT/min [Fiori et al., 2014], although the largest rate of change ever reported was ~2000 nT/min in the lower 117 Baltic [Kappenman, 2004]. The latter event occurred on 13-14 July 1982 where disturbances 118 of ≥2000 nT/min were measured in central and southern Sweden, coincident with geo-electric 119

field readings of 9.1 V/km and were associated with tripping of transformers and lines [*Wik et al.*, 2009]. During May 1921 in the same region geo-electric fields of ~20 V/km are thought to have occurred, suggesting peak magnetic field changes of \geq 4000 nT/min [*Kappenman*, 2004].

At mid and low-latitudes, large GIC have been related to storm sudden commencements and 124 sudden impulses, rather than substorms [e.g., Watari et al., 2009 (Japan); Marshall et al., 125 2012 (New Zealand); Marshall et al., 2013 (Australia); Carter et al., 2015 (geomagnetic 126 equator)]. This has been discussed in more detail by Fiori et al. [2014]. One example of a 127 significant GIC impact in this latitude range is the destruction of a transformer at 128 Dunedin/Halfway Bush (HWB T4), New Zealand. This occurred on 6 November 2001 at 129 1:53 UT, within a few minutes of a storm sudden commencement. That event has been 130 described qualitatively in the scientific literature [Béland and Small, 2004], and was 131 subsequently analyzed in detail [Marshall et al., 2012]. 132

Clearly, indicative values of extreme geomagnetic field changes are required to consider the 133 impact of extreme storms and GIC magnitudes. Thomson et al. [2011] used extreme value 134 statistics (EVS) and one-minute data from 28 European magnetic observatories over 30 years 135 and found that peak H' increased with geomagnetic latitude, with a distinct maximum in 136 levels between ~53-62° latitude. This study concluded that across 55-60° geomagnetic 137 latitude the estimated 100 year return period maxima for H' is 1000-4000 nT/min, while the 138 200 year values range from 1000-6000 nT/min (with the range representing 95% confidence). 139 These maximum H' values should be contrasted with the "reasonable worst case scenario" of 140 5000 nT/min considered for the United Kingdom grid [Canon et al., 2013]. 141

In this study we investigate GIC observations made in New Zealand during 14 years of significant geomagnetic storms. Our focus in this work is to consider how large storms can be used to estimate the impact of extreme storms as a GIC hazard in New Zealand. As GIC are closely linked to the rate of change of the horizontal magnetic field component we focus on

the time periods with significant *H*', and examine how these events contrast with global and local disturbance indices. We focus on measurements made at transformer number 6 in Islington, Christchurch (ISL M6), which had the highest observed currents during the 6 November 2001 storm [*Marshall et al.*, 2012; *Mac Manus et al.*, 2017]. This allows us to estimate likely GIC magnitudes expected for this location during extreme storms. By contrasting GIC measurements from the much shorter dataset collected at HWB T4, we estimate a GIC "danger level" for that style of single phase transformer.

153 **2. Experimental Datasets**

154 2.1 New Zealand GIC Observations

A detailed description of the New Zealand GIC measurements in the South Island has been previously reported [*Mac Manus et al.*, 2017]. The following section is a brief summary, and the reader is directed to the earlier study for a more complete explanation.

Transpower New Zealand Limited has measured DC currents in multiple South Island 158 transformers. Near continuous archived DC current data exist since 2001, initially starting 159 with 12 different substations, and gradually expanding from 2009 to include 17 substations. 160 The more recent expansion in measurement locations, from about 2013 onwards, were driven 161 by an increasing interest in monitoring potential Space Weather impacts. This later expansion 162 incorporated the Halfway Bush substation, including the replacement number 4 transformer 163 (HWB T4, location shown by the red star in Figure 1) one phase unit of which was written 164 off due to the effect of GIC on 6 November 2001 [Béland and Small, 2004]. Over the time 165 period 2001-2015 a total of 61 distinct transformers have been monitored using Hall effect 166 current transducers (Liaisons Electroniques-Mécaniques (LEM) model LT 505-S). The 167 primary purpose for the DC observations is monitoring stray currents when the high voltage 168 DC link between the South and North Islands operates in single wire earth return mode or 169

with unbalanced currents on the conductors. *Marshall et al.* [2012] has previously described
DC observations from the LEM sensors during the 6 November 2001 storm.

The process by which this dataset is corrected to remove stray earth return currents and any 172 calibration offsets was given in detail by Mac Manus et al. [2017]. Once these return 173 currents, which can be >10 A in some locations, were removed, a substantial GIC dataset was 174 produced. For some transformers this corresponds to a nearly continuous set of GIC 175 measurements from 2002-2015. One example is the number 6 transformer in Islington (ISL 176 M6), the location of which is shown by the yellow star in Figure 1. As noted before, ISL M6 177 measured the highest observed currents during the 6 November 2001 storm, peaking at ~33 A 178 [Mac Manus et al., Fig. 5, 2017]. As ISL M6 experiences rather large GIC magnitudes and 179 has measurements over a long time period, we focus on it in the current study. In addition, we 180 note that as shown in Figure 1 the Islington substation is close to the Evrewell magnetic 181 observatory, described below. All the GIC observations reported here are after the corrections 182 described in Mac Manus et al. [2017] have been undertaken. 183

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185 2.2 Magnetometer

The location of the magnetometer station at Eyrewell (EYR) is shown in Figure 1 as a blue 186 hexagon. EYR is part of INTERMAGNET (http://www.intermagnet.org/) and is operated by 187 GNS Science, New Zealand. This station provides 1 min (and for some periods higher time 188 resolution) magnetic field data with coordinates X (positive to geographic north), Y (positive 189 to the east), and Z (positive vertically downwards) to the INTERMAGNET collaboration, 190 with a resolution of 0.1 nT. Absolute magnetic field measurements are provided by a DI-191 fluxgate magnetometer and a proton precession magnetometer. The 1-minute resolution 192 magnetic field observations are constructed from higher time resolutions samples by applying 193 a Gaussian filter centred on the minute and calculating the mean, following the 194 INTERMAGNET guidelines. One minute mean values are only calculated when 90% or 195

more of the values required for calculation of the mean are available. When fewer than 90%
of the required values are available, the one minute value is flagged as missed. As discussed
later in the paper the highest time resolution available has changed with time.

The magnetic horizontal component, *H*, were determined from the North (*X*) and East (*Y*) components in the usual way (i.e., $H = \sqrt{X^2 + Y^2}$) and the rate of change of the horizontal component, *H'*, from $d(\sqrt{X^2 + Y^2})/dt$.

202 **3. Large** *H*' events from New Zealand-regional observations

As noted earlier, it has been previously reported that GIC magnitudes are linked to the rate 203 of change of the magnetic component in the horizontal direction. For the New Zealand 204 region, Mac Manus et al. [2017] found that the time variation of South Island GIC was 205 typically well correlated with H' measured by the Eyrewell magnetic observatory. In order to 206 study the occurrence and properties of GIC in New Zealand, we investigate periods in which 207 large |H'| values were observed at Evrewell. We limit ourselves to the time period from 2001-208 2015 in which there are archived GIC measurements, and set a threshold of 40 nT/min in the 209 one-minute resolution EYR |H'| observations to represent "large" rates of change. This value 210 is somewhat arbitrary, to provide an event list of reasonable size. Only one event was allowed 211 per UT day, represented by the peak |H'| value for that time period. The resulting list was then 212 manually checked to remove any events produced by data errors, which affected two 213 potential events. This process produced 31 events, the times of which are listed in Table 1, 214 ordered by decreasing |H'|. 215

Note that the first event listed in Table 1 is at 1:52 UT on 6 November 2001, which is the most significant |H|'-value observed by the New Zealand magnetic observatory from 2001-2015. As has been previously mentioned this is also the time of the most significant space weather impact suffered in the New Zealand electrical network. While *Béland and Small* [2004] and *Marshall et al.* [2012] both report on operational procedures put in place to

manage the risk of GIC to the New Zealand grid, from 2001 to date a similarly sized event 221 has yet to affect the South Island. In addition to the New Zealand rank number, peak |H'|222 value and UT time, Table 1 also lists the associated geomagnetic indices (aa* ranking, Kp, 223 ap, and the EYR ak-index, each of which describe various variations in the geomagnetic 224 field) and GIC observations for these events Note that we have used the traditional format for 225 reporting Kp values on the "scale of thirds". Some readers may be more familiar with the 226 alternative format, where 9- is given as 8.7, 8+ as 8.3, and 80 as 8. aa* is the 8-point (or 24-227 hour) running average of the 3-hour aa index [Clilverd et al., 2002]. This filters out 228 longitudinal differences and enables a simple index, based on only two measurement points 229 to be representative of global activity, whilst at the same time retaining the 3-hour time 230 resolution. The aa^* rank value is the ordered rank of the storm and is based on the maximum 231 aa* reached in that storm. For 6 events of the 31 (4, 15, 17, 20, 24, and 29) there were no 232 GIC observations from ISL M6. All of these events occurred in 2001 when the archiving of 233 the South Island DC observations were inconsistent, and thus ~20% of the large |H'|234 disturbances across the ~15 year window have been lost. This leaves us with 25 distinct 235 examples of New Zealand large |H'| disturbances for which we have ISL M6 GIC 236 observations. 237

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239 3.1 Contrast with Global Index Values

Table 1 includes a number of different geomagnetic indices against each one of the *H'* events. Most are global indices (e.g., aa* rank, Kp, and ap), while the EYR K-index is local to the Eyrewell magnetometer. It is well known that the "global" indices can be more or less biased to different parts of the world depending on the magnetic observatory data used to determine them. Of the global indices, this should be less of an issue for the aa* index, as this is made up of only 2 stations, one in the northern hemisphere and the other in the southern hemisphere, approximately antipodal from one another. In contrast the Kp and ap indices are

created from observations by 13 observatories, 2 in the southern hemisphere (Australia and New Zealand), and 11 in the northern hemisphere (7 in Europe, 4 in North America) [*Mayaud*, 1980]. This is likely to explain the relatively poor correlation between the global index values and the peak |H'| value observed in New Zealand.

The upper two panels of Figure 2 show a comparison between the EYR-reported peak |H'|251 values and the ap (upper panel) and Kp (middle panel) for all 25 events considered. It is 252 particularly clear from the middle panel that there is a poor "by eye" correlation between 253 global magnetic field disturbances measured by the Kp index and EYR local peak |H'| value 254 observed in New Zealand. Kp is both quantized and non-linear, and hence a linear fit is not 255 particularly valuable. In contrast, the ap index is a linear index and thus we examine the 256 coefficient of determination (r^2) to test the quality of a linear correlation between ap and 257 EYR-reported peak |H'|. However, r^2 is only 0.39, demonstrating a low-quality relationship. 258 As noted above, this may be partly explained by the contrast of global indices derived from 259 non-uniformly spread observatories with the local South Island magnetometer measurements 260 which are best correlated with local GIC observations [Mac Manus et al., 2017]. Consistent 261 with this idea we see from Table 1 the largest peak |H'| value of 190.8 nT/min at 1:52 UT on 262 6 November 2001 was associated with a Kp of 8.7 and ap of 300 nT, while event 10 at 263 18:36 UT on 20 November 2003 has the same Kp/ap values but a EYR peak |H'| value of 264 78 nT which is ~2.4 times smaller than on 6 November. 265

One might expect the aa* index to better correlate with EYR peak |H'|, as this is a global measure which is less spatially biased, with the Southern Hemisphere observatory located comparatively close to New Zealand in Canberra, Australia. Table 1 shows the aa* ranking of geomagnetic storms from 2001-2015 associated with each of our 25 events. However, once again, the global index is a poor predictor of the local peak |H'| values. Event 1 was globally ranked as the 7th largest aa* storm (aa*=152 nT), with event 10 ranked 2nd (aa*=250 nT) and event 20 ranked 4th (aa*=216 nT). While the Halloween storm in October 2003 is the

highest ranked aa* storm (aa*=333 nT) and is associated with events 2 and 3, it is worth noting that event 2 with peak EYR |H'| of 170.6 nT/min occurred for the global Kp value of 7.7, while the smaller event 3 with peak EYR |H'| of 166.2 nT/min happened during the more globally intense period with a global Kp value of 9.0.

While it is not scientifically unexpected for the GIC-driving local geomagnetic field 277 variations to be fairly poorly correlated with global geomagnetic indices, this is an important 278 message to stress to the electrical industry who are becoming increasingly Space Weather 279 conscious. It is not uncommon to find the industry players focused on the internet-available 280 global geomagnetic index values and forecasts. This is also the case in New Zealand with the 281 Transpower procedures for managing GIC [Transpower, 2015] requiring a geomagnetic 282 storm to be G2 or greater on the NOAA Space Weather scale (equivalent to Kp=6) before 283 investigating generation and transmission constraints and G3 or great (equivalent to Kp=7) 284 before advising the duty operations manager. The poor correlations in Figure 2 suggests that 285 the link between the Kp index values and the local magnetic field changes which drive GIC is 286 fairly poor, in line with other published work, such that other approaches might need to be 287 considered in order to create an effective warning system or "GIC danger" level. 288

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290 **3.2 Contrast with Local Index Values**

One alternative option would be to rely on warnings from the local New Zealand magnetic 291 observatory. Mac Manus et al. [2017] reported that the time variation in observed GIC was 292 typically well correlated with H', as expected. The lower panel of Figure 2 shows a 293 comparison between the EYR-determined ak-index value and the EYR-peak |H'| values. In 294 this case there is a better "by eye" agreement between the two parameters, which is 295 unsurprising given they are determined from the same fundamental observations. The 296 coefficient of determination (r^2) in this case has a value of 0.59, suggesting a weak 297 correlation. The highest 3-hourly K-index values from EYR do not correspond to the highest 298

peak |H'| values, likely due to the large time window over which the ak-indices are 299 determined relative to H'. One might suggest that near real time H' warnings may assist 300 Transpower during large geomagnetic storms. At this time EYR observations are available 301 with a ~ 1 hour delay, but there are plans to decrease this to 10 min. While that information 302 could be useful during a GIC event, in practice Transpower already has realtime information 303 on GIC at their control centers from the LEM units, and more value would come from 304 forecasting of likely GIC activity. New Zealand is located at mid-geomagnetic latitudes 305 where the large |H'| values tend to be linked to sudden commencements and sudden impulses 306 [Fiori et al., 2014] that occur at the beginning of geomagnetic storms, hence making accurate 307 prediction more challenging. Significant additional research is required in this area in order to 308 allow useful warnings of mid-latitude GIC. This is part of an international-scale scientific 309 problem at the heart of contemporary Space Weather work. 310

311 4. Case Study: 29 October 2003

The largest Space Weather event with the most significant New Zealand GIC impact 312 occurred on 6 November 2001. However this event has been described in detail in the 313 existing literature, both in terms of its technical impact [Béland and Small, 2004; Marshall et 314 al., 2012], and the GIC measurements that occurred both at ISL M6 and also across other 315 South Island sites [Marshall et al., 2012; Mac Manus et al., 2017]. The later study 316 specifically examined the correlation between the time variation of the GIC measured at ISL 317 M6 and the EYR H' values for this storm, reporting they were fairly strong ($r^2=0.71$). Table 1 318 includes the magnitude of the GIC observed at ISL M6 for this event (33.1 A). These values 319 are the peak current reported within ± 2 min of the EYR-peak |H'| values to allow for varying 320 levels of induction and changes in the driving field. 321

However, it is apparent from the next columns values in Table 1 that the relationship between the EYR *H*' values and the peak ISL M6 GIC magnitude is not directly linear. While

the EYR-peak |H'| values decrease slowly across the first three events (i.e., 190.8, 170.2, and 324 166.2 nT/min) the peak ISL M6 GIC magnitude behaves very differently (33.1, 21.1, and 325 34.1 A). As is immediately obvious, the largest GIC measurement at ISL M6 across the 25 326 events occurred on 29 October 2003. Peak currents occurred at 06:12 UT, shortly after a 327 storm sudden commencement at 06:11 UT. Figure 3 shows the time variation of the ISL M6 328 GIC observations on 29 October 2003. The timing of the 06:11 UT sudden commencement is 329 marked with a heavy magenta line, which clearly coincides with the beginning of significant 330 GIC activity. A second, slightly smaller GIC peak (22.3 A), is also marked in this Figure at 331 19:21 UT. 332

One possible, and simple, explanation for the different scaling relationships between the EYR-peak |H'| values and peak ISL M6 GIC magnitudes seen across the first three events in Table 1 is differing time resolution between the data. Other possible explanations are modifications in the electrical grid topology from event to event, or variations in the direction of the magnetic field change in the horizontal plane giving rise to different E_x and E_y fields that depend on the conductivity structure. Here we examine the first, and simplest, explanation.

The peak |H'| values come from the 1 min resolution EYR observations. In contrast, the time 340 resolution of the GIC data for the Halloween Storm period (Table 1 events 2 and 3) was 341 ~10 s, such that faster variations in |H'| might cause different responses in the GIC. We 342 explore this by examining 5 s time resolution EYR measurements which were available for 343 that storm period (this was the highest EYR time resolution at that time). Figure 4 contrasts 344 the time variation of the |H'| values from the 60 s (upper panel) and 5 s (lower panel) 345 346 resolution data. In both cases the rate of the change of the H-component is shown with the same units of nT/min. For the 60 s resolution data the 06:11 UT sudden commencement has a 347 peak |H'| value of 166.2 nT/min, while the |H'| value at the time of the second pulse of GIC 348 seen at 19:21 UT in Figure 3 was 86.7 nT/min. In contrast the ~06:11 UT sudden 349

commencement has a peak |H'| value of 583.1 nT/min in the 5 s resolution data, with the ~19:21 UT value being 434.3 nT/min. The ratio of the first and second GIC peaks is ~1.53 (i.e., 34.1/22.3), which is more similar to the ratio of 1.34 between the corresponding peaks in the 5 s resolution data, rather than those for the 60 s data, which is 1.92. In addition, the higher time resolution |H'| values have a more similar time variation to that seen in the GIC measurements (Figure 3), suggesting that the higher time resolution magnetic field measurements are better at capturing the driving of the GIC.

5. Comparison between 60 s and 5 s resolution *H'* values

Mac Manus et al. [2017] examined the correlation between the time variations of the 358 geomagnetic fields and the GIC observations. We now examine the correlation between the 359 peak GIC magnitudes at the ISL M6 transformer and the peak |H'| values for the 25 events 360 described previously. As a starting point we make use of the one minute EYR magnetometer 361 data, as this is available for all 25 events. A plot of the GIC magnitudes against 60 s 362 resolution peak |H'| values is shown by the blue crosses in Figure 5. A linear fit is shown by 363 the red dashed line. The coefficient of determination (r^2) is 0.71, suggesting a fairly strong 364 correlation, although there is still significant scatter. It seems unlikely that this is due to the 365 distance from the Islington substation to the EYR magnetic observatory, as this is only 366 ~12 km. The scatter might in part be due to the use of 60 s resolution magnetic field data, 367 which we consider in the next section. 368

Following on from the results of the case study presented in Section 4, we investigate the relationship between the ISL M6 GIC and the available higher time resolution H' values. The available information is summarized in Table 2. While one minute EYR magnetometer observations have always been available, across the 2001-2015 time window various levels of higher time resolution measurements have sometimes been collected. These are 20 s, 5 s, and 1 s resolution data. Generally only one level of higher time resolution measurements

have been available at a given time, although in some rare cases there have been two (e.g., for
the storm on 15 May 2005 at 8:17 UT, both 5 s and 20 s are available in addition to the 60 s
resolution observations.

The higher time resolution data provide some explanation for the observed ISL M6 GIC 378 responses. As was detailed in Section 4, the 5 s H' peak values for events 2 and 3 appear to 379 better explain the magnitudes of the ISL M6 GIC. Event 16 (11 Sep 2005 5:37 UT) also 380 supports using the value of higher resolution magnetic field observations to investigate peak 381 GIC magnitude. Here the H' value of ~295 nT/min is very similar to that for event 2 382 (~298 nT/min), as are the GIC magnitudes (19.2 A c.f., 21.1 A). However, this apparent 383 pattern is at least partially confounded by event 5 (18 Feb 2003 5:08 UT), where both the 60 s 384 and 5 s resolution H' peak values would imply a larger GIC than that observed. Nonetheless, 385 as is shown in Figure 6, typically the higher resolution H' values predict the GIC magnitudes 386 at ISL M6 better than the one minute value do. While we are restricted to only 13 events, due 387 to data availability, there is a significantly improved r^2 value of 0.88 seen for the fit in Figure 388 6 when compared to that for Figure 5 ($r^2=0.71$). Note that moving to even higher time 389 resolution magnetometer observations leads to very poor correlations. As can be seen from 390 Table 2 the 1 s resolution H' peak values do not seem to relate well to the GIC, confirmed by 391 the extremely poor r^2 value of 0.08 for the associated linear fit. This most likely reflects noise 392 problems with the EYR 1 s observations used to derive the rates of change, rather than a 393 sudden transition in the fundamental physics on this time scale. 394

Although the changing geomagnetic field is the fundamental driver of the GIC, the GIC are caused by the induced geo-electric field. While the geo-electric field is determined from the rates of change of the magnetic field through Faraday's Law, the geo-electric field depends on both the electrical structure of the Earth and the time history of the changing magnetic field, not the immediate value of dB/dt. As such there can be phase lags between the changing magnetic field and peak GIC levels; from fundamental physical theory such offsets will vary

depending on the frequency variations in the driving magnetic field and the varying
 penetration of these time varying fields into the Earth.

403 **6. Extrapolation to Extreme Storms**

The goal of this study is to provide an estimate of the maximum GIC which might be 404 expected in New Zealand transformers during an extreme geomagnetic storm. In a general 405 sense this style of question is best answered through modeling studies [e.g., Beggan et al., 406 2013; Kelly et al., 2017], and at this point such a model is being developed for New Zealand, 407 to be validated by the South Island GIC measurements. Nonetheless, the work presented in 408 the preceding sections of the current study allows some preliminary estimates to be made. We 409 follow similar studies undertaken using 30 years of European magnetic observatories 410 [Thomson et al., 2011], as many of these lie at similar geomagnetic latitudes to New Zealand 411 (particularly those in the United Kingdom). Thomson et al. [2011] concluded that the 412 estimated 100 year return period maxima for H' is 1000-4000 nT/min, while the 200 year 413 return period values range from 1000-6000 nT/min (with the range representing 95% 414 confidence). Following the United Kingdom estimate for an extreme event of 5000 nT/min 415 [Cannon et al., 2013] we use 3000 nT/min and 5000 nT/min as an initial representation of 416 extreme storms with 100 and 200 year return periods, respectively, but take the 95% 417 confidence interval range as an indication of the possible span. 418

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420 6.1 ISL M6 Extreme Storm Estimates

Figure 7 linearly extrapolates the fitted line of ISL M6 GIC magnitudes from Figure 5 to |H'|values that cover the extreme storm range. In this figure the estimated peak GIC at ISL M6 for two suggested representations of a 100 year return period storm are shown in magenta, while the red stars and current values are for the representations of a 200 year return period storm. As the differences between the various H' extreme storm representations are large, there are also significant differences in the GIC estimates. For a 100 year return period

geomagnetic storm the peak GIC predicted for ISL M6 is 455 A, while for a 200 year return 427 period geomagnetic storm this is 755 A. If we use the full 95% confidence interval range of 428 1000-4000 nT/min reported by Thomson et al. [2011] for a 100 year return period storm the 429 peak GIC predicted for ISL spans 153-605 A. This should be contrasted with the Thomson et 430 al. [2011] 95% confidence interval range for a 200 year return period storm of 1000-431 6000 nT/min, leading to GIC spanning 153-907 A. The confidence interval ranges are shown 432 by the ringed points in Figure 7. Such a large range emphasizes the large uncertainties 433 associated with extreme event analysis. 434

Again, we note that these results should be re-examined once a model is produced and
validated to predict GIC in New Zealand.

437

438 6.2 HWB T4 Extreme Storm Estimates

Since 2012 GIC has been monitored at HWB T4, the location of the transformer written 439 off after the 6 November 2001 storm (event 1 in Table 1). As might be expected by the 440 sensitivity shown by HWB to the 6 November 2001 event, the currents observed at HWB 441 T4 during significant geomagnetic storms are large; in most cases the GIC observed in 442 Dunedin and specifically Halfway Bush are the largest of all the measuring locations across 443 the South Island. An example of this was shown by Mac Manus et al. [Fig. 7, 2017] for a 444 storm on 2 October 2013. The observed GIC peaked at 1:56 UT (event 9 in Table 1), with 445 the HWB T4 GIC nearly reaching 49 A, in contrast to the ~19 A at ISL M6. 446

Generally, the GIC at HWB T4 are considerably larger than those at ISL M6. Table 1 includes the HWB T4 GIC magnitudes for the five events for which measurements were being made at that transformer, as well as the ratio between the GIC at HWB T4 and ISL M6. For most events (4 of the 5) there is a fairly consistent ratio between the GIC magnitudes at the two locations, ranging from 2.6-3.3 with a mean of 2.9 and median of 2.85. The exception is event 25 (22 Jun 2015 18:34 UT) for which it is clear that the HWB

T4 current measurements failed to capture the peak of the ~2 min spike at the start of the event, while the ISL M6 data does capture this spike. For the remainder of the 16 hour period for which there was significant GIC present, the HWB T4 observations were ~3 times larger than ISL M6 (not shown) consistent with the other 4 events.

On the basis of these observations we suggest that there is consistently an approximate 457 factor of 3 between the HWB T4 and ISL M6 GIC, such that the currents expected during 458 extreme storms will be ~3 times larger at HWB T4 than at ISL M6. Using the extrapolation 459 shown in Figure 7 would therefore predict that for a 100 year return period geomagnetic 460 storm the peak GIC predicted for HWB T4 spans from ~460-1815 A (using the 95% 461 confidence interval range), while for a 200 year return period geomagnetic storm this is 462 ~460-2720 A. At this point the reason for the factor of three difference between the GIC 463 observed at the two different transformer locations is unclear. It likely depends upon 464 network layout and electrical properties, and the varying ground conductivity, and will be 465 one of the questions we hope to answer through the modeling studies we have now started. 466

467

468 6.3 Hazard Estimates

While the extreme storm GIC magnitudes discussed above are clearly rough estimates, 469 albeit based on empirical evidence, the size of these currents are concerning. They are very 470 large, and it seems like they will produce failures in the South Island grid. As is evident 471 from the scientific literature, it is unclear what level of GIC will produce difficulties or 472 failure of transformers. In most cases the transformers which form the backbone of 473 electrical networks are built to order, with significant differences from transformer to 474 transformer. Nonetheless, we can use the New Zealand observations to estimate the peak 475 GIC at HWB T4 when this transformer failed on 6 November 2001 (event 1 of Table 1). 476 While GIC were not monitored at HWB T4 at this time, the peak GIC at ISL M6 was 477 measured as 33.1 A. As the HWB T4 GIC are typically ~3 times those at ISL M6 this 478

suggests the one of the single phase transformers making up HWB T4 failed at ~ 100 A. 479 While 100 A appears a fairly low value compared to the very large GIC values we found for 480 extreme storms, this estimate is similar to another already in the literature. Modeling of the 481 GIC experienced at the large step-up transformer which failed at the Salem Nuclear Power 482 Plant during the Hydro Quebec storm of March 1989 suggested the maximum GIC at that 483 time was ~95 A [Kappenman, Fig 4-8, 2010], close to the maximum possible for a 484 transformer of this design [Girgis and Ko, 1992]. Note, however, that the 100 A value is 485 only a rather rough indication of the level at which a transformer may be damaged in a rapid 486 event. Much more detailed analysis is required to understand the transformer response to 487 given GIC amplitudes and waveforms. In addition, it has been suggested that multiple 488 exposures to comparatively low levels of GIC can lead to degradation and subsequent 489 failure [Moodlev and Gaunt, 2017], without a single large GIC event. 490

Based on Table 1 it is tempting to conclude that the highest GIC risk in the South Island 491 electrical network is to transformers in Dunedin. However, while rather large GIC have 492 been observed there during the geomagnetic storms of the last years, a comprehensive 493 modeling study (or more comprehensive measurements) are needed to establish where the 494 largest currents are occurring. Nonetheless, New Zealand seems to experience higher GIC 495 than other island countries located at mid-latitudes. It may be that New Zealand's 496 comparatively sparse electrical network with long distances between substations contributes 497 to the higher GIC levels. During the 30 October 2003 severe geomagnetic storm, the 498 measured and modeled GIC magnitudes in the Scottish part of the UK grid exceeded ~40 A 499 [Thomson et al., 2005]. The largest currents seen during two years of GIC observations 500 501 from Hokkaido, Japan was ~4 A [Watari et al., 2009], with modeling suggesting the maximum GIC expected at this location for the 6 November 2001 storm would have been 502 ~15 A [Pulkkinen et al., 2010]. 503

504 7. Summary and Discussion

In this study we have focused on GIC observations available from 2001-2015 at the number 6 transformer in the Islington substation (Christchurch, New Zealand). Our goal has been to better understand the properties of GIC at this location, which was the site of the largest measured currents during New Zealand's most significant space weather event to date, such that estimates can be made of the GIC expected for extreme storms.

510 We have shown that:

511 1. The highest rate of change of the horizontal component of the magnetic field (H')512 observed in New Zealand magnetometer data across these 15 years was on 6 November 513 2001, at the time of the most serious Space Weather impact to the South Island electrical 514 grid.

2. The size of the New Zealand H' values correlates poorly to global geomagnetic indices (ap, Kp, and aa* rank). While it is clear that our large H' values occur during geomagnetic storms that are significant on a global scale, the New Zealand H' values are not well linked to the global intensities.

3. The size of the New Zealand *H'* values are better linked to the local geomagnetic activity index, the Eyrewell magnetic observatory K values. However, there is no direct correlation between these parameters, likely due to the very different time scales on which activity is measured.

4. In general, the peak GIC magnitude reported from ISL M6 shows a good correlation $(r^2=0.71)$ with the magnitude of the New Zealand *H'* values derived from 60 s resolution observatory data.

526 5. In some cases the ISL M6 peak GIC magnitudes are better explained by H' values 527 determined from higher resolution observatory data. In general, there is a strong correlation 528 $(r^2=0.88)$ between ISL M6 GIC and 5 s resolution H' values. We suggest that monitoring of

the high time resolution *H'* values would be of value to the New Zealand grid operator,
Transpower Ltd.

6. We consider two approaches for determining the likely peak GIC at ISL M6 for extreme geomagnetic storms. Using *H*' values with a time resolution of 60 s the peak GIC predicted for a 100 year return period geomagnetic storm of~455 A was estimated (with the 95% confidence interval range being ~155-605 A). For 200 year return periods using 5000 nT/min, current estimates reach ~755 A (confidence interval range 155-910 A). The large ranges in these peak GIC values come from the large range in suggested extreme storm *H*' values.

7. Peak GIC at transformer number 4 of the Halfway Bush substation (HWB T4, Dunedin,
New Zealand) tends to be ~3 times larger than those observed at ISL M6. As such the
extreme storm GIC will be ~3 times larger than those given in point 6, i.e., maximum values
for a 100 year return period storm of 1815 A, and a 200 year return period maximum of
2720 A.

8. Based on the failure of HWB T4 during the storm of 6 November 2001, the risk level for
this transformer is ~100 A, similar to some other estimates for other transformers in the
literature.

546

The size of the peak GIC we have estimated for extreme geomagnetic storms at HWB T4 547 is significantly higher than those recently reported for potential maximum values in the 548 United Kingdom network. Beggan et al. [2013] suggested that the maximum GIC in one 549 network node (equivalent to a substation) was 460 A for a 200 year return level storm, 550 551 while Kelly et al. [2017] suggested an extreme "Carrington level" storm lead to a maximum computed node value of 832 A. One assumes that the substation corresponding to the node 552 will include more than one transformer, and the current will be distributed amongst those 553 transformers, making the comparison more extreme. Nonetheless our estimate is based on 554

an extrapolation from experimental observations. While the estimates and analysis in this study are specific to New Zealand, they should be relevant to GIC investigations in other mid-latitude locations. It may be that the New Zealand network structure and ground conductivity makes the South Island more vulnerable than the United Kingdom. We are currently undertaking modeling studies to explore this, which will be reported in a future paper.

561

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566 Data availability is described at the following websites: 567 http://www.intermagnet.org/imos/imos-list/imos-details-eng.php?iaga_code=EYR

(Evrewell magnetometer). K indices for Evrewell are available by contacting one of the 568 coauthors, Tanja Petersen (T.Petersen@gns.cri.nz). The New Zealand LEM DC data from 569 which we determined GIC measurements were provided to us by Transpower New Zealand 570 with caveats and restrictions. This includes requirements of permission before all 571 publications and presentations. In addition, we are unable to directly provide the New 572 Zealand LEM DC data or the derived GIC observations. Requests for access to the 573 measurements need to be made to Transpower New Zealand. At this time the contact point 574 is Michael Dalzell (Michael.Dalzell@transpower.co.nz). We are very grateful for the 575 substantial data access they have provided, noting this can be a challenge in the Space 576 577 Weather field [Hapgood and Knipp, 2016]. The Kp and ap data came from the World Data for Solar-Terrestrial Centre Physics, Chilton, UK 578 (https://www.ukssdc.ac.uk/wdcc1/geophy_menu.html), the aa* data from British Geological 579 Survey (http://www.geomag.bgs.ac.uk/data_service/data/magnetic_indices/aaindex.html). 580

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- 729

730 RODGER ET AL.: EXTREME STORM GIC ESTIMATES FOR NEW ZEALAND

		Peak H'	aa*	ap		EYR ak	ISL M6	HWB H4	D (1
#	Peak Time [UT]	[n1/min]	rank	[2 nT]	Кр	[n'I']	GIC [A]	GIC [A]	Ratio
1	6 Nov 2001 1:52	190.8	7	300	9-	480	33.1	-	-
2	31 Oct 2003 5:36	170.6	1	179	8-	480	21.1	-	-
3	29 Oct 2003 6:11	166.2	1	400	90	480	34.1	-	-
4	24 Nov 2001 5:56	115.3	8	56	5+	160	-	-	-
5	18 Feb 2003 5:08	109.4	19	56	5+	160	12.5	-	-
6	15 May 2005 8:17	97.9	17	236	8+	480	15	-	-
7	8 Nov 2004 7:12	90.2	3	236	8+	800	14.9	-	-
8	29 May 2003 22:09	88.7	4	236	8+	160	14.3	-	-
9	2 Oct 2013 1:56	85.6	68	56	5+	96	19.1	48.8	2.6
10	20 Nov 2003 18:36	78	2	300	9-	800	12.1	-	-
11	10 Nov 2004 2:42	74.9	3	179	8-	280	14.2	-	-
12	4 Nov 2003 6:27	73.9	3	94	6+	160	13	-	-
13	17 Mar 2015 4:46	68.6	6	39	5-	96	17	47.9	2.8
14	23 Apr 2002 4:49	66.9	289	39	5-	96	11.1	-	-
15	25 Sep 2001 23:15	64.7	64	154	7+	160	-	-	-
16	11 Sep 2005 5:37	62.6	14	132	7o	160	19.2	-	-
17	22 Oct 2001 1:36	60.8	16	132	7o	160	-	-	-
18	21 Jan 2005 23:18	60.3	26	154	7+	160	8	-	-
19	26 Jul 2004 22:50	57.6	5	154	7+	280	18.6	-	-
20	31 Mar 2001 4:34	57.5	4	300	9-	280	-		-
21	5 Dec 2004 7:47	56.8	382	32	4+	96	8.6	-	-
22	17 Mar 2013 6:01	54.3	44	111	7-	96	13.2	43.9	3.3
23	24 Oct 2003 15:25	52.5	81	111	7-	96	7.3	-	-
24	11 Apr 2001 21:41	51.4	12	236	8+	160	-	-	-
25	22 Jun 2015 18:34	51.2	15	236	8+	480	12.2	12.8	1.0
26	17 Apr 2002 11:07	47.8	22	80	60	96	6.5	-	-
27	12 Sep 2014 15:55	43.3	105	48	50	54	9.8	28.6	2.9
28	9 May 2003 7:43	42.7	70	48	50	96	4.6	-	-
29	13 Apr 2001 10:42	42.4	12	154	7+	160	-	-	-
30	18 Mar 2002 13:23	41.6	206	48	50	54	5.9	-	-
31	26 Sep 2011 19:38	40.6	46	94	6+	14	8.7	-	-

733

Table 1. Properties of the time periods where the rate of change of the horizontal 734 component of the magnetic field (|H'|) observed from the Eyrewell (EYR) magnetometer 735 was >40 nT/min. aa*, ap and Kp are global geomagnetic indices, while the EYR ak is the ak 736 index derived from the Eyrewell magnetic observations. The two GIC columns are the 737 magnitudes of the currents observed at the number 6 transformer at Islington substation 738 (ISL M6) and the number 4 transformer at Halfway Bush substation (HWB T4), while Ratio 739 740 is the ratio of the two GIC quantities (HWB T4/ISL M6). Complete data is only given for events when there are GIC observations at ISL M6. 741

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		60 s	20 s	5 s	1 s	ISL M6
#	Time [UT]	[nT/min]	[nT/min]	[nT/min]	[nT/min]	GIC (A)
1	6 Nov 2001 1:52	190.8	273.0	-	-	33.1
2	31 Oct 2003 5:36	170.6	-	297.8	-	21.1
3	29 Oct 2003 6:11	166.2	-	583.1	-	34.1
5	18 Feb 2003 5:08	109.4	-	347.5	-	12.5
6	15 May 2005 8:17	97.9	198.6	223.2	-	15.0
7	8 Nov 2004 7:12	90.2	111.9	-	-	14.9
8	29 May 2003 22:09	88.7	-	248.2	-	14.3
9	2 Oct 2013 1:56	85.6	-	-	165.0	19.1
10	20 Nov 2003 18:36	78.0	-	161.3	-	12.1
11	10 Nov 2004 2:42	74.9	-	-	-	14.2
12	4 Nov 2003 6:27	73.9	-	161.3	-	13.0
13	17 Mar 2015 4:46	68.6	-	-	246.7	17.0
14	23 Apr 2002 4:49	66.9	-	186.1	-	11.1
16	11 Sep 2005 5:37	62.6	245.1	295.2	-	19.2
18	21 Jan 2005 23:18	60.3	77.4	-	-	8.0
19	26 Jul 2004 22:50	57.6	328.8	-	-	18.6
21	5 Dec 2004 7:47	56.8	-	-	-	8.6
22	17 Mar 2013 6:01	54.3	-	-	228.2	13.2
23	24 Oct 2003 15:25	52.5	-	86.9	-	7.3
25	22 Jun 2015 18:34	51.2	-	-	237.5	12.2
26	17 Apr 2002 11:07	47.8	-	62.0	-	6.5
27	12 Sep 2014 15:55	43.3	-	-	82.0	9.8
28	9 May 2003 7:43	42.7	-	62.0	-	4.6
30	18 Mar 2002 13:23	41.6	-	62.0	-	5.9
31	26 Sep 2011 19:38	40.6	-	-	195.6	8.7

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Table 2. Additional information on the EYR magnetic field observations of |H'| for the events shown in Table 1. In all cases there are additional observations of the rate of change of the horizontal component of the magnetic field (|H'|) made at higher time resolution, either 20 s, 5 s, or 1 s resolution. Events have been included in the table only when there are GIC observations at ISL M6.

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Figure 1. Map of the South Island of New Zealand showing the Transpower New Zealand electrical transmission network (colored lines). The heavy purple line is the HVDC line linking the South Island and North Island electrical networks. The other colored lines in this figure show the routes of the Transpower transmission lines, with different colors representing different voltages (orange = 220 kV, red = 110 kV, light blue = 50/66 kV). Stars show the location of the Islington and Halfway Bush substations. The location of the primary New Zealand magnetic observatory, Eyrewell, is given by the blue hexagon.

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Figure 2. Comparison between geomagnetic index values and the peak |H'| value determined from the Eyrewell (EYR) magnetic observatory data. The upper two panels are the global indices ap and Kp, while the lower panel is the Eyrewell-derived ak-index.





Figure 3. Time variation of GIC observed at the number 6 transformer at Islington
substation (ISL M6) at the start of the 2003 Halloween storm. This began with a sudden
storm commencement at 06:11 UT on 29 October 2003, as marked by the first magenta line.
A second significant GIC impulse is marked at 19:21 UT.



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Figure 4. Time variation of |H'| values derived from Eyrewell (EYR) magnetic observatory data at the start of the 2003 Halloween storm, in a format similar to Figure 3. The upper panel uses 60 s resolution magnetic field data, while the lower panel uses the 5 s data.



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Figure 5. Comparison between the magnitude of the GIC observed at the number 6 transformer at Islington substation (ISL M6) with peak |H'| values derived from 60 s Eyrewell (EYR) magnetic observatory data. The dashed red line is a linear fit to the 25 events.



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Figure 6. Comparison between the magnitude of the GIC observed at the number 6 transformer at Islington substation (ISL M6) with peak |H'| values derived from 5 s Eyrewell (EYR) magnetic observatory data, in the same format as Figure 5.





Figure 7. Estimates of the peak GIC predicted for transformer number 6 at the Islington substation (ISL M6) based on H' rates for extreme storms and an extrapolation from the fitting in Figure 5. Results for return periods of 100 years are shown by magenta stars, and 200 year return periods by red stars. The 95% confidence interval range is show by the ringed values (1000-4000 nT/min for 100 years and 1000-6000 nT/min for 200 years).

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.

