1	Very low latitude whistler-mode signals:
2	Observations at three widely spaced latitudes
3	
4	Neil R. Thomson <sup>1</sup> , Mark A. Clilverd <sup>2</sup> , and Craig J. Rodger <sup>1</sup>
5	<sup>1</sup> Physics Department, University of Otago, Dunedin, New Zealand
6	<sup>2</sup> British Antarctic Survey, Cambridge, UK
7 8	
9	Key Points:
10	• Man-made whistler-mode signals with delays ~50-100 ms are confirmed to
11	have propagated on very low latitude paths
12	• Such signals are observed continuously for up to 11 hours a night, exhibiting
13	stable transequatorial guiding
14	• These signals are readily observable if either transmitter or receiver is at a low
15	latitude ( $\sim 20^{\circ}$ ) but both need not be at low latitudes

#### 16 Abstract

17 VLF radio signals with travel times ~100 ms were observed continuously for up to 18 ~11 hours at night on Rarotonga (Cook Islands, ~21°S) at 21.4 kHz from US Navy 19 transmitter NPM, Hawaii (~21°N). These signals travelled in the whistler-mode on 20 well-defined paths, though not field-aligned ducts, through the ionospheric F region, 21 and across the equator reaching altitudes ~700-1400 km depending on time of night. 22 These same signals were also observed simultaneously in Dunedin (46°S), New 23 Zealand, with very nearly the same travel times but with somewhat lower amplitudes 24 and occurrence rates, consistent with the whistler-mode part of the propagation being 25 at very low latitudes. Both sets of signals had similar Doppler shifts, typically tens of 26 mHz, but sometimes up to a few hundred mHz, being positive during most of the 27 night, while the whistler-mode group delays decreased due to both the shortening of 28 the path and the decay of the near equatorial ionosphere, but negative near dawn when 29 the Sun's rays start ionizing the F region. The signals are not observable during the 30 day, fading out during dawn, due to increasing attenuation from the increasing 31 electron density, and hence increasing collisions, in both the D and F regions. Similar 32 weaker NPM signals were also seen at Rothera (68°S). In addition, similar 24.8 kHz 33 signals were seen from the more distant NLK (Seattle, ~48°N) at Rarotonga, though 34 clearly weaker than from NPM, but not at Dunedin.

#### 35 **1. Introduction**

36

37 Traditional whistlers originate from wideband 2-30 kHz radio waves radiated from 38 the brief (<< 1ms) electrical currents in lightning strokes in the Earth's atmosphere. 39 These radio waves then propagate upwards from near the ground into the Earth's 40 ionosphere and magnetosphere. They are typically propagated along magnetic field 41 aligned ducts of enhanced ( $\sim 10\%$ ) plasma (and hence electron) density crossing the 42 equatorial plane, descending again and exiting the ionosphere into the opposite 43 hemisphere from which they entered. Because the signals are travelling through a 44 magnetoionic plasma their velocities are markedly less than the speed of light in 45 vacuo and their group delays are frequency dependent. When the resulting signals are 46 received by a simple antenna and audio amplifier, they are heard as a descending 47 audio whistling tone lasting  $\sim 0.5$  s or more and so have been long known as 48 'whistlers' (e.g., Helliwell, 1965; Storey, 1953). For example, for a typical entry, and 49 hence exit, geomagnetic latitude of 55°, the top of the path is at L = 3 - i.e., 3 Earth 50 radii from the Earth's center, or 2 Earth radii, ~12800 km, above the Earth's surface. 51 Such normal ducted whistlers are common at least in the range L = 2-4 or more. If, 52 instead, the source of the  $\sim$ 2-30 kHz radio waves travelling along such a path is a 53 single frequency from say a ground based man-made transmitter, rather than a 54 lightning flash, then the resulting narrowband signals are known as whistler-mode 55 signals (e.g., Helliwell, 1965; Thomson, 1975, 1981; Clilverd et al., 1993). 56 57 While the substantial majority of whistlers and whistler-mode signals at mid- and high 58 latitudes almost certainly require guiding in geomagnetic field-aligned ducts to reach

59 the ground in the opposite hemisphere, at *L*-values less than ~2 (geomagnetic latitude

 $60 \sim 45^{\circ}$ ) the enhancements needed for such ducting become increasingly very much

61 stronger than the  $\sim 10\%$  needed at mid-latitudes as the *L*-value decreases. At very low 62 latitudes,  $\sim 10^{\circ}-20^{\circ}$  geomagnetic, the enhancements required for inter-hemispheric 63 ducted propagation are so high, that is >>100% (Singh and Tantry, 1973; see also 64 Hasegawa et al., 1978), that true geomagnetic field-aligned ducting, in a tube of such 65 enhanced ionization, becomes very unlikely. However, Andrews (1978), building on 66 an earlier satellite study by Scarabucci (1970), used ray-tracing at night at latitudes 67  $\sim 11^{\circ}-12^{\circ}$ , in the absence of both field-aligned ducts and significant horizontal electron 68 density gradients. He showed that the ambient horizontal refractive index gradients in 69 the Earth's ionosphere, mainly those in the F region due to the low latitude 70 geomagnetic field geometry, are typically of the right size to bend the VLF wave 71 normals (though not field-aligned) towards the equator so that, with the help of the 72 ambient vertical electron density gradients at higher altitudes, they become 73 approximately horizontal when crossing the equatorial plane (at heights ~700 km). 74 These gradients then bend the wave symmetrically down again so that the signals exit 75 into the Earth-ionosphere waveguide, and hence to the ground, in the opposite 76 hemisphere. These natural guiding refractive index gradients are thus partly due to 77 vertical electron density gradients, particularly at the top of the path, but are also 78 strongly contributed to by the changing geomagnetic field gradients in the ionosphere 79 at the very low latitudes on either side of the equator (Thomson, 1987b). 80

Thomson (1987a) reported that whistler-mode signals from US Navy transmitter NPM (21.4°N, 158°W, Hawaii) were commonly observed at Dunedin, New Zealand (46°S, 170.5°E) in 1983/84 on 23.4 kHz with group delays of ~75-160 ms and typical Doppler frequency shifts of tens of mHz up to ~  $\pm$  240 mHz. Thomson (1987b), also using ray tracing, suggested that late in the night, when there would normally be little

86 or no natural horizontal electron density gradients, these NPM-Dunedin signals 87 travelled on a  $\sim 10^{\circ}$ N- $10^{\circ}$ S path very similar to the path postulated by Andrews 88 (1978). Thomson then showed that the measured whistler-mode group delays agreed 89 with those calculated from the ray tracing using appropriate typical values of foF290 (~5.5 MHz) at 10° latitude together with an appropriate electron density height 91 profile, but found that, early in the night, the typical much higher *foF2* (~9.3 MHz) 92 would give calculated delays significantly higher than the measured delays. However, 93 also early in the night, there are natural horizontal electron density gradients in the F294 region, directed towards the equator, effectively left over from the daytime equatorial 95 anomaly or fountain effect, which allow a non-ducted whistler-mode path from  $\sim 20^{\circ}$ N 96 to 20°S (Singh, 1976, and references therein). Following Singh (1976), Thomson 97 (1987b), using further ray tracing with these horizontal electron density gradients 98 typical of early in the night, also found that slightly higher latitude unducted paths, up 99 to ~ 20°, were enabled and that these, when used with the lower foF2 to be expected 100 there, e.g.,  $\sim 7.2$  MHz at  $\sim 20^{\circ}$ , gave calculated delays which agreed very much better 101 with those measured for the NPM-Dunedin path.

102

103 Although Thomson (1987b) concluded that these signals were very low latitude 104 whistler-mode signals of the type ray-traced by Singh (1976), Andrews (1978) and 105 Thomson (1987b), there were no corresponding observations from any site other than 106 Dunedin to confirm this. Here we present simultaneous observations, and their 107 implications, of such VLF signals from transmitters NPM, Hawaii, and NLK, Seattle 108 (48°N, 122°W), received at Rarotonga (21°S, 160°W) and Dunedin (46°S) in 1996, 109 plus some similar non-simultaneous observations from Rothera, Antarctica (67.6°S, 110 68.1°W) in 2009. Having fixed, powerful, nearly continuously operating transmitters

111 as the source, as opposed to intermittent lightning, enables certainty and simplicity in 112 source location and in whether the presence or absence of received signals is due to 113 the source or to the path conditions. Because ducted propagation at very low latitudes 114 still has some support (e.g., Chen et al, 2017; Gokani et al., 2015; Singh et al., 2014), 115 we use our results, including the longevity of our signals, to re-examine the evidence 116 for non-ducted propagation. By measuring the Doppler shifts of the received signals 117 from fixed frequency transmitters we are able not only to see path changes more 118 dynamically but also, for the first time, to distinguish longitudinally separated paths 119 by their different frequency shifts.

120

## 121 **2. Receiving Technique**

122 The signals from the VLF transmitters were received on crossed vertical loops,

123 oriented approximately north/south and east/west, at each of the three sites reported

124 on here, Dunedin (New Zealand), Rarotonga (Cook Islands), and Rothera

125 (Antarctica). The raw data are available in Thomson et al. (2019). The two

126 transmitters used were the US Navy's NLK in Seattle radiating on 24.8 kHz and NPM

127 in Hawaii on 21.4 kHz. NPM was previously on 23.4 kHz including for the 1983/84

128 data discussed above; from April 1996, and so for all the NPM observations presented

129 here, NPM was on 21.4 kHz. For both transmitters, for all of the observations

130 discussed here, their radiation was modulated with 200 baud MSK (Minimum Shift

131 Keying). The bit period, and so the smallest group delay resolution block, is thus

132 1/200 s = 5 ms, though the group time accuracy can often be interpolated to < 5 ms.

133

134 At all the receiving sites the direct subionospheric signal is much stronger than both

the atmospheric noise and any indirect signals, such as the whistler-mode signals

136 discussed here. Each receiver, one for each transmitter, locks onto both the carrier, 137 e.g., 21.4 kHz or 24.8 kHz, and the modulation frequency, 200 Hz, of the (dominant) 138 subionospheric signal. During each 5-ms modulation period, the transmitter is 139 effectively transmitting only one of four possibilities; the computer part of the 140 receiver is programmed to take one ADC sample in each 5-ms period and recognize 141 which one of the four possibilities was being used in that 5-ms modulation period and 142 to keep a running mean of the amplitude for each of these four over the last several 143 modulation periods. After each 5-ms analog sample is taken, it is stored in the direct series (so  $200 \text{ s}^{-1}$ ) and then the appropriate running mean (i.e., the subionospheric 144 145 signal) is subtracted from it leaving a small residue signal containing noise and any 146 indirect (e.g., whistler-mode) signal which is stored in the indirect series (so also 200  $s^{-1}$ ). A cross-correlation is then performed between this indirect (whistler-mode plus 147 148 noise) signal series and the (delayed) direct series to determine how much indirect 149 signal is present at each group delay. Further details can be found in Thomson (1981, 150 1985); note however, in Thomson (1981), as NLK was then using 100-baud MSK (on 151 18.6 kHz), the references to 10-ms bit periods there correspond to the 5-ms bit periods 152 here. As was also shown in Thomson (1981), the technique also enables the 153 determination of the Doppler frequency shifts, typically from tens of mHz up to ~500 154 mHz with respect to the direct subionospheric signal, of the whistler-mode signals 155 received at each group delay. The description of the technique for determining these 156 frequency shifts is independent of whether the MSK modulation is 100 or 200 baud. 157 158 A sketch map showing the locations of the transmitters and receivers used for the

159 observations reported here is shown in Figure 1. This figure also includes an

approximate projection on the Earth's surface of the very low latitude whistler-mode

161	paths discussed here. Figure 2 shows these same paths (based on Thomson, 1987b)
162	but as functions of distance in Earth radii, from the center of the Earth, compared with
163	the field-aligned path of a regular, ducted, mid-latitude whistler or whistler-mode path
164	from Seattle to Dunedin, NZ.

165 166

- 167 **3. Observations**
- 168

## 169 **3.1 Observations of NPM (Hawaii, 21°N) at Rarotonga and Dunedin**

170 171 Figures 3 and 4 show observations of whistler-mode and other indirect signals 172 received at Rarotonga (left side panels) and Dunedin (right side panels) from 173 transmitter NPM in Hawaii on 21.4 kHz on 4 of the 10 nights of observation in the 174 period 28 Aug – 6 Sep 1996 UT. Similar plots for the other 6 nights can be found in 175 the Supporting Information. In each panel, the position on the vertical axis shows the 176 arrival delay, 0.0-0.9 s = 0.900 ms, of the indirect signal relative to the arrival time of 177 the (subionospheric) direct signal at the receiver. The color of each small block 178 indicates the amplitude of the indirect signal during its 15-minute integration period, 179 used for all the observations here; the color scale is shown at the top left of Figure 3, 180 with green representing the smallest most marginally significant signals, often just 181 noise, through to blue representing the strongest highly significant signals. Because, 182 as discussed above, the transmitter modulation is 200 baud with a bit period of 5 ms, 183 each resolution block is 5 ms (vertically). The group delay resolution of each signal is 184 thus limited by this 5 ms increment; however, quite often, if needed, the group delay 185 can be interpolated down to 1-2 ms. The time of day, in hours UT, is shown on the 186 horizontal axis at the bottom of each panel. Approximately, 12 UT is midnight for the 187 paths used here, in the central Pacific Ocean; midnight at both Rarotonga and NPM is 188 ~1 h before 12 UT while midnight at Dunedin is ~1 h after 12 UT.

190	The very low latitude whistler-mode signals, which are the prime focus of this report,
191	can be clearly seen between ~6 UT and ~17 UT, i.e, mainly during the night,
192	particularly at Rarotonga, with group delays of ~100 ms, tending to decrease by a few
193	tens of ms during the night as the path (entry/height) slowly changes and the very low
194	latitude ionosphere slowly decays (Thomson, 1987b). The indirect signals with
195	constant delays ~10-45 ms are from mountain range reflections, particularly here, at
196	~30 ms, from the Rocky Mountains in the western USA/Canada (Thomson, 1985,
197	1989). The indirect signals showing at delays $\sim 0.3-0.6$ s at Dunedin, but not at
198	Rarotonga, between ~12-16 UT on 6 September, in Figure 4, (and on 30, 31 August,
199	and 2 September in Figures S1 and S2 in the Supporting Information) are regular mid-
200	latitude whistler-mode signals. The 'signals' near the top of each panel at delays of
201	0.925, 0.945 and 0.965 s are from calibration signals intentionally injected during
202	recording at these delays. For NPM at Dunedin, these were 2, 3 and 5 ADC
203	(analog-to-digital converter) units corresponding to approximately 1.2, 1.8 and 3.0
204	$\mu V/m$ respectively. For comparison, the daytime direct subionospheric amplitude of
205	NPM at Dunedin was ~300-400 $\mu V/m,$ depending on time of day etc. The
206	corresponding direct nighttime amplitude is somewhat lower and rather unpredictably
207	variable ~100-200 $\mu V/m.$ For NPM at Rarotonga the calibrations were 1, 2 and 4
208	ADC units corresponding to about 1.8, 3.7 and 7.5 $\mu V/m$ respectively, while the
209	direct signal was ~1000 $\mu$ V/m. The amplitudes of the direct signals at Dunedin and
210	Rarotonga were measured on site with a calibrated portable loop system (Thomson,
211	1993; Thomson et al., 2018, and references therein).

213 The apparent variability of the amplitudes of the calibration signals seen occasionally 214 in many panels is due to changes in the background noise level, due to either 215 atmospheric or man-made sources; these same changes can often also be seen in the 216 apparent amplitudes of the other indirect signals because the apparent amplitudes of 217 all the indirect signals depend on the background noise level. This is due to the 218 residual signal being reduced to one bit for computational efficiency (Thomson, 219 1985). Hence any changes in the apparent amplitudes of the indirect signals in the 220 plots must be compared with the calibration amplitudes at the top of the plots at the 221 same time to determine if the apparent indirect signal amplitude changes are real or 222 due to changing noise levels. For example, the apparent sudden whistler-mode 223 amplitude drop at Rarotonga on 6 September just after ~15 UT, in Figure 4, is almost 224 certainly due to an increase in noise because the calibrations, and the mountain 225 reflections, show a similar apparent amplitude decrease. In contrast, at Dunedin on 6 226 September the sudden drop in whistler-mode amplitude near 09 UT is almost certainly 227 real since the calibrations and the mountain reflections show no such change. 228 229 A qualitative to semi-quantitative estimate of the relative amplitudes of the low-230 delayed (~100 ms) whistler-mode signals received at Rarotonga and Dunedin can now 231 be made. Firstly, even without taking the calibrations into account, the 'stronger' 232 colors of the Rarotonga signals, plus their greater occurrence rate (number of hours of 233 observation each day), and their being clearly detected on all 10 nights at Rarotonga 234 but not, or only barely, detected on 3 of the 10 nights at Dunedin is suggestive that the 235 signals at Rarotonga were on average a factor ~2 stronger than at Dunedin. Secondly, 236 after taking into account the calibrations given above in this section (in  $\mu$ V/m for 2 237 adc units), the gain at Rarotonga was a factor of  $3.7/1.2 \approx 3$  lower than at Dunedin.

238 Hence, the (~100-ms delayed) whistler-mode signals when received at Rarotonga 239 appear to have average amplitudes greater than those of the simultaneous signals 240 received at Dunedin by a factor ~6. Hence the observed average low-delayed 241 whistler-mode signal amplitudes at Rarotonga (21°S) can thus be estimated to be 242 greater than those simultaneously observed at Dunedin (46°S) by ~15  $\pm$  6 dB. Clearly 243 this implies that the exit points of the signals from the ionosphere are much nearer 244 Rarotonga than Dunedin, consistent with the ray-tracing exit latitude calculations of 10°-20°S of Thomson (1987b). 245 246 247 As can be seen in Figures 3 and 4, and in Figures S1-S3 in the Supporting 248 Information, the very low latitude whistler-mode signals, and hence their propagation 249 paths, are often continuous for many hours, particularly at Rarotonga where, on 3 and 250 4 September, in Figures 3 and S3, they last continuously for >~11 hours and ~10 251 hours respectively. This is in contrast to some studies made using lightning generated 252 natural whistlers at low latitudes, where lifetimes ~1 hour, for the presumed field-253 aligned ducts, have been estimated (e.g., Hayakawa et al., 1983; Hayakawa and Ohta, 254 1992; Singh, 1993). At mid-latitudes, whistler duct lifetimes have been estimated

theoretically, and found experimentally, to be many hours, up to ~1 day (e.g.,

Thomson (1978) and references therein). However, any ducts at low latitudes would

257 need much greater enhancements, >~100%, compared with ~10% at mid-latitudes for

trapping and this, together with their being much shorter, would result in their

enhancements decaying away into the lower *F* region very much faster.

260

As noted earlier in this section, the signal delays in the plots, such as in Figure 3, are

relative to the arrival time of the direct, subionospheric signal at the receiver. This

263 explains, with the aid of Figure 1, why the Rarotonga whistler-mode delays are very 264 similar to those at Dunedin. Firstly, most of the ~100 ms of each delay is in the 265 whistler-mode section of the path and, although, as shown in Figure 1, the two 266 whistler-mode paths may be slightly separated in longitude, they and their travel times are likely to be very similar with such a small separation. Secondly, subionospheric 267 travel is at  $\sim 3 \times 10^8$  m/s; so, for example, the  $\sim 4.7$  Mm between NPM and Rarotonga 268 269 takes only ~16 ms, Finally, as illustrated in Figure 1, most of the subionopheric delays 270 are at least approximately common to both the direct and indirect (whistler-mode) 271 paths. If, for example, the Rarotonga receiver were moved 3 Mm to the south, the 272 travel times of both direct and indirect paths would increase by  $\sim 10$  ms but the 273 (relative) delays of the whistler-mode signals in the plots would not change at all.

274

#### 275 **3.2** Observations of NLK (Seattle, 48°N) at Rarotonga and Dunedin

Figure 5 shows observations of whistler-mode (and other indirect signals) from

transmitter NLK in Seattle on 24.8 kHz received at Rarotonga (left side panels) and

Dunedin (right side panels) for two of the 10 nights, 2 and 3 Sep 1996 UT. Plots for
NLK at Rarotonga and Dunedin for the rest of the 10-night study period, 28 Aug – 6

280 Sep 1996, can be found in the Supporting Information.

281

For NLK at Rarotonga, very low latitude whistler-mode signals can again be seen

with delays of ~100 ms but are clearly weaker in amplitude than those from NPM at

Rarotonga at the same times, in Figures 3 and S2. The direct subionospheric

amplitude for NLK at Rarotonga was ~200  $\mu$ V/m and the calibrations were 2, 4 and 6

ADC units corresponding to approximately 1.2, 2.4 and 3.6 µV/m respectively. Not

287 only do the plot and calibration intensities indicate that the NLK very low latitude

288 whistler-mode signals are markedly weaker at Rarotonga than those from NPM, but 289 also no such signals from NLK could be detected at all on 2 of the 10 nights (30 290 August and 6 September) whereas such NPM signals were strong on all 10 nights. 291 This is consistent with NPM (21°N) being much closer to path entry (~10-20°N from 292 the ray-tracing) than NLK (48°N); i.e., this is consistent with the low-delayed (~100-293 ms) signals propagating in the whistler-mode on a very low latitude path (from 10-294 20°N). 295

296 No low-delayed (~100-ms) signals were detectable from NLK (48°N) at Dunedin 297  $(46^{\circ}S)$  in the 10 days considered here nor on any other occasion over a much longer 298 period of several years; this too is consistent with these signals entering the 299 ionosphere at very low latitudes (~10-20°N to ~10-20°S) because the transmitter to 300 duct-entry distance is much greater for NLK (48°N) than for NPM (21°N). It is worth 301 noting that on all of the 10 nights here there were clear, regular mid-latitude, whistler-302 mode signals from NLK received at Dunedin while at Rarotonga these signals were 303 clearly seen on only 3 out of the 10 nights, i.e., 2, 5 and 6 September, and even then 304 over shorter times. This is likely due to these regular whistler-mode signals re-305 entering the Earth-ionosphere waveguide far south of Rarotonga with predominantly 306 poleward directed launch angles. 307

#### 308 3.3 Observations of NPM (21°N) at Rothera (Antarctica, 68°S) and Dunedin

309 VLF recordings are also made at the British Antarctic Survey base, Rothera, on

310 Adelaide Island just off the west coast of the Antarctic Peninsula, shown in Figure 1.

311 The receiving technique used there is very similar to that used at Dunedin and

312 Rarotonga but such recordings of NPM did not begin until 2009, after which some

13

313 very low latitude whistler-mode signals were observed despite the high latitude of the 314 receiver (~68°S). An example is shown in Figure 6 where the simultaneous recordings 315 of NPM at Dunedin on 21 Sep 2009 UT are also shown for comparison. As can be 316 seen in the two left panels, the very low latitude signals have rather similar delays at 317 both locations, e.g., ~120 ms at ~10 UT; however, these signals appear stronger, at 318 least on this day, and are observable for much longer at Dunedin (~4 Mm from a 319 likely NPM signal exit point near 10°-20° S) than at Rothera (~8.5 Mm from a 320 broadly similar exit point). The signals fading much earlier at Rothera, from ~11 UT, 321 is likely largely due to the (gradually) increasing subionospheric attenuation at the 322 Rothera end of the path starting from sunrise at Rothera ~1030 UT. The two right-323 hand panels of Figure 6 show the Doppler shifts of the signals at the two receivers at 324 ~10 UT (21 Sep 2009); they are broadly similar at an average of ~ -20 mHz, 325 consistent with the group delays slowly increasing, as can be seen for both locations 326 in the left two panels near 10 UT.

327

#### 328 **3.4 Doppler-shift Observations and Paths for Rarotonga and Dunedin**

329 The electron number density in the F region of the ionosphere typically slowly decays 330 during the night because of lack of ionizing radiation; recombination of the electrons 331 with ions typically exceeds any replenishment from the plasmasphere, particularly in 332 low latitude regions. This can be seen rather clearly in Figure 3 for 29 Aug 1996 UT 333 where the very low latitude whistler-mode group delay at Rarotonga decreases steadily from ~10 UT until ~16 UT, just before dawn. This group delay then starts to 334 335 increase again as direct EUV radiation from the rising Sun becomes able to produce 336 new free electrons, particularly by ionizing neutral atomic oxygen in the ionosphere's 337 F region, through which the NPM signals pass. This, in turn, produces not only more

338 group delay but also more phase delay (more wavelengths in the ionosphere) resulting 339 in negative Doppler shifts in the received signals. These Doppler shifts come almost 340 entirely (>>99%) from the whistler-mode parts of the paths because the 341 subionospheric parts are very phase-stable (e.g., Thomson et al., 2014). Examples of 342 these for two 15-minute intervals, centered on 1630 UT and 1645 UT on 29 Aug 2009 343 can be seen in Figure 7 both for Rarotonga (left panels) and Dunedin (right panels) 344 where the Doppler shifts are all negative. An interesting feature here is that the 345 Doppler shifts at these two times at Rarotonga, ~ -215 mHz and ~ -215 mHz, are 346 clearly different from (more negative than) those of the simultaneous signals at 347 Dunedin,  $\sim -150$  mHz and  $\sim -110$  mHz. This clearly implies that the whistler-mode 348 path of the NPM-Rarotonga signals must have been at least slightly different from the 349 whistler-mode path of the NPM-Dunedin signals. Specifically, this means that if the 350 signals were (longitudinally) ducted, they could not have been in the same duct; they 351 would have had to have been in (longitudinally) separated ducts. If, as seems more 352 likely, the signals were not longitudinally ducted, but were guided only in latitude (L-value) as ray-traced by Andrews (1978) and by Thomson (1987b), then these 353 354 Doppler difference observations can be readily accounted for by the Dunedin 355 whistler-mode path being predominantly a little west, perhaps by only 5-10° in 356 longitude, of the Rarotonga whistler-mode path, thus making the Rarotonga path later 357 after sunrise, tending to give rise to the greater Doppler shift.

358

On 3 September 1996 at 1530 UT and 1545 UT a somewhat similar situation occurred
except that the whistler-mode signal amplitude falls below detection earlier (~1615
UT) and just before any increasing group delay would be evident. At these two earlier
times, and so earlier in the sunrise period, the Doppler shifts on the more western

363 Dunedin path were observed to be positive due to the sunrise production having not 364 vet begun; in contrast, on the Rarotonga path (further east) the Doppler shifts were 365 near zero at 1530 UT and negative at 1545 UT as the sunrise began to produce new 366 electrons. A plot similar to Figure 7 but for 3 September can be seen in Figure S4 in 367 the Supporting Information. Similarly, on 4 September 1996, the Doppler frequency 368 shifts on the Dunedin path changed from positive (~ +40 mHz) at 1530 UT to 369 negative ( $\sim -60$  mHz) at 1600 UT, while for the Rarotonga path the trend was in the 370 same direction, as the sunrise advanced, but the shifts were more negative, changing 371 from near zero at 1530 UT to ~ -160 mHz at 1600 UT (Figure S5). Again the 372 different, more negative, frequency shifts observed on the Rarotonga paths relative to 373 those on the Dunedin paths strongly indicate that, if ducted, the signals could not both 374 have propagated in the same duct but their very similar group delays imply they have 375 very similar path latitudes (L-values), again consistent with guiding only in latitude 376 (L-value). Earlier in the night, as illustrated in the example plot for 3 September in 377 Figure 8, the Doppler shifts on the two paths are typically fairly similar. This is 378 probably because only near sunrise are the Doppler shifts changing with longitude 379 sufficiently rapidly that differences in longitude of 4-8° (15-30 minutes separation in 380 local time, as here) are likely to result in observable differences in Doppler shifts on 381 the two paths. We note that, in Figure 8, the Doppler shift values for Rarotonga in the 382 periods ~0830-1100 UT and 1230-1530 UT may have reduced accuracy because, 383 although the signal to noise ratio was good, the signal appeared simultaneously in 384 many Doppler channels making it difficult to reliably interpolate a mean value. 385

# 386 4. Discussion

#### 387 4.1 Comparison with Natural Lightning-Generated Whistlers

388 The group travel time, t, of (natural, lightning-sourced) whistlers, as a function of 389 frequency, f, particularly at low latitudes, follows the Eckersley dispersion law,  $t\sqrt{f} = D$ , where D is a constant (in s<sup>1/2</sup>) referred to as the dispersion of the whistler 390 391 (e.g. Helliwell, 1965). The dispersion of each individual whistler is thus a convenient 392 measure of its travel times, being effectively averaged over its range of times and 393 frequencies. Thus it is convenient to convert the (NPM-Rarotonga) whistler-mode 394 group travel times here into dispersions for comparing with very low latitude natural 395 whistlers. Over the 10 days of observations, 28 August to 6 September 1996, the 396 average whistler-mode group delay for NPM-Rarotonga was found to be ~74 ms at 397 1600 UT (using the 9 out of 10 days when the signal was visible at/near 1600 UT) and 398 ~102 ms at 0900 UT (7 out of 10 days). These group delays are relative to the 399 received subionospheric signal and so must be increased by the subionospheric travel 400 times between the entry and exit points of the (ionospheric/plasmaspheric) whistler-401 mode path to get the whistler-mode group travel times. From Thomson (1987b), this 402 entry-exit subionosperic path at 16 UT is likely to be between  $\sim \pm 10^{\circ}$  latitude which 403 corresponds to ~8 ms delay, while at 09 UT,  $\pm 20^{\circ}$  and 15 ms respectively are likely 404 more appropriate. Hence the NPM-Rarotonga group travel times and dispersions in 405 the whistler-mode become 74+8 = 82 ms at 16 UT, and 102+15 = 117 ms at 09 UT. 406 Thus the NPM-Rarotonga dispersions during the period from 09 to 16 UT (=  $\sim$ 2230-407 0530 LT) decreased from 17.1 s<sup>1/2</sup> to 12.0 s<sup>1/2</sup>, where f = 21400 Hz, the frequency of 408 NPM.

409

As discussed below, very low latitude (< 20° geomagnetic) natural whistlers have</li>
been observed and closely studied in India, China and southern Japan, where the
geomagnetic equator is ~10° north of the geographic equator. Geomagnetic latitudes

413 are used here in the following for convenience. These studies conclude (1) the very

414 low latitude whistler occurrence rates peak after midnight, towards dawn, and (2) their

415 night-time dispersions generally decrease during the night.

416

417 In China, during the winters of 1979/80 - 1982/83 (Bao et al., 1983; Liang et al.,

418 1985), whistlers were observed at 3-5 sites between 6°-19°N, mainly ~01-07 LT with

419 highest occurrence rate at 03-06 LT at Zhanjiang, 10°N, where the dispersion reduced

420 with time to a mean minimum of ~12.5  $s^{1/2}$  at ~05 LT. Whistlers with the same

421 dispersion were simultaneously observed at two or more of these 5 sites; spectrograms

422 of an example seen simultaneously with a dispersion of 12.3  $s^{1/2}$  at 4 sites over the

423 range 6°-19°N are shown. Similarly, in January 1988, Xu et al. (1989) and Hayakawa

424 et al. (1990), also in China, 0-4 LT, using nearly the same stations, found dispersions

425 of 10-15  $s^{1/2}$  which were again often single-valued at all 3 of their stations. The exit

426 regions were found, by direction finding, to be confined to  $10^{\circ}$ - $14^{\circ}$ N. The occurrence

427 peaked at Zhanjiang, 10°N, and the direction finding showed the whistlers arriving in

428 the zenith only at Zhanjiang. The highest occurrence rate was on 5 Jan 1988, at

429 ~0200-0330 LT, when the dispersion was single-valued at 10.5  $s^{1/2}$  at all 3 stations; a

430 higher dispersion of  $12 \text{ s}^{1/2}$  was also seen only at Wuchang,  $19^{\circ}$ N, during this period.

431

432 In India, in 2010-2011, mainly December-April, Gokani et al. (2015) and Singh et al.

433 2012) found the average of the 1863 post midnight (0030-0530 LT) whistler

dispersions was 12.1  $s^{1/2}$  at Allahabad (~16°N). For premidnight (1930-0030 LT) and

435 evening (1430-1930 UT) there were 12 whistlers averaging 15.7  $s^{1/2}$  and 92 whistlers

436 averaging  $18.2 \text{ s}^{1/2}$  respectively.

437

438 In southern Japan, for October 1974 to January 1976, at Okinawa (15°N), Ondoh et 439 al. (1979) reported a markedly higher whistler occurrence rate post-midnight (up until 440 about dawn) than pre-midnight. During the period 23-06 JST (~2230-0530 LT), the 441 average observed dispersion decreased from 16  $s^{1/2}$  to 11  $s^{1/2}$ . As noted above the 442 NPM-Rarotonga dispersions reported here over the same LT range decreased from ~17 s<sup>1/2</sup> to ~12 s<sup>1/2</sup>. The international 13-month smoothed sunspot number was ~11 443 444 at the time of the 1996 NPM-Rarotonga measurements and ~25 for the Okinawa 445 whistlers (1974-76). Kotaki et al. (1977) observed whistlers, during the 8-day period 446 29 Jan - 6 Feb 1976, at both Okinawa and further south at Ishigaki Island (13°N) finding similar dispersions,  $\sim 14 \text{ s}^{1/2}$ , including at least one simultaneous whistler, 447

448 with dispersion 13  $s^{1/2}$ , and a slightly higher occurrence rate at Ishigaki Island.

449

#### 450 **4.2 Whistler-mode Observations with Perpendicular Loops**

451 Both Araki et al. (1972) and Andrews (1974, 1978) noticed slightly Doppler-shifted 452 weak signals on loop antennas which had been successfully oriented to null out, and so be perpendicular to the paths of, the direct subionospheric signals from their VLF 453 454 transmitters, NWC, northwest Australia, received at Uji in Japan for Araki et al., and 455 NLK, Seattle, received in both Rarotonga and New Zealand for Andrews. These 456 signals appeared definitely Doppler shifted but by only ~2-10 mHz (predominantly 3-457 4 mHz), much less than the Doppler-shifts of tens to a few hundred mHz reported 458 here. Hence they do not appear to be the same signals as the very low latitude 459 whistler-mode signals reported here which were received most strongly on loops 460 oriented approximately parallel to the transmitter-receiver path, i.e., oriented for near 461 maximum subionospheric reception. Recently Chen et al. (2017) observed very low 462 latitude whistlers at Suizhou, China (21.8°N geomagnetic) using a loop antenna

463	oriented east-west with average dispersions (over 7 nights in the period 8 Feb $-2$
464	March 2016) falling from 22 to 17 $s^{1/2}$ from 0 to 06 LT. All these reductions in
465	dispersion of ~ 5 s <sup>1/2</sup> in ~6 hours (in China, Japan, India and here) correspond to a
466	reduction in group time at 21.4 kHz of $5/(21400)^{1/2} = 34$ ms in 6 h = 34 x 1.85 = 63
467	ms reduction in phase time (Thomson, 1987ab) in 6 h = $21400 \times 0.063/(6 \times 3600) =$
468	62 mHz average Doppler shift which is very similar to the Doppler-shifts reported
469	here and by Thomson (1987a) but much larger than those reported by Araki et al. and
470	And rews. A typical And rews observed Doppler-shift was ~3 mHz or ~1/20 of the ~60
471	mHz of the regular very low latitude whistler-mode signals reported here from
472	Rarotonga and Dunedin. This is perhaps suggestive that the Andrews observed signals
473	might possibly have something like 1/20 of the group delay (~100 ms) of our very
474	low latitude whistler-mode signals: i.e., ~5 ms. The cross-correlation technique which
475	we used at Rarotonga and Dunedin would not work well, if at all, with delays as short
476	as ~5 ms; so, although our technique works very well for our very low latitude
477	whistler-mode signals, it seems unable to contribute to elucidating the signals
478	observed by Araki et al. (1972) and Andrews (1974, 1978).
479	
100	4.2 The Daths of the Very Levy Letitude Whistlers and Whistler mode Signals

#### 480 **4.3** The Paths of the Very Low Latitude Whistlers and Whistler-mode Signals

481 Andrews (1974, 1978) may not have been observing signals similar to (our) very low

482 latitude whistler-mode signals, but his ray-tracings (Andrews 1978, 1979) were

483 correctly determining for the first time the naturally occurring (non-field-aligned) path

484 of these very low latitude whistler-mode signals, ~  $10^{\circ}$ - $10^{\circ}$ , at least late at night when

485 there is virtually no horizontal electron density gradient in the (F2) ionosphere.

486 Earlier Singh (1976) had shown by ray tracing, in an ionosphere with a suitable

487 horizontal electron density gradient, that a non-field-aligned path for whistler mode

488 signals, ~20°-20°, inter-hemispheric ground-to-ground, could exist. Later, Thomson 489 (1987b) found that the decrease in group time observed,  $\sim 140-100 = \sim 40$  ms, during 490 the night, 09-15 UT, for very low latitude whistler-mode signals from NPM recorded 491 at Dunedin, New Zealand, was clearly too small to be explained by decay of the night 492 ionosphere alone; this decay change,  $\sim 180-100 = \sim 80$  ms, based mainly on the large 493 foF2 decay observed at ~10° latitude, would be much too great. However, early in the 494 night, a (Singh-type) path at ~20°-20°, where foF2 is much lower than at 10° early in 495 the night, decaying/drifting to an (Andrews-type) path near  $10^{\circ}$ - $10^{\circ}$  late at night, 496 when the foF2 at 10° has greatly reduced, was able to quantitatively explain the 497 observed reduction in group delay during the night. 498 499 While Singh (1976), Andrews (1978, 1979) and Thomson (1987b) used two-500 dimensional ray tracing and a dipole geomagnetic field, Liang et al. (1985) used the 501 IGRF field and three-dimensional ray tracing to successfully model their very low 502 latitude whistlers exiting near Zhanjiang, 10°N geomagnetic, 21.3°N geographic. As 503 they say, their non-ducted results are capable of explaining satisfactorily their

observational data obtained at very low latitudes. Their entry and exit latitudes are

about  $20^{\circ}$  apart, consistent with ~ $10^{\circ}$ - $10^{\circ}$  geomagnetic paths.

506

However, some uncertainty seemed to continue as to whether this very low latitude propagation really was being guided, on a non-field-aligned path, by the fairly natural ionospheric electron density and geomagnetic gradients or was being guided, at least somewhat like mid-latitude whistlers, on geomagnetic-field-aligned ducts of enhanced electron density. By monitoring wave-normal angles on the FR-1 satellite orbiting at 750 km altitude, Cerisier (1973) was able to detect ducted propagation at mid-latitude 513 *L*-values, but could find no ducted propagation for low latitudes where L < 1.7. Any 514 very low latitude ducts would need very high enhancements (~400% even at 515 moderately low latitudes of 25°, Singh and Tantry, 1973) and might need to be unrealistically narrow, ~10 km (see also Hasegawa et al., 1978; Hayakawa et al., 516 517 1990; Hayakawa and Ohta, 1992). Very low latitude whistler echoes had been 518 observed: Hayakawa et al. (1990) found up to 10% of very low latitude whistlers 519 showed echoes; Liang et al. (1985) even observed the same very low latitude whistler 520 with echo simultaneously at both Zhanjiang (10°N geomagnetic) and Wuchang 521 (19.4°N geomagnetic). At mid- and high latitudes, echoes require ducts to keep both 522 whistler and echo on the same path; however, at low latitudes, the fixed non-ducted 523 path, say 10°-10°, could well serve the same role. At very low latitudes, if the whistler 524 needed to penetrate the D region to reflect from the ground, and so echo, the 525 attenuation would likely be too great but if it reflected from the steep vertical 526 gradients at the bottom of the F region this would be avoided. Ohta et al., (1997) and 527 Ohta and Hayakawa (2000), using 3-D, IGRF ray tracing and the non-ducted (~10°-528 10°) path, came to the general conclusion that it is possible to reproduce the one-hop 529 and three-hop whistlers with the observed dispersion ratio of 1:3. Singh and 530 Hayakawa (2001) conclude that for "the propagation mechanism of low latitude and 531 equatorial whistlers, it is clear that most of the low-latitude workers in India, China, 532 and New Zealand have favored non-ducted propagation for low- and very-low-533 latitude whistlers. Even the Japanese workers, who were the first to realize that the 534 propagation mechanism of these whistlers was not fully understood, have lately 535 favored the non-ducted mode. Thus there is now a consensus about the propagation 536 mechanism of these whistlers among the various workers."

537

The DEMETER satellite at a height of ~700 km has recorded in a single world map
the average penetration of signals from VLF transmitters at night during the 3 years
2006-2008 (Parrot et al., 2009, Figure 1). More recently, the ZH-1 satellite has
recorded similar clear signals at heights ~500 km (Zhao et al, 2019, Figure 3).
Although many of these signals will not reach the ground again, some of those
showing on NPM's longitude in the equatorial region may well include those
propagating as reported here.

546 Thus, as supported by the discussions in this section and as displayed by the 547 observations in Figures 3 and 4 (and S1-S3), there is a very salient whistler-mode path 548 at very low latitudes crossing the equator. The signals are guided in latitude (L-value) 549 by refractive index gradients caused by geomagnetic gradients and ambient electron 550 density gradients, both horizontal and vertical. As depicted in Figure 2, the resulting 551 paths are not magnetic field aligned, nor constrained in longitude, and so are not 552 ducted in the conventional sense. Early in the night, the significant, natural, 553 horizontal, equatorward electron density gradient, results in paths having entry/exit 554 regions near latitudes  $\pm 20^{\circ}$  with ~1400 km peak altitude, while late in the night, when 555 the natural horizontal electron density gradients fall to near zero, the paths have 556 entry/exit regions near latitudes  $\sim \pm 10^{\circ}$  with  $\sim 700$  km peak altitude as shown in 557 Figure 2. Despite this well-defined whistler-mode propagation path being at such very 558 low latitudes, signals from VLF transmitters can propagate to, or be received at, 559 locations many thousands of km from the low latitude entry or exit regions, showing 560 how unique and dominant this path is.

561

562 Bearing in mind the path geometries illustrated in Figures 1 and 2, and the very low 563 latitude whistler-mode signals being unconstrained in longitude, some estimates can 564 now be calculated for the relative amplitudes at Rarotonga and Dunedin, and 565 compared with observations. As the waves leave the transmitter (NPM at 21°N) they 566 spread out azimuthally, at least initially, subionospherically in the Earth-ionosphere 567 waveguide (height ~ 85 km), in particular towards the South. Neglecting any 568 reflection losses at the upper (ionospheric) and lower (ocean) boundaries for the 569 moment, at range  $\rho$  (say ~500 km) from NPM, the radiated power will have spread 570 out uniformly onto the sides of an imaginary vertical cylinder of radius  $\rho$  and 571 circumference  $2\pi\rho$  in the waveguide, and so the outward power flux will be inversely 572 proportional to  $\rho$ . For larger distances (>>500 km), account needs to be taken of the 573 curvature of the Earth (radius,  $R_E$ ) and so the circumference of the appropriate ('small 574 circle') cylinder at a 'great circle' range  $\rho$  from NPM is  $2\pi R_E \sin(\rho/R_E)$ , resulting in 575 the flux being inversely proportional to  $R_E \sin(\rho/R_E)$  rather than to  $\rho$ . 576 577 After leaving NPM and spreading azimuthally (southwards) the waves reach the

578 region  $10^{\circ}$ - $20^{\circ}$ N where some of them enter the, longitudinally unconstrained,

579 whistler-mode path passing southwards over the equator returning to the Earth-

580 ionosphere waveguide in the conjugate region  $10^{\circ}$ - $20^{\circ}$ S. During this propagation,

inside the ionosphere, say ~14°N to 14°S, the waves continue to spread azimuthally but likely at a much slower rate due to the much higher refractive index (>~10) on this whistler-mode part of the path. On returning to the Earth-ionosphere waveguide, these ~southward travelling waves, due (essentially) to Snell's Law, will continue to spread

585 in azimuth at a rate similar to those waves which did not enter the whistler-mode path

586 but which continued subionospherically in the Earth-ionosphere wave guide towards

587	Rarotonga and Dunedin. Hence, an estimate of the relative attenuation, due to the
588	azimuthal spreading of the very low latitude whistler-mode waves, for NPM-
589	Rarotonga and NPM-Dunedin, can be made by using, as a proxy, the corresponding
590	subionospheric signals. For these the ratio, NPM-Rarotonga/NPM-Dunedin, from
591	above, is $\sin(\rho_2/R_E)/\sin(\rho_1/R_E)$ where $\rho_2 = 8.1$ Mm and $\rho_1 = 4.7$ Mm are the respective
592	great circle distances, except that both these distances need to be reduced by $\sim$ 3 Mm
593	to allow for the $\pm 14^{\circ}$ whistler-mode part of the paths where the refractive index is
594	high and so where there is little effective azimuthal spreading. This ratio thus
595	becomes $sin(5.1/6.4)/sin(1.7/6.4) = 2.72$ ; i.e., the amount by which the amplitude is
596	expected to be lower at Dunedin relative to Rarotonga, due to azimuthal spreading, is
597	$10 \log_{10}(2.72) = 4.3 \text{ dB}$ . In addition to this, there is, of course, the <i>D</i> region
598	(reflection) attenuation due to the longer path (~3.5 Mm) from the exit region to
599	Dunedin compared with that to Rarotonga. Using the US Navy waveguide
600	propagation code, 'Modefinder', this attenuation (at night with $H' = 85$ km and $\beta =$
601	0.6 km <sup>-1</sup> , Thomson et al., 2007) can be estimated as ~3 dB/Mm giving ~ $3.5 \times 3 + 4.3$
602	$\approx 15 \pm 4$ dB. This compares quite well with the observed ~15 $\pm 6$ dB, from section 3.1
603	above, for the observed amplitude difference between Dunedin and Rarotonga for the
604	very low latitude whistler-mode signals.

605

606 If the whistler-mode signals had exited from a conventional, longitude-constrained

607 (~circular) duct, at say 0.5 Mm = 500 km north of Rarotonga, there would have been

an amplitude difference between Rarotonga and Dunedin, due to azimuthal spreading,

609 now from this exit point, of  $\sin(\rho_2/R_E)/\sin(\rho_1/R_E) \equiv 8.7$  dB, where now  $\rho_2 = 4$  Mm and

610  $\rho_1 = 0.5$  Mm, compared with 4.3 dB above for the non-ducted, longitude

611 unconstrained path. Thus, if the signals had been ducted, the calculated, non-ducted

612 ~15 dB above would increase to  $8.7-4.3 + 15 = ~19 \pm 4$  dB, agreeing somewhat less 613 closely with the observed ~15 ± 6 dB. More generally, this 8.7-4.3 = ~4 dB lower 614 loss for non-ducted propagation will contribute to signals from the very low latitude 615 paths tending to be observable further from their exit regions than those from 616 conventional (mid-latitude) whistler ducts.

617

## 618 **5. Summary and Conclusions**

619 VLF radio signals on 21.4 kHz are commonly received in Dunedin, NZ, from NPM 620 (21.4°N, 158°W, Hawaii) not only on the direct, subionospheric, very phase stable, 621 8.1 Mm great circle path, but also, at night, on indirect paths involving whistler-mode 622 propagation. The most prominent of these latter signals arrive at Dunedin with low 623 delays of ~50-150 ms. They are concluded to propagate via a transequatorial, non-624 field-aligned, very low latitude, whistler-mode path entering the ionosphere 10°-20°N 625 (south of NPM), refracting to near horizontal over the equator, at heights ~1000 km, 626 depending on time of night, and then further refracting nearly symmetrically 627 downwards to exit the ionosphere essentially conjugate to the entry point, followed by 628 subionospheric propagation to Dunedin. For ten days, recordings were also made 629 simultaneously on Rarotonga (21°S, 160°W, near NPM's conjugate), where these 630 low-delayed indirect signals were received on the same days with essentially the same 631 delays at the same (UT) times as at Dunedin. However, the Rarotonga signals showed 632 significantly higher amplitudes and were detectable for significantly longer periods 633 nearly every night, compared with those on the same night at Dunedin consistent with 634 the assumed very low latitude whistler-mode path exiting much nearer to Rarotonga (21°S) than Dunedin (46°S). Fairly recently Cohen et al. (2012) reported that the 635 636 Helliwell (1965) calculations of VLF ionospheric absorption (between the Earth's

637 surface and the plasmasphere may be too low by 20-100 dB; the amplitude

638 observations, in section 3.1 here, of NPM to Rarotonga after passing through the

639 ionosphere twice (i.e., up and down) may be useful for providing an experimental

640 upper limit for the attenuation for full-wave VLF transionospheric modeling (see also

641 Zhao et al., 2017).

642

643 The signals at both the Dunedin and Rarotonga receivers were Doppler-shifted

644 usually by very similar amounts, typically a few tens of mHz, but sometimes up to

~300 mHz, at the same (UT) times. However, near (NPM-Rarotonga) dawn, when the

646 Doppler shifts turn negative as the Sun starts to ionize the (NPM-Rarotonga)

647 ionosphere again, the Doppler shifts at Rarotonga tend to be more negative, implying

648 that the Rarotonga-received path is a little further to the east of the Dunedin-received

649 path; this, in turn, implies that the whistler-mode paths, although constrained in

650 latitude are not constrained in longitude, and so are not conventionally, field-aligned651 ducted.

652

653 At Dunedin, normal, mid-latitude, whistler-mode signals from NLK (48°N, 122°W, 654 Seattle) are very common at night (with delays ~300-700 ms) but low-delayed (~100 655 ms) whistler-mode signals from NLK are too weak to be detected at Dunedin. 656 However, they were seen at Rarotonga, and only to a slightly lesser extent than the 657 corresponding low-delayed signals from NPM seen at Dunedin. NLK radiates slightly 658 less power than NPM, NLK has a slightly higher frequency giving slightly more 659 ionospheric attenuation, and Rarotonga is slightly noisier than Dunedin. This near 660 comparability of NPM-Dunedin and NLK-Rarotonga is consistent with the assumed 661 very low latitude whistler-mode path; for NPM-Dunedin, the transmitter is close to

662 the very low latitude entry area but the receiver is far from the path exit area giving a 663 similar result to that for NLK-Rarotonga where the receiver is close to path exit but 664 the transmitter is far from the path entry. Provided the transmitter, e.g. NPM at 21°N 665 latitude, is fairly close (i.e., within direct line of sight from the ground to the night D 666 region entry at ~85 km altitude, i.e., ~1000 km  $\equiv$  ~10° latitude) to the path entry, it is 667 here observed that the very low latitude whistler-mode signals can be detected up to 668 ~9 Mm away, i.e., at Rothera, 68°S in the Antarctic. This is consistent with the study 669 of Allcock and McNeill (1966) on mid-latitude whistler-mode duct entry and exit 670 distances from their transmitters and receivers.

671

672 Natural whistlers exhibit essentially the same dispersions as man-made whistler-mode 673 signals at very low latitudes and almost certainly have the same whistler-mode paths 674  $(\sim 10^{\circ}-20^{\circ})$ . Two- and three-hop echoes are not uncommon for whistlers but do not 675 seem to occur for whistler-mode signals from man-made transmitters because the 676 latter are at higher frequencies (~20 kHz) resulting in higher attenuation in the F677 region (Thomson, 1987b) which must be multiply traversed. However, whistler-mode 678 signals from a man-made transmitter come from a continuous, constant source 679 showing that the path can last for many hours, up to most, or all, of the night: e.g., on 680 3 and 4 September 1996, at Rarotonga, the propagation is continuous for 11 and 10 681 hours respectively. In contrast, as discussed in Section 3.1, many estimates of the 682 possible lifetimes of (assumed) very low latitude whistler ducts are much less than 683 this, making field-aligned ducting very unlikely at these low latitudes. Non-ducted 684 propagation increases the value of the VLF delay and frequency-shift measurements 685 because they are then representative of the normal ambient equatorial plasma density 686 rather than that of highly enhanced plasma, likely by up to several hundred percent. It

- 687 is interesting to note that if the transmitter is at low latitude, such as NPM at 21° N,
- then the equatorial F-region can be monitored from anywhere up to a few Mm from
- 689 NPM's conjugate. Conversely and more importantly, if the receiver is at a low
- 690 latitude, such as Rarotonga at 21° S, then signals from a number of transmitters in the
- 691 opposite hemisphere can be used, such as NPM and NLK as here.

# 692 Acknowledgements

- 693 We are grateful to the late Stuart Kingan for the use of his measurement site on
- Rarotonga. The raw data measurements underlying the observations reported here are
- available at <u>https://doi.org/10.5281/zenodo.3381090</u>. MAC acknowledges UKRI-
- 696 NERC National Capability Space Weather Observatory funding in support of VLF
- 697 observations from Rothera research station, Antarctica.

# **References**

699 700	Allcock, G. McK. & McNeill, F.A. (1966). The reception of whistler mode signals at a point remote from the transmitter's magnetic conjugate point. <i>Journal of</i>
701	Geophysical Research, 71(9), 2285-2294.
702	https://doi.org/10.1029/JZ071i009p02285
703	
704	Andrews, M. K. (1974). Small Doppler shifts on low latitude transequatorial path
705	VLF signals. Journal of Atmospheric and Terrestrial Physics, 36(2), 335–341.
706	https://doi.org/10.1016/0021-9169(74)90052-X
707	
708	Andrews, M. K. (1978). Non-ducted whistler-mode signals at low latitudes. <i>Journal</i>
709	of Atmospheric and Terrestrial Physics, 40(4), 429–436.
710	https://doi.org/10.1016/0021-9169(78)90174-5
711	
712	Andrews, M. K. (1979). On whistlers with very low dispersion. <i>Journal of</i>
713	Atmospheric and Terrestrial Physics, 41(2), 231–235.
714	https://doi.org/10.1016/0021-9169(79)90016-3
715	
716	Araki, T., Naito, Y., & Kato, S. (1972). Detection of whistler mode signals from VLF
717	transmitter in Australia. Report of Ionosphere and Space Research in Japan, 26,
718	69-78.
719	
720	Bao, Z, Wang, T., Xu, J., Chen, S., & Liang, B. (1983). Characteristics of low-latitude
721	whistlers and their relation with foF2 and magnetic activity. Advances in Space
722	Research, 2(10), 223-226. https://doi.org/10.1016/0273-1177(82)90395-7
723	
724	Cerisier, J. C. (1973). A theoretical and experimental study of non-ducted VLF waves
725	after propagation through the magnetosphere. Journal of Atmospheric and
726	Terrestrial Physics, 35(1), 77-94. https://doi.org/10.1016/0021-9169(73)90217-1
727	
728	Chen, Y., Ni, B., Gu, X., Zhao, Z., Yang, G., Zhou, C., & Zhang, Y. (2017). First
729	observations of low latitude whistlers using WHU ELF/VLF receiver system.
730	Science China Technological Sciences, 60(1), 166-174.
731	https://doi.org/10.1007/s11431-016-6103-5
732	
733	Clilverd, M. A., Thomson, N. R., & Smith, A. J. (1993). The influence of ionospheric
734	absorption on mid-latitude whistler-mode signal occurrence from VLF
735	transmitters. Journal of Atmospheric and Terrestrial Physics, 55(10), 1469–1477.
736	https://doi.org/10.1016/0021-9169(93)90112-C
737	
738	Cohen, M. B., Lehtinen, M. G., and Inan, U. S. (2012). Models of ionospheric VLF
739	absorption of powerful ground transmitters. <i>Geophysical Research Letters</i> , 39(24).
740	https://doi.org/10.1029/2012GL054437
741	
742	Gokani, S. A., Singh, R., Cohen, M. B., Kumar, S., Venkatesham, K., Maurya, A. K.,
743	Selvakumaran, R., & Lichtenberger, J. (2015). Verv low latitude ( $L = 1.08$ )
744	whistlers and correlation with lightning activity. <i>Journal of Geophysical Research</i> :
745	Space Physics, 120(8), 6694–6706. https://doi.org/10.1002/2015JA021058
746	

747 748 740	Hasegawa, M., Hayakawa, M., & Ohtsu, J. (1978). On the conditions of duct trapping of low-latitude whistlers. <i>Annales de Geophysique</i> , <i>34</i> (4), 317-324.
749 750 751 752	Hayakawa, M., & Tanaka, Y. (1978). On the propagation of low-latitude whistlers. <i>Reviews of Geophysics and Space Physics</i> , 16(1), 111-123. <u>https://doi.org/10.1029/RG016i001p00111</u>
753 754 755 756	Hayakawa, M., Tanaka, Y., Okada, T., & Ohtsu, J. (1983). Time scales for the formation, lifetime and decay of low latitude whistler ducts. <i>Annales Geophysicae 1</i> (6), 515–518.
758 759 760 761	<ul> <li>Hayakawa, M., Ohta, K., &amp; Shimakura, S. (1990). Spaced direction finding of nighttime whistlers at low and equatorial latitudes and their propagation mechanism. <i>Journal of Geophysical Research</i>, 95(A9), 15,091-15,102. <u>https://doi.org/10.1029/JA095iA09p15091</u></li> </ul>
762 763 764 765	Hayakawa, M., & Ohta, K. (1992). The propagation of low-latitude whistlers: a review. <i>Planetary and Space Science</i> , 40(10), 1339-1351. <u>https://doi.org/10.1016/0032-0633(92)90090-B</u>
766 767 768 769	Helliwell, R. A. (1965), Whistlers and related ionospheric phenomena, Stanford, California.
770 771 772 772	Kotaki, M., Ondoh, T., Murakami, T., & Watanabe, S. (1977). Characteristics of low- latitude whistlers as observed at Okinawa, Japan. <i>Journal of the Radio Research</i> <i>Laboratories, 24</i> (115), 117-135.
774 775 776	Liang, B., Bao, Z, & Xu, J. (1985). Propagation characteristics of night-time whistlers in the region of equatorial anomaly. <i>Journal of Atmospheric and Terrestrial</i> <i>Physics</i> , 47(8-10), 999–1007. <u>https://doi.org/10.1016/0021-9169(85)90079-0</u>
778 779 780	Ondoh, T., Kotaki, M., Murakami, T., Watanabe, S., & Nakamura, Y. (1979). Propagation characteristics of low-latitude whistlers. <i>Journal of Geophysical Research</i> , 84(A5), 2907-2104. <u>https://doi.org/10.1029/JA084iA05p02097</u>
781 782 783 784 785 786	Ohta, K., Nishimura, Y., Kitagawa, T., & Hayakawa, M. (1997). Study of propagation characteristics of very low latitude whistlers by means of three-dimensional ray-tracing computations. <i>Journal of Geophysical Research</i> , <i>102</i> (A4), 7537-7546. <u>https://doi.org/10.1029/96JA03633</u>
787 787 788 789 790	Ohta, K., & Hayakawa, M. (2000). Study of Three-dimensional ray-tracing for very low latitude whistlers, taking into account the latitudinal and longitudinal gradients of the ionosphere. <i>Journal of Geophysical Research</i> , <i>105</i> (A8), 18,895-18,900.
791 792 793 794 795	Parrot, M., Inan, U. S., Lehtinen, N. G. & Pincon, J. L. (2009). Penetration of lightning MF signals to the upper ionosphere over VLF ground-based transmitters. <i>Journal of Geophysical Research</i> , 114(A12). <u>https://doi.org/10.1029/2009JA014598</u>

796 707	Scarabucci, R. R. (1970). Satellite observations of equatorial phenomena and
700	75(1) (0.84 https://doi.org/10.1020/14.075/001-000/0
798 799	/3(1), 69-84. <u>https://doi.org/10.1029/JA0/5i001p00069</u>
800	Singh, B., & Tantry B. A. P. (1973). On ducting of whistlers at low latitudes. Annales
801	de Geophysique, 29(4), 561-568.
802 803	Singh B (1976) On the ground observation of whistlers at low latitudes <i>Journal of</i>
804	Geophysical Research, 81(13), 2429-2432.
805	https://doi.org/10.1029/JA081i013p02429
806	
807	Singh, B., & Hayakawa (2001). Propagation modes of low- and very-low-latitude
808	whistlers. Journal of Atmospheric and Solar-Terrestrial Physics, 63(11), 1133-
809	1147. https://doi.org/10.1016/S1364-6826(00)00218-2
810	
811	Singh, R. P. (1993). Whistler studies at low latitudes: A review. Indian Journal of
812	Radio and Space Physics, 22(3), 139-155.
813	
814	Singh, R., Cohen, M. B., Maurya, A. K., Veenadhari, B., Kumar, S., Pant, P., Said, R.
815	K., & Inan, U. S. (2012). Very low latitude ( $L = 1.08$ ) whistlers. <i>Geophysical</i>
816	<i>Research Letters</i> , 39, L23102. <u>https://doi.org/10.1029/2012GL054122</u>
81/	Steams L. D. O. (1052). An imposition of a highling stars a horizon $D(1)$ which is the
818	Storey, L. R. O. (1953). An investigation of whistling atmospherics. <i>Philosophical</i>
020	Iransactions of the Royal Society of Lonaon, A240(908), 113-141.
820 021	<u>nups://doi.org/10.1098/fsta.1955.0011</u>
021	Thomson N. P. (1075) Whistler mode signals: Group delay by prospering station
044 973	Geophysical Research Letters 2(10) 451 452
824	https://doi.org/10.1029/GL.002i010p00451
825	<u>nups.//doi.org/10.102//OE0021010p00+51</u>
826	Thomson, N. R. (1981). Whistler mode signals: Spectrographic group delays. <i>Journal</i>
827	of Geophysical Research, 86(A6), 4795-4802.
828	https://doi.org/10.1029/JA086iA06p04795
829	
830	Thomson, N. R. (1985). Reflection of VLF radio waves from distant mountain ranges.
831	Journal of Atmospheric and Terrestrial Physics, 47(4), 353-362.
832	https://doi.org/10.1016/0021-9169(85)90015-7
833	
834	Thomson, N. R. (1987a). Experimental observations of very low latitude man-made
835	whistler-mode signals. Journal of Atmospheric and Terrestrial Physics, 49(4),
836	309-319. https://doi.org/10.1016/0021-9169(87)90027-4
837	
838	Thomson, N. R. (1987b). Ray-tracing the paths of very low latitude whistler-mode
839	signals. Journal of Atmospheric and Terrestrial Physics, 49(4), 321–338.
840	https://doi.org/10.1016/0021-9169(87)90028-6
841	
842	Thomson, N. R. (1989). Re-radiation of VLF radio waves from mountain ranges.
843	Journal of Atmospheric and Terrestrial Physics, 51(4), 339-349.
844	https://doi.org/10.1016/0021-9169(89)90084-6
845	

846	Thomson, N. R. (1993). Experimental daytime VLF ionospheric parameters. Journal
847	of Atmospheric and Terrestrial Physics, 55(2), 173-184.
848	https://doi.org/10.1016/0021-9169(93)90122-F
849	
850	Thomson, N. R., Clilverd, M. A. & McRae, W. M. (2007). Nighttime ionospheric
851	D region parameters from VLF phase and amplitude. Journal of Geophysical
852	Research, 112(A7). https://doi.org/10.1029/2007JA012271
853	
854	Thomson, N. R., Clilverd, M. A., & Rodger, C. J. (2014). Low-latitude ionospheric D
855	region dependence on solar zenith angle. Journal of Geophysical Research: Space
856	Physics 119(8), 6865-6875. https://doi.org/10.1002/2014JA020299
857	
858	Thomson, N. R., Clilverd, M. A., & Rodger, C. J. (2018). Quiet daytime Arctic
859	ionospheric D region. Journal of Geophysical Research: Space Physics, 123,
860	9726–9742. https://doi.org/10.1029/2018JA025669
861	
862	Thomson, N. R., Clilverd, M. A., & Rodger, C. J. (2019). Very low latitude whistler-
863	mode data. https://doi.org/10.5281/zenodo.3381090
864	
865	Thomson, R. J. (1978). The formation and lifetime of whistler ducts. Planetary and
866	Space Science 26(5), 423-430. https://doi.org/10.1016/0032-0633(78)90064-8
867	
868	Xu, J. S., Tian, M., Tang, C.C., Hayakawa, M., Ohta, K., & Shimakura, S. (1989).
869	Direction finding of night-time whistlers at very low latitudes in China:
870	preliminary results. <i>Planetary and Space Science</i> 37(9), 1047-1052.
871	
872	Zhao, S. F., Liao, L., Zhang, X.M. (2017). Trans-ionospheric VLF wave power
873	absorption of terrestrial VLF signal. Chinese Journal of Geophysics, 60(8), 3004-
874	3014. http://www.geophy.cn/EN/10.6038/cjg20170809
875	
876	Zhao, S., Zhou, C., Shen, X. H., & Zhima, Z. (2019). Investigation of VLF transmitter
877	signals in the ionosphere by ZH-1 observations and full-wave simulation. <i>Journal</i>
878	of Geophysical Research: Space Physics, 124, 4697–4709.
879	https://doi.org/10.1029/2019JA026593
880	

#### **Figure Captions** 881

882

883 Figure 1. The two VLF transmitters (red circles with dots: NLK and NPM) and the 884 three receiving sites (black circles with dots: Rarotonga, Dunedin and Rothera) used 885 for the very low latitude whistler-mode observations. The dark blue lines and shading 886 indicate the approximate surface projections of the ionospheric whistler-mode paths 887 and region (darker shading for earlier in the night, say ~09 UT, lighter shading for 888 later, say 15 UT, see text for details). The lighter blue lines show the subionospheric 889 parts of these paths.

890 Figure 2. Very low latitude whistler-mode signals follow near the solid blue line

891 early in the night drifting to the solid red line late at night, with peak altitudes of

892 ~1400 km and ~700 km respectively. The dashed red and purple lines indicate the

893 connecting subionospheric parts of the paths from transmitters NPM and NLK to

894 receivers at Rarotonga and Dunedin. The dotted red and blue lines are geomagnetic

895 field lines shown for comparison with these red and blue non-field-aligned paths. In

896 contrast, the long solid blue-green line indicates a regular, ducted, field aligned,

897 whistler-mode path from transmitter NLK (48°N) to a receiver at Dunedin (46°S),

898 New Zealand (peak altitude ~10,000 km).

899 Figure 3. VLF signals from the 21.4-kHz US Navy transmitter NPM on Hawaii

900 (~21°N) recorded simultaneously at Rarotonga (~21°S, left panels) and Dunedin

901 (~46°S, right panels) on 29 Aug and 3 Sep 1996 UT (top and bottom panels,

902 respectively). The vertical axis in each panel shows the group delay of each received

903 signal, 0.0 - 0.9 s, while the horizontal axis shows the time of arrival in hours UT (12)

904 UT ~ midnight LT for Dunedin/Rarotonga/NPM). The amplitudes of the signals are

905 color coded in line with the color bar at top left, with green smallest and blue largest.

906 The very low latitude whistler-mode signals can be clearly seen at  $\sim 100 \text{ ms}$  ( $\sim 0.1 \text{ s}$ ) 911 earlier at Dunedin than at Rarotonga. On 6 Sep 1996, this may well be due to the

912 higher noise level at Rarotonga early in the night. As well as the very low latitude

913 whistler-mode signals with delays ~100 ms at Dunedin and strongest at Rarotonga,

note also the (weak) regular, mid-latitude, whistler-mode signals at Dunedin at delays

915 of ~ 0.75 s at ~06-07 UT and 0.5-0.6 s at ~12-15 UT on 28 Aug 1996.

916 **Figure 5.** Same as for Figure 3 except that for NLK at Rarotonga and Dunedin on

917 2 and 3 Sep 1996. The very low latitude whistler-mode signals (delays ~100 ms) are

visible at Rarotonga only, though weaker than for NPM at Rarotonga (see Figure 3

above for 3 Sep 1996, and the Supporting Information for 2 Sep 1996). Note that no

920 very low latitude whistler-mode signals from NLK are detectable at Dunedin.

921 However, regular, mid-latitude whistler-mode signals are clearly observable with

922 delays ~0.3-0.5 s, ~06-15 UT.

907

908

909

910

923 Figure 6 Very low latitude whistle-mode signals from NPM (Hawaii) simultaneously

received at Dunedin (46°S) and Rothera (68°S). The two left-hand panels show the

group delays (as in Figure 3) as a function of UT on 21 Sep 2009. The two right hand

panels show the Doppler shifts at ~10 UT on 21 Sep 2009.

927 Figure 7. Doppler shifts of very low latitude whistler-mode signals from NPM

928 (Hawaii) simultaneously received at Rarotonga, 21°S (left two panels), and Dunedin,

929 46°S (right two panels), at 1630 UT (top two panels) and 1645 UT (bottom two

930 panels) on 29 Aug 1996.

- 931 **Figure 8.** Doppler shifts of very low latitude whistler-mode signals from NPM
- 932 (Hawaii) simultaneously received at Rarotonga and Dunedin, NZ, on 3 Sep 1996 UT.
- 933 After ~1530 UT, the negative values and the increasing differences between the shifts
- at the two sites are likely due to sunrise (arriving first on the Rarotonga path), and the
- 935 slightly different path longitudes ( $\sim 6^{\circ}$ ). See text for further discussion.

936

937 xxx

938

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.

