# The source regions of whistlers

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# Key Points:

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16	•	We present a method for identifying the geographical source regions of lightning
17		generated whistlers.
18	•	Whistler source regions corresponding to 15 fixed whistler detector ground sta-
19		tions are determined.
20	•	Whistler transmission rates as a function of location are also determined.

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#### 21 Abstract

We present a new method for identifying the source regions of lightning generated whistlers 22 observed at a fixed location. In addition to the spatial distribution of causative light-23 ning discharges, we calculate the ratio of lightning discharges transmitted into ground 24 detectable whistlers as a function of location. Our method relies on the time of the whistlers 25 and the time and source location of spherics from a global lightning database. We ap-26 ply this method to whistlers recorded at fifteen ground based stations in the AWDANet 27 (Automatic Whistler Detector and Analyzer Network) operating between 2007-2018 and 28 to located lightning strokes from the WWLLN (World Wide Lightning Location Net-29 work) database. We present the obtained maps of causative lightning and transmission 30 rates. Our results show that the source region of whistlers corresponding to each ground 31 station is around the magnetic conjugate point of the respective station. The size of the 32 source region is typically less than 2000 km in radius with a small fraction of sources ex-33 tending to up to 3500 km. The transmission ratio is maximal at the conjugate point and 34 decreases with increasing distance from it. This conforms to the theory that whistlers 35 detected on the ground propagated in a ducted mode through the plasmasphere and thus 36 the lightning strokes of their causative spherics must cluster around the footprint of the 37 ducts in the other hemisphere. Our method applied resolves the whistler excitation re-38 gion mystery that resulted from correlation-based analysis methods, concerning the source 39 40 region of whistlers detected in Dunedin, New Zealand.

### 41 **1** Introduction

Whistlers are very low frequency (VLF) electromagnetic waves originating from light-42 ning discharges. These waves can penetrate into and through the ionosphere, entering 43 the magnetosphere. They take a specific time-frequency shape through propagating in 44 a dispersive medium, which is the magnetospheric plasma surrounding the Earth (Helliwell, 45 1965). Some of these waves may be trapped in field aligned density plasma irregulari-46 ties, or ducts, extending between the two hemispheres. The trapping mechanism is ex-47 plained by the theory of Smith et al. (1960). Smith (1961) lists evidence of the existence 48 of ducts of enhanced density and describes whistler propagation in this structure. The 49 ducts guide the whistlers to the magnetic conjugate point, where, given the right con-50 ditions, they can re-enter through the ionosphere and become detectable on the ground 51 in the conjugate hemisphere. Another significant portion of the signals remain non-ducted. 52

Lightning generated whistlers are known to significantly affect the radiation belts 53 through wave-particle interactions, causing acceleration (Trakhtengerts et al., 2003) and 54 losses, be it oblique or magnetospherically reflected whistlers (Lauben et al., 2001; Bort-55 nik et al., 2006) or ducted whistlers (Helliwell et al., 1973; Rodger et al., 2004). This whistler 56 induced precipitation in turn influences the ionosphere (Helliwell et al., 1973; Rodger et 57 al., 2007). It has been shown that most lightning generated spherics leak into the iono-58 sphere (Holzworth et al., 1999) and during strong lightning activity a significant frac-59 tion will reach the equatorial magnetosphere as whistlers (Zheng et al., 2016). They sub-60 stantially affect the overall wave intensity in this region at the relevant frequencies (Záhlava 61 et al., 2018). The shape of the whistler signals carry information on the magnetospheric 62 plasma and has been used to investigate the plasmasphere (Helliwell, 1965; Park, 1972; 63 Carpenter, 1988; Lichtenberger et al., 2013). Specifically, they serve as a ground based 64 tool for mapping electron densities in the plasmasphere (Park et al., 1978). The detec-65 tion and analysis of these waves have recently become a fully automated operation (Lichtenberger 66 et al., 2008, 2010). The fact that whistlers are used as a remote sensing tool to study 67 the plasmaphere and their role in controlling radiation belt populations provide a mo-68 tivation to better understand their source and propagation. 69

The conditions for VLF signal propagation into the magnetosphere are better at high geomagnetic latitudes (Helliwell, 1965), while lightning occurs predominantly in the

tropics, at low geographic latitudes (Christian et al., 2003). Thus, the resulting long term 72 whistler rate at any location is a result of the two effects. Evidence suggests that there 73 exists a low-latitude cutoff at geomagnetic latitude  $16^{\circ}$  below which no whistlers can be 74 observed on the ground, either due to a lack of appropriate trapping and transmission 75 conditions or a lack of ducts (Rao et al., 1974; Helliwell, 1965). Furthermore, there are 76 a number of variable conditions that can influence the transmission of whistlers to the 77 ground, such as lightning activity at any given time, ionospheric conditions, the pres-78 ence of ducts, etc. The occurrence rate of whistlers is generally much lower than the rate 79 of conjugate lightning discharges. Thus, it is of interest to know which factors determine 80 the reception of whistlers. Since lightning remains a source effect, understanding its role 81 is a necessary first if we are to untangle these various factors. 82

While the general picture of whistler propagation is understood, the exact location 83 and extent of the source region is unknown. A natural assumption is that the source is 84 symmetrically centered on the conjugate point, but this has not been demonstrated. Yoshino 85 (1976) found that lightning activity was displaced poleward and west from the conju-86 gate point of Sugadaira, Japan, while Oster (2009) observed the source lightning distri-87 bution extending poleward and east from the conjugate point of Tihany, Hungary. Gokani 88 et al. (2015) observed a similar tendency for source lightning corresponding to very low 89 latitude whistlers observed at Allahabad, India. While the propagation mechanism of 90 very low latitude whistlers is not well understood, they showed the likely source region 91 to be within 1000 km radius of the conjugate point. Whistlers have been associated with 92 lightning strokes occurring at 2000 km from the duct footprint by Storey (1953), 2500 km 93 by Carpenter and Orville (1989) and, in the case of whistlers at polar latitudes, several 94 thousand km by Allcock (1960). Such propagation paths for whistler-mode waves were 95 confirmed by Clilverd et al. (1992). We note that even for whistlers that are eventually 96 trapped in ducts, a part of the path in the ionosphere and magnetosphere may be non-97 ducted. According to a review by Holzworth et al. (1999), upward going whistlers can 98 be detected in the ionosphere at over 1000 km horizontal distance from the lightning sources, 99 based on rocket experiments. Chum et al. (2006) manually identified 3500 fractional hop 100 whistlers on the DEMETER satellite in low Earth orbit and paired them to lightning 101 strokes from the EUCLID (European Cooperation for Lightning Detection) regional database, 102 finding that lightning discharges enter into the magnetosphere as whistler mode waves 103 at distances up to 1500 km from the source. 104

An example of the holes in our understanding of whistler sources is the "Dunedin 105 paradox". Lightning rate at the corresponding conjugate point is orders of magnitude 106 lower than the whistler rate observed in Dunedin, New Zealand. In addition, the time 107 of the daily peak of the whistler rate at Dunedin is in disagreement with the peak of light-108 ning activity at the conjugate point (Rodger, Lichtenberger, et al., 2009). The results 109 of Collier et al. (2010) suggest tropical Mexico, over 7000 km from the conjugate point, 110 as the source region, which, however, seem to contradict our understanding of the fun-111 damental physics and also other observations (Morgan & Allcock, 1956; Antel et al., 2014). 112

Apart from the direct matching of a small number of whistlers to lightning discharges, 113 as done by Storey (1953); Allcock (1960); Carpenter and Orville (1989), there have been 114 few more general correlation studies. Ohta and Hayakawa (1990) found no correlation 115 between whistler rates at Yamaoka, Japan, and lightning flash rates at the vicinity of 116 its conjugate point in Australia. Whistler measurements were done between 1 to 15 Jan-117 uary of every year from 1977 to 1987; while only monthly flash counts were available in 118 the conjugate area, within a 50 km range, providing a limited dataset. Collier et al. (2006) 119 compared the diurnal and seasonal rate of whistlers observed at a mid-latitude station 120 (Tihany, Hungary, L=1.8) and the lightning activity in the assumed source region based 121 on data from WWLLN and LIS/TRMM (Lightning Imaging Sensor on the Tropical Rain-122 fall Measuring Mission satellite), with results broadly consistent with expectations. 123

Collier et al. (2009) presented a method of calculating Pearson correlation coeffi-124 cients between whistler rates and lightning rates separately for each of the grid cells span-125 ning the globe. They applied this method to whistlers recorded at Tihany station, Hun-126 gary, between 1 January 2003 and 19 May 2005. The results showed significant positive 127 correlation near the conjugate point of the station within a  $\sim 1000$  km radius, especially 128 in the afternoon and early night. Collier et al. (2010) applied the same method to whistler 129 series from Dunedin, New Zealand, recorded between 20 May 2005 and 13 April 2009. 130 In this case, the results showed a lack of correlation in the vicinity of the conjugate point 131 (near Alaska Peninsula), weak correlation over the North Pacific, and strong correlation 132 in Mexico. Since the Pearson correlation coefficient is sensitive to large spikes that are 133 often present in lightning discharge rates, Collier et al. (2009, 2010) used a boolean round-134 ing, collating event counts within a predetermined time slot ( $\Delta t = 1$ min) to 0 or 1 to 135 overcome this problem, at the cost of losing some amount of information. In a subsequent 136 study by Collier et al. (2011) of whistlers recorded at Rothera, Antarctica, between 13 137 May 2008 and 30 December 2009, no such reduction was applied to the event rates. In 138 this case, significant positive correlation over the Gulf stream, an active lightning cen-139 ter near the conjugate point of Rothera, was observed. In all of the studies mentioned 140 (Collier et al., 2009, 2010, 2011), the method lead to other, additional areas of positive 141 correlation, far away from the conjugate points, that may or may not be actual sources. 142 A similar study by Vodinchar et al. (2014) analysed whistlers detected at Karymshina, 143 Kamchatka, between 1 to 11 March 2013 and 1 to 30 September, 2013. In addition to 144 Pearson correlation coefficients with boolean rounding, their analysis applied Spearman 145 rank correlation coefficients to the real (unrounded) whistler time series and lightning 146 time series calculated for each continent. No significant positive correlation was found 147 near the conjugate point of the station, or in surrounding Australia in general. 148

Collier et al. (2011) also present another, different method for mapping causative 149 lightning strokes. Instead of relying on correlations, this direct method simply registers 150 every lightning stroke that falls within a predetermined time window preceding each whistler 151 recorded at a specific ground station, Rothera, in this case, and the geographic distri-152 bution of those lightning strokes are presented on a density map. The results show a strong 153 reminiscence of the lightning density distribution around the Gulf Stream, an area with 154 high frequency of lightning, leading to a much more well defined result than those of the 155 correlation-based methods listed above. 156

The goal of our paper is a better understanding of the positions of causative lightning strokes that lead to whistlers. Once the source regions are reliably identified, subsequent studies can look into correlations between whistler counts and lightning in the source region. With the availability of long-term global lightning data through WWLLN, and our large dataset of AWDANet whistler measurements from fifteen stations around the world recorded over twelve years, we can extend our investigation into a significantly larger scale than previous studies.

# 164 2 Data

The WWLLN (World Wide Lightning Location Network, http://www.wwlln.com/) 165 is a global network consisting of VLF sensors. The network uses the time of group ar-166 rival method from at least five stations to locate individual lightning strokes. Due to the 167 low attenuation of VLF waves in the Earth-ionosphere waveguide, it has global sensi-168 tivity, as opposed to regional lightning detector networks often operating at higher fre-169 quencies. A temporary drop-out of any single station has only a slight effect on the de-170 tection efficiency (Hutchins et al., 2012). Therefore, the lightning stroke time series used 171 in this study was considered continuous, without any data gaps. 172

The number of stations in the network steadily increased from 23 stations in 2005 to  $\sim$ 70 stations at present. After the upgrades in the processing algorithm (used to re-

process the entire raw dataset), this expansion resulted in the total number of located 175 lightning strokes of 36 million in the year 2005 increasing to 208 million by 2017. WWLLN 176 is capable of detecting both cloud-to-ground (CG) and intracloud (IC) flashes of suffi-177 cient strength. The total detection efficiency (CG+IC) is estimated to have increased 178 from 2.6% in 2005 to about 15% in 2017 (Rodger et al., 2006; Rodger, Brundell, et al., 179 2009; Abarca et al., 2010; Rodger et al., 2014). In addition, detection efficiency is strongly 180 dependent on peak current, and can be as high as 35% for currents exceeding -130kA 181 (Abarca et al., 2010). Detection efficiency in the long term is lowest over ice covered sur-182 faces such as Greenland and Antarctica (Hutchins et al., 2012). Location accuracy is es-183 timated at < 10 km, much smaller than the pixel sizes on Figures 2-9. In the present study 184 we used  $2 \times 10^9$  lightning strokes with locations from WWLLN, recorded between 2007 185 and 2018. 186

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 Table 1. Whistler recordings processed in this study, in regional grouping

Station	Geodetic coordinates	L-value <sup><math>a</math></sup>	Years processed	Total number of whistlers	Max. transmission rate [%]
Dunedin	$45.7^{\circ}S, 170.5^{\circ}E$	2.78	2007-2017	3,660,000	75
Karymshina	53.0°N, 158.7°E	2.18	2012-2016	3,110,000	25
Palmer	$64.8^{\circ}S, 64.0^{\circ}W$	2.52	2009-2010	17,600,000	50
Rothera	$67.5^{\circ}S, 68.1^{\circ}W$	2.82	2008-2016	43,300,000	20
Halley	$75.6^{\circ}S, 26.6^{\circ}W$	4.75	2012 - 2015	4,300,000	30
SANAE	71.7°S, 2.8°W	4.60	2006-2016	1,780,000	20
Sutherland	$32.4^{\circ}S, 20.6^{\circ}E$	1.78	2007-2011	30,000	0.5
Grahamstown	$33.3^{\circ}S, 26.5^{\circ}E$	1.82	2015-2018	124,000	0.5
Marion Island	$46.9^{\circ}S, 37.9^{\circ}E$	2.68	2009-2016	$3,\!540,\!000$	12
Tihany	46.9°N, 16.9°E	1.83	2007-2017	820,000	4
Gyergyóújfalu	46.7°N, 25.5°E	1.84	2007-2016	120,000	2
Nagycenk/Muck	47.6°N, 17.7°E	1.81	2007-2018	285,000	3
Humain	50.2°N, 5.2°E	2.09	2011-2018	128,000	4
Eskdalemuir	55.3°N, 3.2°W	2.72	2011-2018	10,000	$\geq 12$
Tvärminne	$59.8^{\circ}N, 23.0^{\circ}E$	3.32	2013-2018	346,000	$\stackrel{-}{\geq} 15$

<sup>a</sup>At 100 km altitude and at the epoch of 2015 using the IGRF-12 geomagnetic model (Thébault et al., 2015).

The AWDANet (Automatic Whistler Detector and Analyzer Network) is a global 188 ground-based network of VLF stations that automatically detect and analyze whistlers 189 (Lichtenberger et al., 2008). In the first data processing segment, the detection of whistlers 190 yields a time series of whistler traces. The second segment, the analysis involves the scal-191 ing and inversion of each whistler signal, and yields plasmaspheric electron densities along 192 the propagation field line, the L-value of the field line, and an estimation of the time of 193 the originating lightning stroke (Lichtenberger et al., 2010). While the latter can be of 194 help when associating causative strokes to whistlers, currently only about 1 to 5% of the 195 input is successfully inverted by the algorithm, significantly reducing the statistics. There-196 fore, we chose to use the results of only the detector segment, the time series of whistler 197 traces. We note that both networks use GPS timing, and the time accuracy of AWDANet 198 whistlers is limited by the spread of the whistler traces to  $\sim 1$  ms, while the accuracy 199 of the reconstructed time of lightning strokes in WWLLN is  $\ll 1$  ms. 200

AWDANet has been in real-time operation providing prompt results since 2014. To extend this dataset, we have also processed available raw data between 2007 and 2014. The earlier (2002-2007) measurements were not based on GPS precision timing and there-

fore we excluded those from the analysis. Nevertheless, our method should in principle 204 work with less accurate times, too. Table 1 lists the detector stations in regional group-205 ings, the years of observations processed, and the total number of whistlers. Altogether 206 we used 77 million whistler traces in this study from the fifteen stations combined. Fi-207 nally, the last column of the table shows the so-called maximal transmission rate, or the 208 ratio of lightning in the source region that is transmitted into whistlers, a result of the 209 calculations explained in subsection 3.2. Note that the number of whistlers observed, and 210 as a consequence the transmission rate, too, is sensitive to the local noise at the station. 211

In addition to AWDANet stations, data from Palmer Station, Antarctica are also included in this report. The VLF system at Palmer Station consists of two orthogonal IGY loop antennas with a sensitivity of  $5.7 \times 10^{-18}$  THz<sup>-1/2</sup> at 10 kHz. The frequency response of the Palmer VLF system is flat between 130 Hz and 45 kHz, and data are recorded continuously at 100 kHz with 16-bit precision. Timing is supplied by a GPS-trained oscillator with 10<sup>-12</sup> frequency precision.

#### 218 **3** Method



Figure 1. Histogram of time differences between whistlers and lightning strokes, us-219 ing WWLLN global data (red) and data restricted to the conjugate region (green).  $\Delta t$ 220 =  $t_{\rm lightning},$  while N is the number of lightning-whistler pairs. The peak is due to 221 <sup>t</sup>whistler the tendency of whistlers to occur after causal lightning, while the background is caused by 222 chance matches between unrelated whistlers and lightning. The peak is much more prominent on 223 the regional map. Black dashed lines represent a time window for the selection of source strokes 224 (TM, total matches). Blue dotted lines show a window of identical length (CM, chance matches) 225 but with whistlers preceding lightning strokes to exclude any causality, used for the statistical 226 removal of chance matches. This example was computed from data received at Nagycenk station. 227

Correlation-based methods described in the Introduction have a number of weaknesses. First, the resulting correlation maps sometimes include areas of negative correlation that have no relevant physical meaning. Second, more importantly, areas of positive correlation do not necessarily imply causality. For example, it is conceivable that the diurnal variation of the lightning flash rate at one location is, simply by chance, similar to the diurnal variation of the whistler rate at another location, the latter arising from a combination of source lightning flash rate, diurnal ionospheric changes and other propagation effects in the ionosphere and the plasmasphere, leading to some level of positive correlation between the two. Thirdly, by the same logic, any real positive correlation between whistlers and causative lightning strokes may be damped by time dependent propagation effects between the source and the detection.

For this reason, instead of relying on perceived correlations, we focus on the direct method, explained in Collier et al. (2011) as a second method. This procedure attempts to directly pair up whistlers with their corresponding source lightning based solely on their timing. In an additional step we statistically correct for false matches, as explained in the next section

<sup>243</sup> in the next section.

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#### 3.1 Mapping causative lightning strokes



Figure 2. Demonstration of the steps of our method. (a) Total matches (TM): distribution 245 of all lightning strokes in the positive time window (lightning preceding whistlers, see Figure 1) 246 Pixel size is  $2^{\circ} \times 2^{\circ}$ , color represents the number of matched strokes (N) in the given pixel. (b) 247 Chance matches (CM): distribution of lightning activity in the negative time window (lightning 248 following whistlers, excluding causality, representing purely chance matches between the two). 249 (c) Excess matches (EM): difference between TM and CM. (d) Transmission rate (TR), or the 250 number of excess strokes divided by the climatology shown in Figure 3, or the total number of 251 WWLLN lightning strokes over the same time period. Transmission rate (R) is shown only in 252 the area of significant source lightning. All maps are smoothed using a 3  $\times$  3 pixel Gaussian ker-253 nel. The red cross marks the location of the whistler recording station, the black cross marks its 254 geomagnetic conjugate point using the IGRF-12 geomagnetic field model (Thébault et al., 2015). 255



"Climatology", or total number of WWLLN lightning strokes over the entire mea-Figure 3. 256 surement period of the whistler station in question (Dunedin, 2007-2017). Periods when the 257 whistler recording station was offline were excluded. Such maps are used for the normalization 258 of lightning source maps to produce transmission rate maps, an example of which is shown in 259 Figure 2d. Pixel sizes are same as for Figures 2a-2d,  $2^{\circ} \times 2^{\circ}$ . Pixel colours represent absolute 260 number of located lightning discharges within the pixel, without correction for pixel sizes vary-261 ing with latitude. Apart from this latitudinal factor, this map is similar to lightning density 262 climatologies normalized to flashes  $\mathrm{km}^{-2}$  yr<sup>-1</sup>, see e.g. the lightning climatology obtained from 263 WWLLN on Figure 2b in Virts et al. (2013). 264

The only adjustable parameter in the direct technique is the time window prior to 265 each whistler in which lightning strokes are considered. This should be different for ev-266 ery station and is thus set separately for each one. In theory, a practical time window 267 could be calculated as the minimum and maximum travel times of the source spheric trav-268 elling along the field line, depending on possible values of plasmaspheric density and field 269 line L-value. Since there are no sharp limits on these values, we instead experimentally 270 determine the appropriate time window from the data. Given a list of n whistler times 271 and m lightning times, first an  $n \times m$  matrix is computed of every possible time differ-272 ence between whistlers and lightning strokes, such that the value of matrix element  $M_{ii}$ 273 is the  $t_{\text{whistler}i} - t_{\text{lightning}j}$  difference of the time of the *i*-th whistler and the *j*-th light-274 ning. Next, an occurrence histogram of the time differences in the matrix is computed. 275 (A similar construct was used earlier by Chum et al. (2006).) 276

Figure 1 shows such a histogram, with a time bin of 50 ms, limited to practical val-277 ues of a couple of seconds around zero time difference. If the two time series were inde-278 pendent, the time differences would be distributed randomly and uniformly on the his-279 togram. The peak in the distribution is due to the fact that whistlers tend to occur a 280 short time after their causative spheric, with the peak location corresponding to the most 281 common travel time from the source through the magnetosphere to the location where 282 it is recorded by a ground station. Based on the location and width of the peak an ap-283 propriate window of a few hundred millisecond was determined for each whistler detec-284 tor station separately. 285

Next, we create a series of density maps. The first map represents the matched lightning strokes. For each grid cell on a geographic map, the total number of WWLLN strokes
occurring within the predetermined time window preceding a whistler is calculated and
the value is assigned to the cell. The obtained map of total matches, (TM, see Figure
2.a for the TM map for Dunedin) should contain the actual source lightning strokes, but
also a lot of chance coincidences, especially in regions of intense lightning activity. In or-

der to remove these chance coincidences from the map, we try to estimate their expected 292 value. Naturally, at locations of high lightning activity, such as the three main tropical 293 lightning centers (visible on Figure 3 representing long-term lightning activity), the prob-294 ability of chance coincidences is higher, explaining some of the patches on Figure 2.a. A static map of lightning activity, however, cannot be directly used for the estimation 296 of chance matches, since both whistler and lightning rates exhibit strong diurnal and sea-297 sonal variations, necessitating a combination appropriately weighted seasonal and hourly 298 climatologies. Instead of such a complicated procedure, we simply calculate matches be-299 tween lightning strokes and whistlers in another time window, representing the "back-300 ground noise". By choosing a window (see Figure 1) that corresponds to negative time 301 difference between whistlers and lightning strokes, we can be sure that no causative strokes 302 are included in the selection. For each event, this window for background noise calcu-303 lation precedes the other window by merely a few seconds, which ensures that the global 304 lightning activity and thus the likelihood of chance matches do not deviate significantly 305 from that within the other (causative) window. Detection efficiency of whistlers and spher-306 ics should also remain constant over such short period of time. Figure 2.b shows the den-307 sity map of chance matches (CM) calculated this way, again for the Dunedin station. 308

Finally, we subtract the two density maps to obtain a map representing only the excess matches (EM): EM = TM - CM. Since these excess matches are due to the causative lightning strokes, the obtained map represents the geographic distribution of the causative lightning strokes corresponding to the whistlers detected at the given ground station. We term these "source lightning".

Remarkably, in the above procedure, there was no input specifying where the whistler 314 time series was recorded, we simply used the Dunedin whistler time series as observed. 315 Nevertheless, the resulting map on Figure 2c clearly shows the source lightning distri-316 bution surrounding the conjugate point of Dunedin, New Zealand. This correspondence 317 repeats when we undertake these calculations for each of the fifteen AWDANet stations, 318 a strong validation of our method. Note that the colour scale in Figure 2c is  $\sim 5$  times 319 smaller than that of Figures 2a and 2b. This emphasizes the large number of chance matches, 320 and also demonstrates how the chance matches can so easily lead to misleading results 321 when simple correlation approaches are used. 322

#### 3.2 Mapping whistler transmission rates

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The procedure described so far tells us where the lightning source regions corre-324 sponding to a given whistler recording station are located. We can also calculate the ge-325 ographic distribution of an additional parameter, the ratio of lightning transmitted into 326 whistlers. The low absolute number of source lightning in the vicinity of the conjugate 327 point of Dunedin, for example, is not in itself surprising given the fact that the region 328 shows very limited lightning activity in general. This motivates a normalization of the 329 source lightning counts with the total population of lightning strokes. Figure 3 shows 330 an example of the latter, a "climatology" (CL) calculated as the total number of WWLLN 331 lightning strokes over the period under study when the AWDANet station in question 332 was in operation. Periods of data gaps in the whistler data (due to instrumental prob-333 lems, data loss, etc.) are excluded from the count. This is the lightning stroke popula-334 tion which could theoretically generate the whistlers present in the time series. Note that 335 all of the maps on Figures 2, 3 and 4 were calculated using  $2^{\circ} \times 2^{\circ}$  geographic grid cells. 336

<sup>337</sup> Dividing the source lightning count (obtained by the procedure described in Sub-<sup>338</sup> section 3.1) by the total lightning population over the same time period, we obtain a so-<sup>339</sup> called transmission rate, or the percentage of lightning strokes that generated whistlers <sup>340</sup> observable at the relevant station. By doing so for each grid cell of the two maps (ex-<sup>341</sup> cess matches and climatology), this transmission rate (TR) can also be represented on <sup>342</sup> a density map: TR = EM / CL. Figure 2.d shows an example of the results obtained by this procedure, again for the Dunedin AWDANet station. The transmission rate is calculated only for pixels with significant source lightning levels.

To a first approximation, the obtained TR is insensitive to the varying detection efficiency of the WWLLN network, since both the source lightning and the total lightning counts should be affected similarly. However, it is possible that the sub-population of lightning strokes capable of whistler generation have different detection efficiency. WWLLN detection efficiency depends on the lightning peak current, for example, which may affect whether an observable whistler is generated.

The whistler detection efficiency of AWDANet is also difficult to ascertain. Our pre-351 liminary study (Lichtenberger et al., 2008) showed that the whistler detector trace finder 352 at the Tihany station works at < 3% false positive and < 5% false negative detection 353 rate. Nevertheless, unlike with lightning detection networks, we cannot compare our mea-354 surements to independent datasets. The aforementioned detection rate estimates are based 355 on the assumption that whistler traces on the spectrograms recognized by the human 356 eye constitute the total population of whistlers. Clearly, this is not necessarily true, as 357 some traces, especially those having smaller amplitudes, may be swamped by noise in 358 the same frequency band. How many traces are lost to the noise is not known. In our 359 experience, the local electromagnetic noise levels of both artificial and natural origin are 360 different at each station, and can be strongly time dependent. 361

Thus, the level of completeness of both time series is difficult to ascertain. Therefore, the obtained TR should be taken as relative values, not necessarily directly comparable between stations.

#### 365 4 Results

The procedure described in Section 3 is repeated for each station. Figure 4 shows 369 the source lightning distribution and transmission rates for four stations, located in four 370 different regions across the globe. These (and Figures 2c-2d) demonstrate that the light-371 ning which are whistler sources lie within a few thousand kilometers of the geomagnetic 372 conjugate point, as expected. No sources or discernible transmission rate can be observed 373 outside this area. The global lightning centers in the tropics play little to no role in the 374 generation of ground detectable whistlers, at least for these middle to lower middle lat-375 itude stations, due to their large distance from these latitudes. 376

Having established a global picture, the following maps are limited to the conju-377 gate region for better viewing. Figures 5 to 9 are in azimuthal equidistant projection, 378 which keeps true great circle distance and azimuth from the center point, the conjugate 379 point of the relevant whistler detector station. Also, this projection has little areal dis-380 tortion within a few thousand kilometers of the center point. We used  $200 \times 200$  km pix-381 els and applied a  $3 \times 3$  Gaussian smoothing kernel to each map. Three concentric cir-382 cles are plotted around the conjugate points, representing distances of 1000, 2000 and 383 3000 km. For better comparison, we used the same coordinate ranges and in the case 384 of the transmission maps the same logarithmic scale for each map. 385

The maps are presented in regional groups. The top panels of Figure 5 show the 386 source lightning distribution (EM) and transmission rate (TR) of whistlers detected at 387 Dunedin, New Zealand, at its conjugate area located near Alaska. The bottom panels 388 of Figure 5 are the same for whistlers detected at Karymshina, Kamchatka, with the con-389 jugate area being located near Australia. Figure 6 shows conjugate areas in North Amer-390 ica corresponding to stations in West Antarctica: Palmer, Rothera, Halley and SANAE. 391 Figure 7 shows conjugate areas in Europe corresponding to stations in Southern Africa: 392 Sutherland, Grahamstown and Marion Islands. Figure 8 shows conjugate areas in South-393 ern Africa corresponding to the European stations of Humain, Eskdalemuir and Tvärminne. 394 Finally, Figure 9 shows the source lightning and transmission rate distribution for fur-395



Figure 4. Distribution of source lightning (EM, left) and transmission rate (TR, right) on a global map, in four regions. The left hand panels are in the same format as Figure 2c, while the right hand panels are in the same format as Figure 2d. -11-

## <sup>396</sup> ther three, closely separated stations in Central Europe: Nagycenk/Muck, Tihany and

397 Gyergyóújfalu.



Figure 5. (top) Regional distribution of source lightning (EM) and transmission rate (TR)
for whistlers detected at Dunedin, New Zealand (showing its conjugate region near Alaska).
(bottom) Same for Karymshina, Kamchatka (showing its conjugate region near Australia). The
concentric circles represent distances of 1000, 2000 and 3000 km from the conjugate points.

#### 411 5 Discussion

The regional maps show that the source lightning distribution is dominated by the 412 nearest conjugate region of high lightning activity. This is in agreement with the sim-413 ilar conclusion of Collier et al. (2011) based on the second method listed there. Our method, 414 however, does not produce any stray regions of whistler sources away from the conju-415 gate region, unlike the first method of Collier et al. (2011). In some cases, the major-416 ity of the source lightning can be significantly offset from the actual conjugate point, such 417 as in the case of Rothera, Halley and SANAE (where lightning above the Gulf current 418 dominates), or Dunedin (where patches in the North Pacific Ocean dominate). The trans-419 mission maps, however, do not show such offsets in the distribution, and instead the trans-420 mission rate decreases largely monotonously with increasing distance from the conjugate 421 point. The transmission rate is largest at or near (within 1000 km) of the conjugate point. 422 No significant poleward offset can be observed in the distribution of the transmission rate. 423 Nevertheless, such an offset cannot be entirely excluded, since in many cases, the pole-424 ward parts of the distribution are missing due to very low lightning activity at high lat-425

itudes. Also, the AWDANet whistler detector was optimized for whistlers with L < 4.5and thus some part of the population may be missing.

The maps on the top panel of Figure 5 seem to partially answer the "Dunedin paradox" (Rodger, Lichtenberger, et al., 2009). The immediate area of the conjugate point of Dunedin indeed exhibits very low lightning activity. Nevertheless, as the transmission rate map shows, a large number of lightning discharges from further away will find their way to Dunedin, albeit with decreasing efficiency as we get further from the conjugate point.

In some cases (Palmer, Rothera, Halley, SANAE, see Figure 6, and Dunedin, see
Figure 5), significant levels of transmission rate extend over 3000 km. On the other hand,
the maximal transmission rate, and possibly as a consequence, the geographical extent
seems to be small at low latitude stations, such as Humain, Tihany, Gyergyóújfalu, Nagycenk/Muck,
Grahamstown and Sutherland. It is not known, how much of this is a result of lower detection efficiency at these stations due to local noise conditions.

Finally, we note that in some cases, there is a hint of land/sea asymmetry. In the 440 case of Karymshina station, Figure 5, over the sea immediately south and east from Aus-441 tralia, and in the case of Marion station, Figure 7, over the North Sea, the transmission 442 rate seems to decrease more slowly towards the ocean than towards the continent. To 443 a lesser extent, similar asymmetry may be observed at Rothera, Halley and SANAE, Fig-444 ure 6, although this may also be interpreted as an offset of the center of the transmis-445 sion rate distribution from the conjugate point. A statistically stronger transmission rate 446 of ocean lightning may be due to oceanic lightning having higher peak currents. We would 447 not venture into interpreting any other apparent shapes in the transmission rate maps, 448 as they can be sensitive to individual storm events, especially where average lightning 449 activity is otherwise low, and can show slight changes from year to year, to be investi-450 gated in our followup study. The slight differences between the transmission rates at three 451 closely separated stations on Figure 9 show the limits of our method. 452

In addition to listing the obtained maximal transmission rates at each station in 458 Table 1), we plotted them on Figure 10. We note that the number of observable whistlers, 459 and therefore the transmission rates are sensitive to the local noise, which may be dif-460 ferent at each station, can vary over time, and is difficult to quantify. Nevertheless, a trend 461 of transmission rates increasing with the stations' L-value is apparent, with only three 462 outlier points. An explanation of the outliers may be that at these three stations, espe-463 cially at Karymshina and Palmer, the VLF records generally show extremely good sig-464 nal quality (low background noise), which possibly contributes to the detection of low 465 amplitude whistlers by the AWDANet algorithm, translating into a higher total count 466 and therefore also a higher transmission rate. This can only be confirmed through the 467 laborious task of visually checking large periods of raw measurement at each station. Un-468 til whistler detection efficiencies are determined, values on Figure 10 should be taken with 469 caution. The trend, however, may be explained by increased absorption of whistlers at 470 lower latitudes due to the larger dip angle (Helliwell, 1965). 471

#### 472 6 Conclusion

We present a method to identify the general location of lightning strokes that ex-473 cite detectable whistlers at ground-based receivers. Our method also maps the geographic 474 distribution of the lightning to whistler transmission rate. Our method is very general 475 and can be applied to any dataset consisting of a whistler time series at a fixed location 476 and a lightning database listing lightning times and locations. Our method produces re-477 sults for even low number of whistlers (e.g. Eskdalemuir with  $\sim 10^4$  traces, see Figure 478 8). We applied this procedure to whistler time series recorded at fifteen ground stations 479 over twelve years. Our results confirm that at all of the fifteen locations the highest prob-480

ability of lightning to produce a whistler detectable on the ground in the conjugate hemisphere is when the lightning is located at the geomagnetic conjugate point to the whistler
observation station. This probability decreases with increasing distance from the conjugate point. In some cases, there is source lightning present over 3000 km from the conjugate point.

Our results are most consistent with the theory that whistlers detected on the ground propagated in a ducted mode through the plasmasphere and thus the whistler producing lightning strokes must cluster around the footprint of the ducts in the other hemisphere. This finding has implications for the importance of ground based VLF sources, whether natural or manmade, on the loss of radiation belt electrons. It also helps clarify the application of AWDANet observations to plasmaspheric monitoring, as the AW-DANet reported whistler is likely to have passed through the plasmasphere on a duct that is rather local to the AWDANet station.

Our method has a significant potential to derive subsequent results. Having estab-494 lished the location of the source lightning, we can investigate how the transmission rates 495 vary and what are they influenced by. It is possible to look at the variation of transmis-496 sion rates as a function of time of day, season, ionospheric parameters and geomagnetic 497 activity. Notably, our whistler database now covers more than one solar cycle, another 498 time variable that may affect whistler transmission. It can also be of interest to see whether 499 the transmission rate depends on the parameters of the lightning, e. g. peak current, cloud-500 to-ground versus intracloud lightning, etc. Similarly, we can look at transmission rates 501 as a function of the parameters of the whistlers, such as whistler amplitude, propaga-502 tion path L-value and plasmaspheric density obtained from inverted whistler traces. These 503 questions are outside of the scope of this paper, but we intend to investigate these ideas 504 and present them in a follow-up study. 505

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Figure 6. Regional distribution of source lightning and transmission rate for whistlers de tected at stations in West Antarctica













- the European stations of Tihany (black cross), Nagycenk/Muck (blue cross) and Gyergyóújfalu
- 410 (magenta cross).



Figure 10. A comparison of the obtained maximal transmission rates at each station with the L-value of the given station (see Table 1). A trend of transmission rates increasing with L-values is apparent, with the exception of three points (Karymshina, Dunedin and Palmer), which we considered outliers and marked with black points. The blue line is a straight line fitted to the non-outlier points.