Tmap.pdf.



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Nov03.pdf.



Time Relative to Minimum Sym-H (T₀)

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Cross-L^{*} Coherence of the Outer Radiation Belt during Storms and the Role of the Plasmapause

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Key Points:

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14	•	Near-relativistic electron fluxes associated with storms are highly correlated $(r^2 > r^2)$
15		0.8) cross-L [*] outside the minimum plasmapause location.
16	•	Electron fluxes inside the minimum location of the plasmapause are also well cor-
17		related but show little correlation with fluxes outside.
18	•	During storm main and recovery phases, the electron fluxes are well correlated across
19		all L^* irrespective of the plasmapause location.

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20 Abstract

The high energy electron population in Earth's outer radiation belt is extremely vari-21 able, changing by multiple orders of magnitude on timescales that vary from under an 22 hour to several weeks. These changes are typically linked to geomagnetic activity such 23 as storms and substorms. In this study, we seek to understand how coherent changes in 24 the radiation belt are across all radial distances, in order to provide a spatial insight into 25 apparent global variations. We do this by calculating the correlation between fluxes on 26 different L^{*} measured by the PET instrument aboard the SAMPEX spacecraft for times 27 associated with 15 large storms. Our results show that during these times, variations in 28 the >0.63 MeV electron flux are coherent outside the minimum plasmapause location 29 and also coherent inside the minimum plasmapause location, when flux is present. How-30 ever, variations in the electron fluxes inside the plasmapause show little correlation with 31 those outside the plasmapause. During storm recovery and possibly main phases, flux 32 variations are coherent across all L* regardless of plasmapause location, due to a rapid 33 decrease, followed by an increase in radiation belt fluxes across all L^{*}. 34

35 1 Introduction

The outer Van Allen radiation belt is a toroidal region of highly energetic electrons 36 residing in the Earth's inner magnetosphere. It extends from ≈ 2.5 to 8.0 Earth Radii 37 (\mathbf{R}_E) (Van Allen, 1958, 1959), and highly spatially and temporarily variable due to con-38 stant competition between enhancement and loss processes (e.g. Friedel et al. (2002)). 39 Enhancement occurs as a result of the injection of particles from the outer magnetosphere 40 which become unstable due to electromagnetic wave growth and by resultant wave-particle 41 interactions (Thorne, 2010). The subsequent waves energise the local particle popula-42 tion up to many MeV energies (e.g. Horne and Thorne (1998); Horne, Thorne, Glauert, 43 et al. (2005); Thorne (2010); Shprits et al. (2013)). Particles can also become energised 44 as a result of inward radial diffusion of hot plasma populations (e.g. Mann et al. (2016); 45 Lejosne and Kollmann (2020)). Particle interactions with waves can also result in the 46 scattering of particles into the local bounce loss cone (the loss cone defined by the mag-47 netic field strength at the base of the field line) or the drift loss cone (the largest bounce 48 loss cone on a given drift path). Drifting particles can also be lost due to a compressed 49 magnetopause intersecting their drift paths (magnetopause shadowing), or drifting around 50 to open field lines on the nightside (losses extensively reviewed in Friedel et al. (2002); 51 Millan and Thorne (2007)). Studies (e.g. Brito et al. (2015); Rae et al. (2018)) have also 52 shown that ULF wave modulation of the loss cone can drive enhanced precipitation of 53 radiation belt electrons without any additional requirement for gyro-resonant wave-particle 54 interaction. Fermi acceleration (Fermi, 1949) has also been associated with electron pre-55 cipitation (Brito et al., 2015). 56

A globally coherent outer radiation belt is a strong indicator of the nature and ex-57 tent of various processes acting upon them. When examining the spatial variation of high-58 energy particles in a planetary environment, it is useful to do so in a magnetic field-based 59 coordinate system such as the L-shell parameter, which is the equatorial distance in R_E 60 to a given dipole-approximated field line. This can also be calculated in a distorted dipole 61 using the International Geomagnetic Reference Field (IGRF). Roederer (1967) describes 62 a similar, though much more complex coordinate system utilising more realistic magnetic 63 field models to numerically calculate particle drift shells, conserving the third adiabatic invariant. The coordinate L* ('L-star') is favoured further out in the magnetosphere and 65 during periods of heightened activity where the field is more distorted from the dipole 66 approximation, however L^{*} values at a given location can be vary depending on the par-67 ticular field model used (Albert et al., 2018; Thompson et al., 2020). Typically, dipole 68 L-shells and L^{*}-shells deviate more at greater distances from the Earth. Coordinate sys-69 tems are reviewed in Roederer and Lejosne (2018). 70

D. N. Baker et al. (2001) investigated the electron flux for the entire $(2.5 \le L \le 6.5)$ 71 outer belt, comparing measurements from the Solar Anomalous Magnetospheric Parti-72 cle Explorer (SAMPEX) spacecraft (low-Earth orbit) to Polar (high-altitude, elliptical 73 orbit). In a 1-year interval, virtually all features were seen by both spacecraft, demon-74 strating cross-altitude coherence. Results from Kanekal et al. (2001) compared and cross-75 correlated 2-years of multi-satellite data at a range of altitudes and across a range of L-76 shells (assumed to be calculated using the IGRF model), finding similar behaviour at 77 all locations and further evidence of the coherent nature of the outer belt. Chen et al. 78 (2016) explored this further, to find significant cross-energy (MeV versus 100s keV), cross-79 pitch angle (trapped versus precipitating) coherence in outer belt electrons. This may 80 be a natural consequence of the dominance of wave-particle interactions in the region. 81 Confidence that there is an intrinsic relationship between electrons observed at low-Earth 82 orbit (LEO) and those at other altitudes, energies and pitch angles allows its use in now-83 casting and forecasting of global radiation belt behaviour (Chen et al., 2016). Artificial 84 neural networks (Claudepierre & O'Brien, 2020) and predictive models (Chen et al., 2019) 85 further take advantage of global coherence, using the POES spacecraft to provide inputs, 86 assessed by Van Allen Probe and measurements made at geosynchronous orbit altitudes. 87

Early studies of radiation belt coherence (Kanekal et al., 2001; D. N. Baker et al., 88 2001) formed the basis of the Radiation Belt Content (RBC) index (D. N. Baker, Kanekal, 89 & Blake, 2004) which integrated the apparent 1.5-6.0 MeV flux across L=2.5-6.5. Cor-90 relation of RBC with SAMPEX, Polar, GOES and HEO spacecraft flux measurements 91 further shows a coherent outer radiation belt. More recently, the Total Radiation Belt 92 Electron Content (TRBEC) index (detailed in Boyd (2016)) has been developed using 93 phase space density data from the Van Allen Probes in the L^{*}, K, μ adiabatic invariant coordinate system. Using data in this way removes the adiabatic variations that result 95 from examining particles within a set energy range but traversing a region with vary-96 ing magnetic field strengths. These are useful indices for analysing the net global change 97 of the outer belt. Murphy et al. (2018) produced a superposed epoch analysis of 73 ge-98 omagnetic storms, using TRBEC categorised by several fixed μ . This showed a coheraq ent net decrease, then increase in electron content across μ . Murphy et al. (2020) used 100 the RBC index to examine net changes in electron content over a longer period of time. 101 arguing that the reduced dimensionality is ideal for statistical studies. Global content 102 indices do however, hide the details of spatial variations across L or L* in the changes 103 of the belts and thus, local physical mechanisms for this variation. It is therefore impor-104 tant to understand when and where the variations in the radiation belt at different L^{*} 105 are largely coherent or incoherent. In a coherent radiation belt, global content indices 106 would be reflective of enhancement and/or loss processes but in an incoherent belt, in-107 terpretation would be more difficult. Due to the short ($\approx 10 \text{ min.}$) drifts of near-relativistic 108 outer radiation belt electrons, we assume MLT-dependent variations. 109

Often overlapping with the outer radiation belt is the cold (1 eV) and more dense 110 $(100-10000 \text{ cm}^{-3})$ plasmasphere. The plasmasphere is essentially an extension of the iono-111 sphere that becomes trapped on magnetic field lines and corotates (Lemaire et al., 1998). 112 The outer boundary of the plasmasphere is known as the plasmapause and while gen-113 erally not always well defined, can often be characterised by a steep plasma density gra-114 dient of at least half an order of magnitude in less than 1 R_E (Carpenter, 1963, 1966; 115 Gringauz, 1963). Typically, the plasmapause is located between 3.0 and 6.0 R_E , although 116 this location varies with geomagnetic activity and local time (Carpenter, 1963, 1966). 117 During periods of high geomagnetic activity, the plasmapause location can come within 118 $1 R_E$ of the surface of the Earth (e.g. D. N. Baker, Kanekal, Li, et al. (2004)). The super-119 position of corotation and convection electric field results in significant local time asym-120 metry and contribute to the formation of plasmaspheric drainage plumes during many 121 storms, extending from the main body of the plasmapause to the outer magnetosphere 122 (e.g. Goldstein et al. (2004)). 123

Inside the plasmasphere, whistler-mode hiss waves are a prominent and very effec-124 tive loss mechanism (Abel & Thorne, 1998a, 1998b; Meredith et al., 2007), primarily re-125 sponsible for the formation of the slot region between the inner and outer radiation belt 126 (Lyons et al., 1972; Lyons & Thorne, 1973). Plasmaspheric hiss is enhanced during ge-127 omagnetically active times (Thorne et al., 1973; Smith et al., 1974; Tsurutani et al., 1975; 128 Meredith et al., 2004), but persists during quiet times (Thorne et al., 1977; Carpenter, 129 1978). Outside the plasmapause, a strong source of both enhancements and losses are 130 VLF (very low frequency, 100 Hz-10s kHz) whistler-mode chorus waves (Meredith et al., 131 2020). They originate during cyclotron resonant interactions with plasma sheet electrons 132 that are injected into the inner magnetosphere during enhanced convection (Hwang et 133 al., 2007; Lyons et al., 2005). Multiple studies (e.g. Ozeke et al. (2018, 2019, 2020)) also 134 demonstrate the role of Ultra-Low Frequency (ULF) in both fast acceleration and loss 135 of relativistic electrons as low as the inner extent of the outer radiation belt (L \approx 2.5). 136 Relative contribution of the various processes is currently an active area of discussion 137 (Shprits et al., 2013; Mann et al., 2016; Shprits et al., 2018; Mann et al., 2018). Mul-138 tiple studies (Li et al., 2006; Darrouzet et al., 2013; Lichtenberger et al., 2013; Whittaker 139 et al., 2014; Hardman et al., 2015) suggest that the plasmapause boundary can be seen 140 in the time varying trapped electron fluxes due to the disparity in processes inside and 141 outside. 142

The L-dependence of electron dynamics in the outer radiation belt, due to many 143 influences including that of the plasmasphere, means that some of the global indices de-144 scribed above may not be entirely representative during periods of high geomagnetic ac-145 tivity. Vassiliadis et al. (2002, 2003) used a two-point correlation function (correlation 146 matrix) to analyse spatial coherence between L=1-10 over 8 years. These studies reveal 147 structured coherent regions in flux variations are categorised into the slot ('S') region 148 between L=2-3, P_0 , P_1 regions between L=3-4 and 4-8 respectively, containing the ma-149 jority of outer belt electrons, and P_2 region between L=8-10, suggesting consistent dif-150 ferences in these regions to each other over the 8 years. This and further work (Vassiliadis 151 et al., 2004, 2005; Vassiliadis, 2008) has associated the different regions with varying re-152 sponse to solar wind speed. 153

Here, we use a similar method to that in Vassiliadis et al. (2003) (also used in Cosgrove 154 and Sanchez (2012)) to evaluate how well variations in outer radiation belt flux are cor-155 related (and therefore coherent) across L* during much shorter periods associated with 156 geomagnetic storms. Data from the Proton/Electron Telescope (PET) instrument aboard 157 the SAMPEX spacecraft are used, measuring >0.63 MeV electron flux (energy range dis-158 cussed below). Given that L^* varies with pitch angle, as well as considering the large an-159 gular acceptance of PET, we examine how L^{*} varies across the PET field of view. In Sec-160 tions 2.4 and 2.5, we present case studies of two geomagnetic storms (May 1998 and Novem-161 ber 2003), analysing the changing coherence of flux variations throughout the days pre-162 ceding and following minimum Sym-H. We find that the correlation of the electron flux 163 at different L^* is dependent on the location of the minimum extent of the plasmapause. 164 In section 2.6 we provide a more statistical approach by averaging the analysis over 15 165 large storms, which reinforces the key findings from the case studies. 166

¹⁶⁷ 2 Instrumentation and Data Analysis

In this study, we use data from the the Proton/Electron Telescope (PET) (Cook et al., 1993) aboard the Solar Anomalous Magnetospheric Particle Explorer (SAMPEX) spacecraft (D. Baker et al., 1993), sampled at 6-second resolution. SAMPEX was a low Earth-orbiting spacecraft, its altitude varying over 450-700 km, decreasing over the course of the mission lifetime. The orbit had an 82° inclination and approximately 90-minute period. SAMPEX was operational from 1992-2012 and calibrated PET data is available from July 1992 to June 2004.

We use the low energy electron channel (ELO). In the instrument paper (Cook et 175 al., 1993), this channel is described as having an energy range of 1.5-6.0 MeV. However, 176 Selesnick (2015) demonstrated that the energy ranges specified may not always be ac-177 curate and that PET was susceptible to contamination from protons when SAMPEX was 178 passing through the inner belt, and to particles with energies as low as 0.63 MeV when 179 passing through the outer belt during heightened periods of activity. Since we are analysing 180 active periods, we consider it likely that PET was measuring particles >0.63 MeV. This 181 will not impact the overarching conclusions of the analysis in this study, as we are still 182 analysing relativistic or near-relativistic particles over a wide energy range. 183

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2.1 L* and Pitch Angle Sensitivity

As mentioned previously, Roederer's L^{*} parameter is the radial distance in a dipole 185 field that encompasses the same amount of flux encircled by a drifting particle (Roederer, 186 1967). It essentially allows us to label the drift path of a trapped particle, as an alter-187 native to the dipole-like approximation of the IGRF L-shell parameter. We calculate 188 L^* using the IRBEM library (Boscher et al., 2010) and the Tsyganenko and Sitnov (2005) 189 magnetic field model to replace L-shell in the SAMPEX data. This essentially simulates 190 a full drift path of a particle with a given pitch angle (in this case 90°), independently 191 of energy. To produce a cross- L^* correlation matrix, we bin electron flux by L^* . How-192 ever, L^* varies with pitch angle and given that PET had a large angular acceptance, we 193 examine how this may influence the L^{*} calculation. 194

For each 6-second sample from October and November 2003 we calculate three L^* 195 values for the location of SAMPEX; one for particles with a pitch angle of 90° at the satel-196 lite $(L_{90^{\circ}}^{*})$, one for the minimum observed pitch angle (L_{minPA}^{*}) , and one for a maximum 197 observed (L^*_{maxPA}) pitch angle. Note that both hemispheres are considered, i.e. all pitch 198 angles between 0° and 180° . Minimum and maximum pitch angles are determined by 199 the minimum and maximum pitch angle viewed by PET for which an L^* can be returned. 200 If the pitch angle at the edge of the PET field of view is within either the bounce or drift 201 loss cone such that no L^* value is returned, the pitch angle input is varied within the range 202 observed by PET until a value is returned. The local $L_{90^{\circ}}^{*}$ is calculated whether it is within 203 the PET field of view or not. $L^*_{maxPA} - L^*_{minPA}$ is found for each sample and the probability density function (PDF) is plotted for the respective $L^*_{90^\circ}$ in 1 L^* bins, shown in 204 205 Figure 1. This shows how much L^{*} generally varies with respect to $L_{90^\circ}^*$. As the values 206 here are calculated during the most geomagnetically active period in the entire SAM-PEX data set, we expect this to show the widest range and be representative of the range 208 of L^{*} observed by SAMPEX over the entire 12-years of available data. Many of the vari-209 ations are ≈ 0 and the overwhelming majority of variations are within 0.2 L^{*}. We will 210 therefore bin the electron fluxes in bins of $0.2 L^*$ in order to capture all possible L^* vari-211 ation within a single bin, removing any impact on our analysis. 212

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2.2 Observed Flux Populations

Since the calculation of L^* is obtained by simulating an entire particle drift path, 214 L^* can only be calculated for stably trapped electrons (where electrons can complete a 215 full azimuthal drift path without being lost, and hence remain trapped indefinitely). Con-216 sequently, the L^{*} calculation provides an accurate identification of when and where SAM-217 PEX was within the stable trapping region. Since we calculate L^* for particles with 90° pitch 218 angles, we restrict the data to when part of the PET field of view was perpendicular to 219 the magnetic field. This ensures that we are using the correct L^* for the observed par-220 ticles, regardless of the attitude configuration of the spacecraft. 221

Figure 2 shows the occurrence rate of of observations of the trapped electrons by PET in $1^{\circ} \times 1^{\circ}$ bins in geodetic coordinates for the year 2003. Note that whether or not PET observed trapped particles is dependent on both the magnetic field model and the



Figure 1. The probability density function (PDF) of variations in $L^* (L^*_{maxPA} - L^*_{minPA})$ as the 90° L^* increases. The horizontal dashed line represents where there would be no variation.



Figure 2. A world map in geodetic coordinates, showing where PET generally observed trapped flux during the year 2003. Latitude-longitude bins are $1^{\circ} \times 1^{\circ}$ in size, each containing the ratio of the number of occurrences where PET observed trapped flux, to the total number of occurrences.

look direction of the instrument, which varied with time. We note that due to the wide 225 58° field of view of PET, the measurements may also include un-trapped particles (par-226 ticles in the bounce or drift loss cones). However, in our analysis (described in more de-227 tail in Section 2.3) we use the maximum flux observed at a given L^* during a 12-hour 228 window, which means that the impact of PET observing a proportion of un-trapped par-229 ticles is negligible. Figure 2 that trapped particles can only by viewed by PET when it 230 was in and around the South Atlantic Anomaly region. It is unsurprising due to the 600km 231 altitude of SAMPEX, that PET spends most of the time observing inside either the bounce 232 and/or drift loss cone (BLC and/or DLC), but the significance of the trapped flux ob-233 servations allows for viable analysis of trapped electrons. This is also in agreement with 234 other studies, which attempt to distinguish individual particle populations with low al-235 titude spacecraft (Dietrich et al., 2010; Rodger, Clilverd, et al., 2010; Rodger, Carson, 236 et al., 2010; Rodger et al., 2013; Selesnick, 2015) including the SAMPEX HILT instru-237 ment (Klecker et al., 1993). 238

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2.3 Correlation Matrices

To analyse the cross-L^{*} coherence of the PET electron flux, we produce a series of 240 correlation matrices, which show the correlation of flux at a given L^* with flux at every 241 other L^{*}. Figure 3 shows a step-by-step example of how the matrices are produced, us-242 ing 10th-30th November 2003, during which was the November 2003 Hallowe'en storm. 243 Figure 3(a) shows the PET electron flux between $L^* = 2.0-6.6$ binned in 0.2 L* intervals, as this was the maximum L^{*} range which provided sufficient coverage required for 245 the analysis. Flux is sampled in 12-hour time intervals to remove periodic 12-hour flux 246 variations caused by the Earth's rotation, periodically subjecting PET to the SAA. We 247 take the maximum value per 12-hours rather than the mean, as this naturally maximises 248 the portion of trapped particles in the PET field of view due to their high intensity. Each 249 line in Figure 3(a) shows the electron flux in a different L^* bin, with the line colours in-250 dicating a range of L^{*}. Pearson's correlation coefficient is then calculated for every com-251 bination of L^* bins, and plotted on an L^* vs L^* matrix shown in Figure 3(b). The co-252 efficients used in the matrix are the Pearson's correlation coefficient (r) multiplied by 253 it's own magnitude (|r|), or r |r|. Similar to r^2 , r |r| shows the proportion of the vari-254 ability in one time series that is related to the variability in the other, but also indicates 255 whether the variations are in or out of phase. By design, the matrix in Figure 3(b) is sym-256 metric about the the $L_x^* = L_y^*$ line. We therefore transform the coordinates of Figure 257 3(b) such that the $L_x^* = L_y^*$ lies along the X-axis as in Figure 3(c). Figure 3(c) is thus 258 a ΔL^* vs L* matrix, where ΔL^* refers to the distance in L*, outwards from it's corre-259 sponding L^* value. Figure 3(c) is the style of plot that will now be referred to as a 'cor-260 relation matrix'. In order to add confidence to the coefficients in the correlation matri-261 ces, we complete a null hypothesis test using the Student's t-distribution (Student, 1908). 262 Rather than calculating the t-statistic to obtain a p-value for each coefficient, we calculate the critical r^2 value $(r_{crit.}^2)$ for the 99% confidence level. This allows us to reject the null hypothesis that r |r| = 0 where $r |r| \ge r_{crit.}^2$. The black, dashed contour line 264 265 in Figure 3(c) indicates where $r |r| = r_{crit}^2$. 266

Between 10th and 30th November 2003 there are two identifiable regions of pos-267 itive (red) correlation: an inner region between $L^* = 2.0-3.0$ and an outer region be-268 tween around $L^* = 3.0-5.5$. Flux at $L^* = 2.0$ is well correlated with flux up to $\Delta L^* \approx$ 269 1.0 (i.e. flux at $L^* = 2.0$ with flux at $L^* \approx 3.0$) but does not correlate with flux at 270 higher ΔL^* values. The limiting of the correlation of electron flux within the inner re-271 gion is demonstrated by the triangular shape of the region of high correlation, since the 272 ΔL^* over which the correlation is high reduces as $L^* = 3.0$ is approached. Correlation 273 is strong within this region, with r |r| values generally between 0.75 and 1.0, and declin-274 ing outside the $L^* = 3.0$. Hence, flux variations within this region do not positively cor-275 relate with those where $L^* > 3.0$. This is clear in Figure 3(a), as the $L^* = 2.0-3.0$ fluxes 276 (black) simultaneously vary differently to flux at all other L^* . Using the same logic, we 277



Figure 3. (a) A selection of L*-binned >0.63 MeV fluxes observed by PET from 10th-30th November 2003, at 12-hour resolution. (b) The resulting L* vs L* correlation matrix from the same dates. (c) The ΔL^* vs L* correlation matrix, adapted from (b). The colour shows r |r|, derived from the Pearson's correlation coefficient. Grey represents a bin containing no data. The black, dashed contour indicates where $r |r| = r_{crit.}^2$, the threshold at which we reject the null hypothesis that r |r| = 0 at the 99% confidence level.

identify an outer region in which the flux variations are also strongly coherent between 278 $L^* = 3.0-5.5$, before correlation begins to decline again. There is a small amount of pos-279 itive correlation between the two regions $(L^* \approx 3.0)$ which correlates with flux within 280 $\Delta L^* \approx 0.5$. This cannot be considered a region of its own, because correlation within 281 $\Delta L^* \approx 0.2$ is within the same bin, and so perfect correlation is to be expected. Also, 282 flux within adjacent L^* bins may be subject to very localised processes, so could be ex-283 pected to behave similarly within the immediately surrounding bins. It is also clear that 284 correlation drops off with regions sharply outside $\Delta L^* \approx 0.5$ from $L^* = 3.0$ and re-285 mains close to zero thereon outwards. Figure 3 is also across a 20-day time period, where 286 significant variation and differences in coherence are expected, which could blur any bound-287 aries in this initial analysis. We note that although we have only examined fluxes at $L^* <$ 6.6, the drop in correlation beyond $L^* = 5.5$ and the triangular shape of this outer re-289 gion in Figure 3 is not a result of the upper limit of our analysis. Overall, we find that 290 these correlation matrices can be a useful tool in identifying different regions in which 291 the behaviour of the electron flux was similar over periods of many days. 292

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2.4 10th-30th November 2003 Case Study

In the above, we applied our correlation matrices analysis to a 20-day period en-294 compassing periods of storm activity and non-storm times in between. In the following, 295 we use the same 10th-30th November time period to examine how the correlation be-296 tween electron fluxes at different L^* varies on shorter time-scales and directly compare them. As explained above, our analysis is limited to a 12-hour sampling rate for PET 298 data due to the significant periodic variation in flux measurements at higher resolution. 299 We therefore limit the length of the analysis windows to 5-days (≈ 10 data points), as 300 smaller lengths of time do not provide enough data to produce statistically significant 301 results. We define four time periods of focus, relative to the minimum Sym-H time, T_0 ; 302 $T_{-10,-5}$, $T_{-5,0}$, $T_{0,5}$ and $T_{5,10}$, where the subscript refers to the specific time pe-303 riod in days relative to minimum Sym-H (e.g. $T_{-10,-5}$ indicates the 5-day time period 304 from 10 days to 5 days prior). The minimum Sym-H time is obtained from an algorithm-305 generated storm list (Walach & Grocott, 2019). The case study is therefore a 20-day pe-306 riod centred on the time of minimum Sym-H, using 12-hour resolved data. 307

The November 2003 Hallowe'en storm was the largest geomagnetic storm in solar 308 cycle 23, reaching a minimum Sym-H of -490 nT, and has been extensively studied (e. 309 g. D. N. Baker, Kanekal, Li, et al. (2004); Horne, Thorne, Shprits, et al. (2005); Loto'aniu 310 et al. (2006); Bortnik et al. (2006); De Franceschi et al. (2008); Yahnina and Yahnin (2014)). 311 It is notable for the fact that significant levels of electrons were observed within the slot 312 region and inner belt, with high fluxes seen in there for weeks following the storm. The 313 high fluxes in this region were also present before the storm as a result of the earlier Oc-314 tober 2003 storm. Figures 4(a), (b), (c) and (d) present data from the $T_{-10,-5}$, $T_{-5,0}$, 315 $T_{0,5}$ and $T_{5,10}$ time periods respectively, associated with the 2003 Hallowe'en storm. The 316 top panels show the corresponding correlation matrices, with an $r |r| = r_{crit}^2$ contour 317 over-plotted. The middle panels show the radial profile of the mean flux for each phase. 318 The results from our analysis for $T_{-10,-5}$ show similarities with those shown in Figure 319 3: two regions $(L^* = 2.0-3.0 \text{ and } L^* = 3.5-5.0)$ within which the fluxes are significantly 320 correlated, with correlations of $r |r| \ge 0.8$ within those regions. However, unlike the 321 20-day interval examined above, the fluxes inside $L^* = 3.0$ show an anti-correlation (r | r | =322 -0.25 to -0.5, coloured blue) with fluxes outside $L^* = 3.0$. 323

The significance of $L^* = 3.0$ may be associated with the location of the plasmapause, but the plasmapause location varies significantly with MLT and AE. The bottom panels of Figure 4 therefore show the distribution of various measures of the (Meredith et al., 2018) model plasmapause locations using AE. Note that all model plasmapause locations are in L* coordinates (denoted by L_{pp}^*) generated using the Olson and Pfitzer (1977) model. Despite the use of different model magnetic fields for the L_{pp}^* and L*, the



Figure 4. Case study of the November 2003 storm, defined relative to minimum Sym/H (T_0) on 2003-11-20 at 18:17:00. (a) $T_{-10,-5}$, or the period between 10 ($T_{-10days}$) and 5 days (T_{-5days}) before T_0 . (b) $T_{-5,0}$ or between T_{-5days} and T_0 . (c) $T_{0,5}$ or from T_0 to T_{+5days} . (d) $T_{5,10}$ or from T_{+5days} to $T_{+10days}$. The top panel of each is the correlation matrix for $L^* = 2.0$ -6.6, with a contour at $r |r| = r_{crit}^2$. The middle panel is the mean flux profile for the same L* range and period and the bottom panel is the probability distribution function (PDF) of the minimum (orange) and mean (purple) model plasmapause locations for the time period, in L* coordinates (L_{pp}^*). The dashed line is the minimum plasmapause location and the dotted line is the mean plasmapause location for that time period. (e), (f), (g), (h) show time series of each L*-binned electron flux. The orange lines represent flux inside the minimum model plasmapause, and the purple outside. The transparency of the lines increases with increasing distance from the minimum plasmapause location.

difference between the two is negligible at low L^* values (<4) thus the plasmasphere model 330 can be applied in our L^{*} regime. For each 5-day time window, purple shows the distri-331 bution of L_{pp}^{*} averaged over MLT, and the black dotted line shows the mean of this dis-332 tribution. Orange shows the distribution of the minimum L_{pp}^{*} for each timestamp. The 333 black dashed line shows the minimum extent of this distribution. It is important to note 334 that the black dashed line is not an averaged value (and hence contains no averaging un-335 certainties), but the absolute minimum L_{pp}^* at anytime during the respective time period. i.e. locations inside this L_{pp}^* value will have remained inside the plasmapause the 336 337 whole time, whereas locations outside the minimum but inside the mean L_{nn}^* may have 338 been outside the plasmapause for some of the time. Meredith et al. (2018) determined 339 the plasmapause by fitting Gaussian profiles to a database of hiss and chorus emissions 340 and taking the intersection point between the two. A statistical wave model-determined 341 plasmapause is particularly useful because changes in electron behaviour either side could 342 potentially be attributed to these waves, however it should be noted that during most 343 extreme events such as the main and early recovery phase of November 2003, the model 344 may be less reliable. For example, the measurements presented in D. N. Baker, Kanekal, 345 Li, et al. (2004) show that the plasmapause may have been inside $L^* = 2.0$ at points 346 during the November 2003 storm. 347

Figures 4(e), (f), (g) and (h) show the L^{*}-binned electron flux (as per Figure 3(a)) 348 for the duration of the case study. Orange lines represent flux inside the minimum plasma-349 pause, and purple lines outside. The transparency of the lines increase with increasing 350 distance from the minimum plasmapause location. The single black line on each is flux 351 in the same L^* bin as the minimum plasmapause. Figure 4(e), suggests that this is a re-352 sult of the fluxes inside of the minimum plasmapause location generally decreasing over 353 the 5-day interval and vice-versa outside the minimum plasmapause. Figure 4(e) and the 354 radial average flux profiles show that these correlations are derived when flux is present 355 rather than due to a continuous lack of flux. Comparing the correlation matrix with the 356 distributions of the model plasmapause locations, we find that the inner region of strong 357 correlation falls within the minimum plasmapause location. The outer edge of the outer 358 region does not appear to correspond to the other measures of the model plasmapause, 359 but we note that our calculation of L^{*} does not return any values beyond $L^* = 5.3$ dur-360 ing this interval. The lack of an L^{*} value could attribute to the compressed magnetopause 361 following the previous October storm, thus decreasing the extent of the outermost drift 362 paths. 363

During $T_{-5.0}$ shown in Figures 4(b) and (f), flux variations between L*=2.0-3.0 and 364 between $L^* = 3.4-5.0$ are strongly correlated again, with r |r| > 0.8. However, unlike 365 $T_{-10,-5}$, flux inside L^{*} =2.0-3.0 now shows a strong positive correlation with flux at $L^* \geq$ 3.4. Figure 4(f) shows that this may be due to most fluxes inside and outside minimum 367 L_{pp}^{*} maintaining intensity rather than increasing, then sharply decreasing in the day be-368 fore T_0 . Interestingly, the correlation of fluxes close to L_{pp}^* drops to near-zero with all 369 other fluxes. The features described could also be attributed to the mix of storm phases 370 within our pre-defined 5-day time period. In Figure 4(f), the main phase of the storm 371 does not appear to begin until the final day of $T_{-5,0}$, signified by the sudden and rapid 372 decrease in flux. The full extent of this loss is apparent at the beginning of $T_{0,5}$. For the 373 first ≈ 4 days of $T_{-5.0}$ however, there is largely a continuation of the behaviour from 374 $T_{-10,-5}$, that being a gradually increasing or maintenance of flux outside the minimum 375 plasmapause location and a gradual decrease inside. This suggests that an analysis of 376 the main phase alone may find coherence across all L^{*}, regardless of the plasmapause. 377 This also suggests that the initial phase may be more akin to $T_{-10,-5}$ or entirely differ-378 ent, though as explained, such a study is not possible with SAMPEX due to the time 379 resolution constraints. 380

Figures 4(c) and (g) show data from $T_{0,5}$, a majority of which is taken up be the storm recovery phase. Flux at virtually all L^{*} showed strong positive correlation in ex-

cess of r |r| = 0.8, regardless of the plasmapause location, indicating coherent changes 383 across the whole outer radiation belt. Between the final point of Figure 4(f) and the first 384 in Figure 4(g) (across T_0) there was a sharp decrease of flux at all L^{*} (a likely indica-385 tion of the main phase). The subsequent increase of fluxes across all L^* in 4(g) is a clear 386 demonstration of overall coherence during the recovery phase in the days following min-387 imum Sym-H. Despite the widespread coherence, the fluxes inside the plasmapause ap-388 pear to increase with a slightly shallower gradient than of those outside, and immedi-389 ately begin decreasing again once peaked. 390

391 Data from $T_{5,10}$ is shown in Figures 4(d) and (h). The $T_{5,10}$ correlation matrix shows some resemblance to that of $T_{-10,-5}$ and the 20-day average, with two regions of rela-392 tively strong coherence separated by the minimum plasmapause location for that time 393 period. However, the correlation matrix shows much greater structure in the outer co-394 herence region than seen during the others phases. As for previous periods, the flux be-395 tween $L^* = 2.0-3.0$ was strongly coherent, with correlation coefficients of mainly r |r| > 1396 0.8. Outside $L^* = 3.0$ the correlation matrix shows two regions. Correlation is strong 397 between $L^* = 3.4-4.6$ and between $L^* = 4.6-6.0$, both with correlations coefficients of 398 r |r| > 0.8. However, the two regions show a weaker (r |r| < 0.8) positive correlation 399 with each other. We also note that the mean model plasmapause location lies between 400 the two coherent outer regions. Figure 4(h) shows that flux outside the minimum plasma-401 pause maintained its intensity during $T_{5,10}$, while flux inside gradually declined again. This is similar to $T_{-10,-5}$ and explains the two main regions of coherence, as well as the 403 negative correlation between them. 404

In summary, we generally find two regions of coherence outside of the 5 days pre-405 406 ceding of following minimum Sym-H, which becomes more coherent during the storm, more clearly the recovery phase. An inner region of coherence where the flux gradually 407 decreases inside the minimum extent of the plasmapause, and an outer region where flux 408 is maintained or gradually increases outside the minimum plasmapause location. Coher-409 ence is strong across all L^{*}, regardless of the plasmapause, during the 5 days following 410 minimum Sym-H and thus the recovery phase. Similar behaviour is also suggested dur-411 ing $T_{-5.0}$, possibly attributed to rapid loss during the main phase. 412

413

2.5 24th April-14th May 1998 Case Study

As noted above, prior to the 2003 Hallowe'en storm, the fluxes between $L^* = 2.0$ -3.0 were elevated due to an earlier event. During solar cycle 23, few events resulted in an injection into this region. We turn our attention now to an event where the $L^* =$ 2.0-3.0 region initially has very low electron fluxes. In this section, we examine a relatively strong storm in May 1998 which reached Sym-H =-272 nT. The results of our analysis are shown in Figure 5.

Figures 5(a) and (e) show $T_{-10,-5}$. There is no strong correlation between flux variations inside $L^* = 2.0$ -3.2, and this region does not strongly correlate with flux outside $L^* = 3.2$. The lack of correlation appears to be due to the low levels of flux inside $L^* = 3.2$ (\approx minimum plasmapause) as shown by the mean radial profile and Figure 5(e). Flux outside $L^* = 3.2$ was strongly correlating ($r |r| \ge 0.8$), though correlation decreased with increasing ΔL^* . Figure 5(e) shows that the strong correlation in the outer region is due to gradually increasing flux, much like the outer regions in Figure 4.

⁴²⁷ During $T_{-5,0}$ shown in Figures 5(b) and (f), positive correlation within $L^* = 2.0$ -⁴²⁸ 3.2 strengthened, showing correlation coefficients of r |r| > 0.25 and in some areas $r |r| \ge$ ⁴²⁹ 0.8. The increased correlation could be a result of an increased flux between $L^* = 2.0$ -⁴³⁰ 3.2 as shown in the flux profile, though this is still relatively low and decreased quickly ⁴³¹ with decreasing L^{*}. The outer region of strong correlation didn't begin until $L^* \approx 3.6$, ⁴³² and correlation coefficients of r |r| > 0.8 weren't widespread until $L^* \approx 4.2$. The re-⁴³³ duced overall correlation is also apparent in Figure 5(f) where flux outside the minimum



Figure 5. Case study of the May 2003 storm presented identically to that of Figure 4.

plasmapause location varied by orders of magnitude. Flux inside the minimum plasmapause location was consistently low, but began to increase within a day before T_0 . As in Figure 4(f), the sudden change in dynamics towards the end of $T_{-5,0}$ indicates the main phase coming into effect. Most of $T_{-5,0}$ is, again, a continuation of the dynamics seen in $T_{-10,-5}$. As already stated, we are unable to view timescales on the order of the main phase alone, due to the 12-hour resolution limit for this type of analysis with SAMPEX.

Figures 5(c) and (g) show the $T_{0,5}$, most of which again represents the recovery phase. The correlation matrix shows positive correlation across almost all L*, regardless of the minimum plasmapause location. This is much like Figure 4(c) and is also due to the increasing of flux across all L*, including that inside the minimum plasmapause as shown in Figure 5(g). In this case however, correlation of flux close to the minimum plasmapause location is weaker with flux at all other L*.

The $T_{5,10}$ correlation matrix (Figure 5(d)) shows overall less correlation than $T_{0,5}$. 446 Flux within $L^* = 2.0-3.0$ (\approx inside minimum plasmapause) is strongly correlated and 447 did not correlate strongly with flux outside. This is shown by the gradual decrease of 448 flux inside minimum plasmapause in Figure 5(h). The inner region correlated weakly (r | r) =449 -0.5-0.5) with flux outside the minimum plasmapause. The positive correlation ends around 450 $L^* = 5.0$, which is approximately the location of the overall mean plasmapause posi-451 tion. Correlation of flux inside mean L_{pp}^* correlates weakly and negatively with flux out-452 side the mean plasmapause in this case. Figure 5(h) shows that the less-well structured 453 outer region in $T_{5,10}$ is due to some of the flux maintaining a high intensity, and some 454 still gradually increasing. 455

The November 2003 and May 1998 storms both indicate that the overall coherence 456 of electron flux dynamics during periods of heightened activity was highly variable, but 457 share some common features throughout. Notably, when flux was present minimum L_{pp}^{*} , 458 there was a gradual and coherent reduction in intensity. Outside the minimum L_{pp}^* , flux 459 varied between widespread coherence and generally coherent but with degrees of spatial 460 variation. In any case, flux variations outside minimum L_{pp}^{*} were uncorrelated with flux 461 inside the minimum L_{pp}^* . The $T_{0,5}$ period in both case studies (encompassing storm re-462 covery phases) shows that flux variations were coherent across the entire range of inter-463 est due to the rapid recovery of fluxes at all L^{*}. 464



Figure 6. Statistical analysis of storms falling below -200 nT in Sym-H. (a), (b), (c), (d) (Top panel) Mean correlation matrices for each time period, aligned at minimum L_{pp}^* with a contour at $r |r| = r_{crit.}^2$ for a single correlation matrix. (Bottom panel) Mean radial profile with respect to minimum L_{pp}^* , where the shaded region represents standard deviation. (e), (f), (g), (h) The number of values in each bin of the above matrix. The x-axis describes location with respect to minimum L_{pp}^* , which is shown by the black dashed line in all panels.

465

2.6 Statistical Analysis of Large Storms

We have shown that flux tends to coherently and gradually reduce when inside the 466 minimum L_{pp}^* while behaving differently outside. To test the generality of the case study 467 results, we identified 15 large storms (large referring to any storm reaching a minimum 468 Sym-H below -200 nT, including the November 2003 and May 1998 events) between 1992 469 and 2004 from the Walach and Grocott (2019) list that were observed in their entirety 470 by PET and analysed them in the same way as the case studies above. Each correspond-471 ing correlation matrix for each of the previously defined time periods are then aligned 472 at the minimum L_{pp}^{*} to calculate the mean correlation matrix. We align at the minimum 473 L_{pp}^{*} because the case studies indicate that the minimum L_{pp}^{*} is the main focal point of 474 differences in electron flux coherence. The x-axis of the mean correlation matrices there-475 for shows the difference in L^* from the minimum plasmapause location and the y-axis 476 corresponds to the ΔL^* outward from the x-axis location. Figures 6(a), (b), (c) and (d) 477 show the mean correlation matrix for each time period on the top panel, and the bottom panel shows the mean radial profile with respect to the location of minimum L_{pp}^{*} , 479 with the shaded region indicating standard deviation. Figures 6(e), (f), (g) and (h) show 480 the number of values in each bin of the corresponding correlation matrix. Most show the 481 maximum of 15 values, however there is a gradient on the outer edge due to the align-482 ment of all correlation matrices with minimum L_{pp}^* . The black dashed line on all pan-483 els refers to the minimum L_{pp}^* , to which all data is aligned. 484

Figure 6(a) shows the mean $T_{-10,-5}$ correlation matrix. Inside the minimum L_{pp}^* , correlation between flux was positive but weak on average, mainly between r |r| = 0.0-0.5. Fluxes inside the minimum plasmapause correlated weakly (r |r| < 0.5) with those outside the minimum L_{pp}^* . The radial profile of the mean flux (Figure 6(a), bottom panel) shows that the average fluxes inside the minimum plasmapause were low and with a much larger standard deviation that at other L^{*}. This suggests that the lack of a strong cor-

relation on average may be related to a lack of flux, as was the case during May 1998. 491 Any storm where flux was present inside the minimum plasmapause and therefore co-492 herent during pre-storm such as November 2003, would be countered by those where flux 493 was not present and the correlations were low. Outside minimum L_{pp}^* flux showed over-494 all positive correlation, mainly from r |r| = 0.5-1.0, and therefore were coherent dur-495 ing all or most of the storms. During $T_{-5,0}$ in Figure 6(b), mean correlation slightly in-496 creased in strength from $T_{-10,-5}$ inside the minimum plasmapause. Flux outside the min-497 imum plasmapause showed a reduction in overall correlation, particularly between flux 498 of $\Delta L^* > 1.0$ separation, reducing below $r |r| \approx 0.6$. Correlation remained weak (r |r| < 1499 0.5) between flux inside and outside minimum L_{pp}^* . $T_{0,5}$ for both November 2003 and 500 May 1998 showed strongly coherent fluxes as a result of flux at all L^* rapidly increas-501 ing after minimum Sym-H. The mean $T_{0,5}$ (Figure 6(c)), which is also representative of 502 the recovery phase, shows strong correlation across all L^{*}, with r |r| values generally > 503 0.6, regardless of minimum plasmapause location. This suggests that in all 15 storms, 504 coherence during the recovery phase was due to a universal increase following a decrease 505 during the final day of $T_{0.5}$. Correlation throughout $T_{5,10}$ in Figure 6(d) also reflects that 506 of November 2003 and May 1998. The radial profile inside the minimum plasmapause 507 increased in intensity following the rapid increase of all fluxes in $T_{0.5}$. This is visible in 508 the mean $T_{5,10}$ correlation matrix as correlation strengthened inside the minimum plasma-509 pause to > 0.6. Flux outside the minimum plasmapause correlated again generally r |r| >510 0.6, however, $T_{5,10}$ flux inside correlated weakly or negatively with flux outside. In both 511 November 2003 and May 1998 this was also the case, due to fluxes maintaining or in-512 creasing to a high intensity outside the minimum plasmapause and flux inside gradually 513 decreasing. 514

The statistical analysis of 15 large storms reinforces the key results of the Novem-515 ber 2003 and May 1998 case studies. Flux variations are coherent inside minimum L_{pp}^{*} 516 and outside, but do not correlate with each other. This suggests the behaviour found in 517 the case studies may also apply to other large events, where flux gradually reduced when 518 inside minimum L_{pp}^* and either gradually increased or remained constant outside. Strong 519 correlation across all L^{*} during the $T_{0.5}$ period is indicative of the rapid increase in flux 520 at all L^* as also shown in the case studies. It is noted however, that correlation inside 521 minimum L_{pp}^* is weaker in Figure 6 than in the case studies, likely due to the variation 522 in the intensity of flux in this region between the 15 events. The variation inside min-523 imum L_{pp}^* is apparent in the case studies, as the May 1998 event begins with much lower 524 intensity in this region than the November 2003 event. This analysis suggests a clear plas-525 maspheric influence on the behaviour of high energy electron flux in the outer radiation 526 belt. Specifically, resulting in the constant gradual decrease of flux that permanently re-527 sides within the plasmasphere at all times except during the recovery phase of the storm. 528 Although the influence of the plasmapause on MeV and near-MeV electron flux varia-529 tions have been studied previously, (e.g. Li et al. (2006); Darrouzet et al. (2013); Licht-530 enberger et al. (2013); Whittaker et al. (2014); Hardman et al. (2015)) our analysis pro-531 vides further evidence of these dynamics from perspective of radiation belt coherence, 532 in a more detailed manner than before. 533

⁵³⁴ 3 Discussion

In this study we analyse the storm time cross-L^{*} coherence of electrons in the outer 535 radiation belt between $L^* = 2.0$ -6.6 using correlation matrices. Case studies of storms 536 in May 1998 and the 2003 Hallowe'en storm showed that more than 5-days preceding 537 or following minimum Sym-H, the variations in the >0.63 MeV electron flux measured 538 by SAMPEX were largely coherent inside of the minimum plasmapause location, although 539 this coherence is limited when the fluxes are low. Fluxes outside the minimum plasma-540 pause location were also coherent, but generally behave differently to those inside the 541 minimum plasmapause. During the days following minimum Sym-H, mainly represen-542

tative of storm recovery phases based on the storm times used, all the fluxes varied co-543 herently, showing an increase at most L^{*}. The $T_{-5,0}$ periods of both case studies showed 544 a less clear structure. The flux vs time plots in Figures 4 and 5 suggest that this is due 545 to the effects of the main phases of each storm affecting the fluxes towards the end of 546 the 5-day period. For the storm phase times (generated by the Walach and Grocott (2019) 547 algorithm) used in this analysis, it is indeed the case that the vast majority of main phase 548 times occur within one day prior to the minimum Sym-H time. The effects in question 549 resulted in the rapid loss of flux at most L*, however flux inside the minimum plasma-550 pause during the May 1998 case study began to increase instead. This may be related 551 to the fact that flux was already very low during this time. Before the main phase but 552 still during the 5-day $T_{-5,0}$ period, the results largely show a continuation of the behaviours 553 seen in the 5-day pre-storm phase. 554

The statistical analysis of the 15 largest storms (in terms of Sym-H) observed fully by the SAMPEX PET instrument found similar results. All three analyses show agreement on the coherence of flux outside the minimum L_{pp}^* . When flux is present inside minimum L_{pp}^* it is also coherent, but uncorrelated with flux outside. This suggests the plasmasphere has a strong influence on the changes in electron flux intensity at most times, except during storm recovery phases and possibly the main phase.

The coherence of the variations in electron flux within the outer radiation belts has 561 previously been examined by comparing fluxes at different altitudes and fluxes and dif-562 ferent energies and pitch angles. D. N. Baker et al. (2001) compared flux measurements 563 at high and low altitudes using data from SAMPEX and Polar respectively, finding sim-564 ilar flux variations at both altitudes. Kanekal et al. (2001) showed that flux at differ-565 ent altitudes on the same L-shell was also coherent by comparing observations from SAM-566 PEX, Polar, GOES and HEO, though with up-to 1 day of time lag. Chen et al. (2016) 567 demonstrated cross-energy and cross-pitch-angle coherence by comparing electron flux 568 from POES and Van Allen Probes spacecrafts, measuring $\approx keV$ flux in the loss cone 569 and \approx MeV trapped flux respectively. 570

Vassiliadis et al. (2003) previously used the correlation technique we used but over 571 a much longer 8-year period. Their results show clear regions of coherent flux variations: 572 the slot ('S') region between L=2-3, P_0 , P_1 regions between L=3-4 and 4-8 respectively, 573 containing the majority of outer belt electrons, and P_2 region between L=8-10, which 574 is outside of our analysis range. The S region in Vassiliadis et al. (2003) differs from that 575 seen in our analysis. This is likely due to the higher occurrence of slot-filling events in 576 our data due to the focus on more active time periods. The mentioned study covers 8 577 years, within which there is a low occurrence of high flux intensity inside L=3.0. The 578 highly correlating L>3.0 region commonly observed in our analysis occupies the same 579 L-range as the P_0 and P_1 regions. Although we have not commonly observed the dis-580 tinctive P_0 and P_1 regions, Figures 4(d) and 5(d) hint at this trend. Work in Vassiliadis 581 et al. (2002, 2003, 2004, 2005); Vassiliadis (2008) suggests that these regions are also dis-582 tinguished by the lag time in relation to solar wind velocity and others, with P_0 typi-583 cally reacting sooner. While we cannot suggest this here, future work could apply the 584 cross-correlation technique to compare with the claims of the mentioned studies, but spe-585 cific to highly active times. The appearance of P_0 and P_1 during our $T_{5,10}$ periods does 586 587 suggest however, that the mechanism may be dominated by other processes close to storm time or during extreme conditions which are not detectable in a longer-term study. It 588 must also be noted that we use 12-hour resolution as opposed to daily resolution, which 589 increases variability. Further, a longer study like the ones mentioned contain much smaller 590 variability relative to the entire time window, allowing for longer-term trends to be shown 591 more clearly, whereas in our 5-day analyses, smaller and more localised variations could 592 begin to dominate the correlation calculations. 593

Figures 4 and 5 (e)-(h) shed light on the reason that the coherence of changes in flux varies inside and outside the minimum plasmapause. During all non-storm times,

the fluxes were generally high and maintained their intensity, or gradually increased out-596 side the minimum plasmapause location. In contrast, the fluxes inside the minimum plasma-597 pause location tended to decrease. Figures 4(g) and (h) show that even during highly 598 coherent $T_{0,5}$ periods, fluxes inside the minimum L_{pp}^* increased with a shallower gradi-599 ent and once peaked, immediately began slowly decreasing again. Fluxes outside the min-600 imum plasmapause increase faster and then remain constant or gradually increase from 601 there, causing the divergence between the two regions again and hence, the differing co-602 herence during $T_{5,10}$. The relatively empty $T_{-10,-5}$ slot region of Figure 5 in May 1998 603 is an obvious difference between the two case studies, however, $T_{0.5}$ still shows flux at 604 all L^{*} coherently increase, followed in $T_{5,10}$ by the maintenance of high fluxes outside the 605 minimum plasmapause and gradual decrease of fluxes inside. This effect during the Novem-606 ber 2003 Hallowe'en storm is also observed in D. N. Baker et al. (2007); Meredith et al. 607 (2009), where the difference in loss timescales between low and high L is also apparent. 608

Kanekal et al. (2001) suggested that global coherence over less than 1-day timescales 609 was evidence that acceleration processes are global in nature, acting across the magne-610 tosphere. While over the examined 1-year period global processes may be more promi-611 nent, periods of heightened activity such as during a storm only make up a small por-612 tion of that, so may not show the effects of local acceleration. The 12-hour resolution 613 used in this study is unlikely to directly capture local acceleration, but radial diffusion 614 as a result of a local acceleration event (Ozeke et al., 2014) could be identified on this 615 timescale. Moreover, our analysis is unable to discern inward and outward radial diffu-616 sion, so while the coherence shown may be inward radial diffusion on a global scale as 617 with Kanekal et al. (2001), we cannot discount the effects of local acceleration driven by 618 whistler-mode chorus waves. 619

Inside the minimum plasmapause location, our analysis generally shows strongly 620 coherent flux variations which also behave differently to flux outside the minimum plasma-621 pause. This region is loss-dominated when flux is present, with the exception of the re-622 covery phase where all flux rapidly increases. The Meredith et al. (2018) model fitted 623 Gaussian profiles to a database of hiss and chorus emissions and roughly determined the 624 plasmapause from the intersection point of the two distributions. So, the plasmapause 625 in our analysis is effectively the boundary between two wave populations; hiss inside the 626 plasmapause and chorus outside. The minimum plasmapause is therefore the point at 627 which flux inside is dominated by hiss waves, which are known to be effective at scat-628 tering electron pitch angles towards the loss cone (Abel & Thorne, 1998a, 1998b). Thus, 629 it is likely that the region of coherent (and typically decreasing) flux inside the minimum 630 plasmapause location is controlled by populations of hiss spanning multiple L^{*}. 631

During $T_{0,5}$ periods (recovery phases) where flux at all L^{*} is strongly coherent re-632 gardless of the plasmapause location, the affect of hiss is either severely weakened or in-633 significant compared to an energisation process which increases flux at all L^{*}. Alterna-634 tively, the plasmapause could be close enough to the Earth such that it is inside the in-635 ner limit of $L^* = 2.0$ for the correlation matrices and all visible flux is actually outside 636 the plasmapause, despite the model predictions and as is predicted by other physics-based 637 models (Krall et al., 2017). However, the $T_{0.5}$ fluxes for, more visibly, November 2003 638 (Figure 4(g)) but also May 1998 (Figure 5(g)) show that during the rapid increase of all 639 640 flux, that which is inside the minimum plasmapause location increases with a shallower gradient. Also, when the peak of flux inside the minimum plasmapause location is reached, 641 the gradual decrease immediately follows and continues beyond the post-storm phase. 642 This suggests that loss due to hiss wave scattering may still be present during the re-643 covery phase, but the acceleration mechanism that provides increases in flux across all 644 L^* is strong enough to dominate. 645

Murphy et al. (2018) analysed the global response of the outer belt to geomagnetic storms, performing a superposed epoch analysis of various parameters during 73 storms. One of those parameters is the TRBEC index, where it is found that prior to minimum

Sym-H, there is a mix of behaviour, spanning from a small amount of loss to relatively 649 constant. This behaviour can also describe our statistical analysis of flux prior to min-650 imum Sym-H. Here, we shed light on the spatial perspective as well as the net change. 651 Due to the mix of storms beginning with pre-filled slot regions and empty slot regions, 652 the analysis may be capturing a mix of different responses to activity. With our case study 653 of the November 2003 storm (Figure 4), the slot is already filled, and during the day or 654 so before minimum Sym-H, flux at all L^{*} decreased and therefore constitutes a clear net 655 loss. Our May 1998 case study (Figure 5) begins with an empty slot region at energies 656 observed by PET. Not all fluxes outside the minimum plasmapause decrease, and those 657 that do decrease, do so to a lesser extent to those during November 2003. This would 658 therefore constitute a much more subtle net loss. It is reasonable therefore to infer that 659 the unclear statistical results in here and Murphy et al. (2018) are due to differing ini-660 tial conditions, as well as differing behaviour in response to activity. Following minimum 661 Sym-H Murphy et al. (2018) observes a more organised response, where in all storms there 662 is a clear and consistent net increase of TRBEC. In all of our analyses, we observe a strongly 663 coherent response in the recovery phase. Regardless of whether or not the slot region was 664 filled prior to a storm, flux at all L^{*} coherently increased during the recovery phase and 665 hence, the net increase in TRBEC. Murphy et al. (2020), using the RBC index (D. N. Baker, 666 Kanekal, & Blake, 2004) also found net loss-dominated pre-minimum Sym-H (or Dst) 667 and net enhancement-dominated recovery phase. The recovery phase being the only phase 668 in which the entire belt was coherent also indicates that this is the only time during a 669 storm when RBC and TRBEC are entirely representative, as they refer to net changes. Change in RBC or TRBEC suggests an overall coherent change in electron content, whereas 671 outside of the recovery phase the reality would be a combination of two coherent areas, 672 one of which is behaving differently to the other. The net changes in flux before and af-673 ter storms in Reeves et al. (2003) may also hint at coherent changes which again, may 674 not necessarily be the case. 675

For all of the above discussion, it is important to point out that our analysis con-676 tains a selection bias towards large storms. Future work will involve an analysis of a larger 677 range of storms, including small and moderate, to determine whether our findings are 678 reproduced in storms of any size. The slow precession and low altitude of the SAMPEX 679 orbit means that we only see trapped populations for a limited time per day. Trapped 680 flux can be multiple orders of magnitude more intense than flux in the loss cone and so 681 for now, there is a 12-hour limit on the finest resolution of our analysis in order to avoid 682 these patterns in the data dominating correlation coefficients. 5-day time windows were the lowest time window which could produce clear structure in our correlation matrices, 684 and so we are limited to larger storms, which tend to last longer and fill some of the 5-685 day windows. If the temporal resolution of the flux measurements were improved, this 686 would improve the resolution of our analysis and therefore allow more storms to be used, 687 including smaller storms. We would also be able to consider exact storm phases in or-688 der to study the changes in coherence from the initial and main phase individually, as 689 well as using exact times for the recovery phases. As shown in models such as Meredith 690 et al. (2018), there is a much stronger presence of waves during storm times, differing 691 hugely from that of quiet times. This presents clear opportunity to expand our analy-692 sis to quiet times in future work, comparing the coherence between quiet and active times. 693

694 4 Conclusions

We have used a correlation analysis to compare the coherence in L^{*} of the outer radiation belt. A selection of 15 large (≤ 200 nT in Sym-H) geomagnetic storms were broken down into four 5-day time periods surrounding the minimum Sym-H value of a storm. We provide two example case studies and finally a statistical analysis of all 15 storms. Our results show:

- 1. >0.63 MeV electron flux variations associated with storm time are coherent across L^* when outside the minimum plasmapause location.
 - 2. Flux variations are coherent when inside the minimum plasmapause extent, but do not correlate with flux variations outside.
- 3. During storm main and recovery phases, flux variations across all L^{*} are coherent, irrespective of the plasmapause.

Our results show that fluxes inside the minimum plasmapause location (i. e. lo-706 cations that are always within the plasmasphere) experience continual loss, except dur-707 ing the recovery phase of a storm. During the recovery phase, flux at all L^* regardless 708 of the plasmapause location experiences a coherent net increase in flux, likely as a re-709 sult of radial diffusion due to ULF or whistler-mode chorus waves, or local acceleration 710 due to whistler-mode chorus. While we provide evidence that loss due to plasmaspheric 711 hiss may still be acting during this time, the recovery phase acceleration process (whose 712 exact nature is unclear) is able to overcome it. 713

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