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| 2 3 | Contrasting storm-time radiation belt events with and without dropouts – the importance of CME shocks |
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| 4 5 6 7 | Stanislaus Nnadih ^{1,2,3} ; Lauren Blum ¹ ; Zheng Xiang ⁴ ; Weichao Tu ⁵ ; Xingzhi Lyu ⁵ ; Maria E. Usanova ¹ ; Craig J. Rodger ⁶ |
| 8 9 10 11 12 13 14 | ¹Laboratory for Atmospheric and Space Physics, University of Colorado, Boulder, USA ² African Regional Center for Space Science and Technology Education in English, Ife, Nigeria ³ South African National Space Agency, Hermanus, South Africa ⁴Department of Space Physics, School of Electronic Information, Wuhan University, China ⁵Department of Physics and Astronomy, West Virginia University, Morgantown, WV, USA ⁶Department of Physics, University of Otago, Dunedin, New Zealand |
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| 17 18 | Corresponding authors: Stanislaus Nnadih (<u>stan.nnadih@lasp.colorado.edu)</u> and Lauren Blum (<u>lauren.blum@lasp.colorado.edu)</u> |
| 19 | Key Points: |
| 20 21 | • The response of the outer radiation belt is compared during three intense (Dst_min < - 100nT) CME-driven geomagnetic storms |
| 22 23 | • The first two storms occurred following the arrival of shocks and exhibit characteristic "dropouts" of MeV electrons during the main phase |

• The third CME was not preceded by a shock, resulting in different solar wind and magnetospheric conditions and an unusual "non-dropout"

26 Abstract

This study compares 3 different geomagnetic storms (designated as storms 1, 2, and 3) observed 27 by NASA's Van Allen Probes within the spacecraft's first 50 days in orbit. These storms were 28 CME-driven with minimum DST around -138, -100, and -106 nT, respectively. Storms 1 and 2 29 occurred following the arrival of fast-forward shocks, which compressed the magnetopause 30 31 inward to about 6.5 R_E and 7.5 R_E, respectively, as a result of the increase in solar wind dynamic pressure and density. The inward magnetopause motion helped contribute to a rapid depletion of 32 MeV electrons across the entire outer belt. For the 3rd storm, however, there was little or no 33 dropout of MeV electrons in the heart of the outer belt during the storm main phase. This third 34 storm was generated by a CME without an associated shock, and the magnetopause actually 35 moved outward at the start of the storm, suppressing loss of electrons through the outer 36 37 boundary. The study reveals that under certain solar wind driving conditions radiation belt electron dropouts may not occur, even during large geomagnetic storms (Dst min < -100 nT). 38 39

40 1 Introduction

Earth's magnetosphere has a region, known as the radiation belt, divided into the inner belt, 41 between ~ 1.5 and 2.5 Earth radii (R_E), and the outer belt, which lies between ~ 3 and ~ 7 R_E in 42 the equatorial plane. Within these regions, the energetic charged particles (e.g., electrons and 43 44 protons) are trapped due to the dipolar-like topology of the geomagnetic field, which converges at high latitudes giving rise to relatively minimum magnetic field strength around the 45 geomagnetic equator (Spjeldvik and Rothwell, 1985). The energy range of these trapped 46 particles spans between hundreds of keV and tens of MeV (Mauk et al., 2013), and can pose 47 serious threats to the satellites within the radiation belt regions (Baker, 2001; Baker and Kanekal 48 1994; Wrenn, G. L. 1995; Wrenn et al., 2002). 49

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The electrons in the inner belt are relatively stable (Abel and Thorn, 1998; Rodger and Clilverd, 2002) compared to the outer belt, which is highly dynamic with flux intensities changing drastically by order of magnitudes on timescales from minutes to days (Friedel et al., 2002; Shprits et al., 2008a). The variations of these electron fluxes are most often observed during geomagnetic storms, including those driven by Coronal Mass Ejections (CMEs) (Reeves et al., 2003, Li et al., 2013).

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CMEs result from eruptions of plasmas and magnetic fields occurring on the surface of the Sun, 58 as a consequence of the energy release processes in the corona (Gopalswamy, 2004; Yashiro et 59 al., 2004; Wang and Zhang, 2007; Byrne et al., 2010; Manoharan and Mujiber Rahman, 2011; 60 Webb and Howard, 2012; Fu et al., 2021). They can be classified as fast (> 500 km/s) or slow (< 61 500 km/s) (Gopalswamy et al., 2000; Filippor, 2019) depending on their relative speed to 62 average solar wind speed (MacQueen and Fisher, 1983; Sheeley et al., 1999; Pant et al., 2021). 63 When faster than the surrounding solar wind, they drive either fast-forward or fast-reversed 64 shocks, during which a simultaneous increase in the magnetic field magnitude and plasma 65 parameters or a decrease in the magnetic field magnitude, solar wind density, and an increase in 66 the solar wind speed, respectively, is observed (Kilpua et al., 2015). Similarly, they can also 67 drive forward and reserved slow mode shocks, although rarely observed (Chao and Olbert 1970; 68 Richter et al., 1985; Whang et al., 1998; Ho et al., 1998; Zuo et al., 2006), during which one 69 observes an increase in the plasma density, temperature, and bulk speed, and a decrease in the 70

magnetic field (Ho et al., 1998; Zuo et al., 2006). These fast or slow mode shocks often lead to
an increase or decrease in the ram pressure at Earth's magnetopause (Srivastava and
Venkatarishnan, 2002). However, slow-moving CMEs do not drive shocks (Lugaz et al., 2017).

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Numerous studies have investigated the impact of CMEs on the dynamics of the outer radiation 75 belt. Radiation belt dropouts are one of the most intense dramatic variations in Earth's 76 magnetosphere (Baker et al., 1994; Xiang et al., 2018), which occur when electron fluxes drop 77 by several orders of magnitude over a broad range of energies, spatial locations and within a few 78 hours during the main phase of geomagnetic storms (Friedel et al. 2002; Shprits et al. 2008a; 79 Turner et al., 2012a). During these periods, the electrons are either transported through the 80 magnetopause into interplanetary space, through the process known as magnetopause shadowing 81 (Lotoaniu et al., 2010; Turner et al., 2012a) or they precipitate into the atmosphere (e.g., Rodger 82 et al., 2010; Bortnik et al., 2014; Thorne, et al., 2010). 83

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85 While magnetopause shadowing occurs when the magnetopause compresses inwards as a result of an increase in the solar wind dynamic pressure and can be accompanied by enhanced outward 86 radial diffusion driven by ultra-low frequency (ULF) waves, (e.g. Turner et al., 2012; Tu et al., 87 2019), wave-particle interactions that induce pitch angle scattering of electrons are the primary 88 cause of precipitation during geomagnetic storms (Millan and Thorne 2006; Tu et al., 2010; 89 Thorne, 2010; Ni et al., 2017; Jaynes and Usanova, 2019). However, studies have shown that a 90 91 combination of magnetopause shadowing with outward radial diffusion as well as precipitation is often needed to explain radiation belt dropouts (e.g., Li et al., 1997; Morley et al., 2010; Tu et 92 al., 2010; Turner et al 2012b; Bruno et al., 2022). For instance, Turner et al. (2012b) use data 93 from multiple spacecrafts e.g., THEMIS, GEOS, and NOAA-POES to show that the sudden 94 electron depletion observed at the main phase of the storm on 06 January 2011 resulted from 95 outward transport rather than loss into the atmosphere. Morley et al. (2010a; 2010b) use 96 97 energetic particle measurement from GPS constellation to show that electron loss between 4 < L*< 6 at energies above > 230 keV results from magnetopause shadowing and /or outward 98 diffusion as well as precipitation to the atmosphere due to wave-particle interactions. EMIC 99 waves have been suggested to be a major contributor to MeV electron precipitation loss during 100 storm-time dynamics (e.g. Shprits et al., 2017, Xiang et al., 2018), while other studies have 101 shown that pitch angle scattering by multiple wave modes simultaneously (e.g. EMIC and chorus 102 waves) can help produce observed radiation belt losses, particularly at L<5 (e.g. Gao et al., 2015, 103 104 Mourenas et al., 2016, Boynton et al., 2017, Drozdov et al., 2020).

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Using data from CRRES, Akebono, GPS, and LANL-GEO, Shprits et al. (2012b) found that 106 78% of 25 electron flux dropouts analyzed were associated with a sudden increase in the solar 107 wind dynamic pressure. Several other studies have shown associations between dropouts and an 108 increase in the solar wind dynamic pressure as well (e.g., Ni et al., 2013; Ohtani et al., 2009). 109 110 These increases in the dynamic pressure often occur following the passage of the stream interface regions during which the magnetopause standoff distance moves inward to around 8 R_E 111 112 (Morley et al., 2010). Boynton et al. (2016) found that dropout magnitude at L=6.6 was primarily controlled by the square of the solar wind dynamic pressure, while a similar analysis of dropouts 113 observed by GPS at L=4.2 was better associated the cube of the southward IMF B_z component 114 (B_s^3) (Boynton et al. 2017). Xiang et al. (2018) found a similar dependence of dropouts on radial 115 116 distance, with those at L*>4.5 likely due primarily to magnetopause shadowing, while precipitation into the atmosphere likely contributed to those at lower L*. These studies highlight 117

- 118 the importance of considering radial distance (or L shell/L*) as well as electron energy during
- 119 radiation belt dropouts.
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121 Although several efforts have been made to better understand the variations of electrons in the radiation belt during geomagnetic storms, and in particular the processes resulting in the rapid 122 dropout of electrons at the main phase (Friedel et al. 2002; Shprits et al. 2008a; Turner et al., 123 2012a; Tu et al., 2019; Rodger et al., 2019), there are no studies to date that describe the storm-124 time radiation belt little or non-dropouts to understand when and where geomagnetic storms do 125 not result in complete dropouts. Recent studies (e.g., Murphy et al., 2018) have shown that 126 radiation belts usually have these dropout signatures but upon closer inspection, not all the time, 127 at all energies, or at all radial distances. The main objective of this paper is to explore a large 128 geomagnetic storm (Dst min \sim -106 nT) that did not show a dropout of MeV electrons within the 129 outer zone and to attempt to understand when or why the outer belt behaves in this manner. 130

131 **2 Observations**

132 2.1 Electron Flux Measurement.

Figure 1 shows the electron flux measurements observed by Van Allen Probes in 2012, during the geomagnetic storms within the spacecraft's first 50 days in orbit. The first four panels (a, b,

c, and d) of the Figure show the electron flux measured by the ECT-REPT and ECT-MagEIS instruments of the Probes at 335.5 KeV, 458.2 KeV, 2.30 MeV, and 4.50 MeV, respectively,

while the last panel (e) shows the intensity of the storms designated as storm 1, 2 and 3.

Geomagnetic storms further referred to as 1 and 2, which occurred on 30^{th} September – 1^{st} 138 October 2012, and 8-9 October 2012, respectively, were accompanied by an enhancement of the 139 solar wind dynamic pressure leading to significant outward radial transport and magnetopause 140 loss (Turner et al., 2014; Tu et al., 2014). These two storms have also been simulated using the 141 Lyon-Fedder-Mobary MHD code coupled to the Rice Convection Model, to show the 142 contribution of the magnetopause and ULF wave activity to radiation belt losses (Hudson et al., 143 2014). However, no studies described the "unexpected" little or non-depletion of electron flux at 144 the main phase of storm 3 (12 -13th October 2012), which is the aim of this investigation. 145

As shown in Figure 1, spacecraft flux measurements show a loss of 4.5 MeV electrons 146 throughout the entire radial extent of the outer belt during the main phases of storms 1 and 2. 147 However, there was little or no dropout of relativistic electrons at the main phase of storm 3. We 148 recall that dropouts in the radiation belt result from both adiabatic and non-adiabatic processes. 149 While adiabatic effects alone often cannot explain the magnitude of loss observed during 150 dropouts (Kim and Chan 1997; Li et al., 1997), to minimize any ambiguity and reveal the real 151 loss, we next investigated the Phase Space Density (PSD) profiles calculated from the electron 152 flux data of the REPT and MagEIS instruments of Van Allen Probes. 153

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155 **2.2 Phase Space Density**

PSD describes the kinematic state of the radiation belt electrons using three momentum coordinates i.e., μ , K, and L^* (Schulz and Lanzerotti, 1974), where μ and K represent the first and

second adiabatic invariants, respectively, and L*, commonly referred to as Roederer L, relating

to the third adiabatic invariant (Roederer, 1970). One of the benefits of using invariants PSD is that PSD distribution in L* does not change when only adiabatic changes are occurring in the system (Stapes et al., 2022). Figure 2 (a) shows the PSD at fixed *K* (0.115 G^{1/2} R_E) and varying values of μ (1585, and 2290 MeV/G) that were calculated following methods outlined in Xiang et al. (2017), which used the semiempirical Tsyganenko magnetic field model TS04 (Tsyganenko and Sitnov, 2005). These μ values correspond to about 2.3 – 2.9 MeV energies at L* = 4.

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For these μ and K values, the plots clearly show relativistic electron loss at the main phases of the first and second storms at a range of L* spanning the entire outer radiation belt (i.e., between \sim 3 and \sim 6 Re), while storm 3 resulted in little or no electron loss, particularly towards the inner edge of the outer belt.

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To unambiguously identify dropouts and little or no dropouts at various radial distances, PSD data were binned in L* (0.1) and in time (4 hours). Dropouts were then identified when the PSD dropped by a factor of greater than 5 within 8-hour intervals. These criteria have been used successfully by previous studies (e.g., Duncan, 2000; Xiang et al., 2018) to identify dropout events and to ensure that the depletion of electrons PSD is prompt and significant. Figure 2 panel (b) to (f) shows the temporal variation of the PSD at fixed μ and K values, and at various L* (3 to 5). Following Xiang et al. (2018), dropout events are circled in red and appended with a number

179 that indicates the magnitude of the dropouts at these radial distances (L^*) .

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181 At μ values (1585 and 2290 MeV/G) corresponding to multi-MeV electrons in Figure 2 (left and 182 right), we observed no depletion of electrons between L* (3.5 to 4.5) for storm 3 compared to 183 storms 1 and 2, which did show dropouts at these L*.

184 **2.3. Solar wind parameters**

To better understand the drivers of the dynamics within the magnetosphere during these events, 185 we recall that various studies (e.g., Paulikas and Blake 1979; Blake et al., 1997; Hudson et al., 186 2014) have shown clear relationships between activities in the radiation belt and solar wind 187 parameters (for instance the duration of the Bz -southward components of the magnetic field, 188 dynamic pressure, density, IMF and speed). Thus, Figure 3 shows the solar wind parameters 189 obtained from the OmniWeb database (https://omniweb.gsfc.nasa.gov/ow min.html) for the 190 period between September 25th to October 25th, 2012. The solar wind OMNI data were measured 191 by multiple spacecraft (ACE, WIND, and DSCOVR), time-shifted, and then propagated to the 192 nose of the Earth's bow shock to represent the conditions at that location (King and Papitashvili, 193 194 2005). As such, they approximately show the solar wind conditions inputs into the inner magnetosphere impacting radiation belt dynamics. 195

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197 CME-driven geomagnetic storms occur when the southward interplanetary magnetic field either

in the flux rope or sheath of the CME coincides with the northward field of the magnetosphere

199 leading to the transfer of energy from the solar wind to the magnetosphere, and the enhancement

of the ring current (Gosling, 1993; Dryer, 1994; Gonzalez et al., 1994; Gopalswamy et al., 2010;

201 Green and Baker, 2015). This enhancement is observed by the 1-hour (DST) or 1-minute SYM-

H geomagnetic indices (Wanliss and Showalter, 2006), during which the Bz (GSM) component 202 203 of the Interplanetary Magnetic Field (IMF) turned and remain negative for the duration of the main phase of the storm. Bz GSM < 0 allows significant magnetic reconnection on the dayside of 204 the magnetosphere, leading to a build-up of the ring current, resulting in a weakening of the 205 geomagnetic field as quantified by the SYM-H index. The sudden northward turning of Bz (Bz >206 0) often signals the start of the recovery phase of the storm when internal magnetospheric 207 processes work to absorb the energy deposited during the Bz southward interval. 208

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The three geomagnetic storms investigated here were all driven by CMEs. Storms 1 and 2 210 occurred following the arrival of fast-forward shocks, which increased the magnetic field 211 magnitude and other plasma parameters (e.g., density and dynamic pressure). However, there 212 was no shock associated with storm 3, as shown in the shock data repository 213 (http://ipshocks.fi/database), because the speed of the corresponding CME (~490 km/s) was 214 slower when compared with the speed of the solar wind (~ 560 km/s). 215

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The position of the magnetopause can be estimated using the Shue et al. (1997) magnetopause 217 218 model, the output of which is shown in Figure 3f (black line). For the onset of storms 1 and 2, the magnetopause standoff distance moved inward to about 6.5 R_E and 7.5 R_E, respectively, as a 219 result of an abrupt increase in solar wind dynamic pressure (~ 9.6 nPa, ~6.4 nPa) and density 220 221 (\sim 32 n/cc, \sim 22 n/cc), while at the onset of storm 3, the location of the magnetopause actually moved outward to around 14 R_E due a decrease in pressure (~ 0.2 nPa) and density (~0.5 n/cc), 222 and then reached an inward minimum distance ($\sim 8 R_{\rm F}$) at the recovery phase of the storm, when 223 the solar wind dynamic pressure and density increased to about 6.4 nPa and 12.5 n/cc, 224 respectively, as a result of the arrival of a fast reversed shock (See Figure 3). To approximate the 225 position of the last closed drift shell LCDS (the highest L shell for stable electron trapping within 226 the magnetosphere), Albert et al. (2018) have shown that the shifted magnetopause position 227 MP*= MP-2 is close to LCDS during storm times (see blue line on panel 3f). Furthermore, Pinto 228 et al. (2018) showed that strong electron loss by outward radial diffusion is often observed down 229 to L_{min}=MP*(or LCDS)-1.5. L_{min} reaches a value of 3.6 and 4.2 during the main phase of storms 230 1 and 2, respectively, while it reaches only 5.3 during the main phase of storm 3, which is 231 consistent with no dropout being observed within $\sim L^*=5$ during the third storm. 232

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234 Consistent with the patterns and findings presented in this study, an increase in the solar wind dynamic pressure and IMF have been shown to play important roles in driving storm-time 235 radiation belt dropouts (Ohtani et al., 2009, Boynton et al. 2016, 2017), and relatively low 236 dynamic pressure results in fewer electron dropouts in the magnetosphere (Yuan and Zong 237 2013). In addition to dynamic pressure playing a large role, a statistical study of about 110 CME-238 driven storms, subdivided into those with and without dropouts, showed that three quartiles of 239 240 non-dropout storms correspond to the Dst signature above \sim -80 nT compared to \sim -125 nT for storms with dropouts. While Morley et al., (2010) have shown that one can still get dropouts of 241 electrons for small Dst signatures (Dst \sim - min > - 50), this case study reveals that under certain 242 243 conditions there may not be dropouts even for large storms.

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- 2.4. Magnetopause shadowing versus precipitation loss 245
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As described in the Introduction, radiation belt loss is primarily the result of two distinct 247 mechanisms, magnetopause shadowing and precipitation into Earth's atmosphere. MeV electron 248 dropouts, particularly towards the inner edge of the outer radiation belt, are not necessarily 249 driven by magnetopause shadowing and can also be caused by precipitation induced by wave-250 particle interactions. The absence of magnetopause loss is thus a necessary but not sufficient 251 condition for geomagnetic storms to result in non-dropouts, as wave-driven precipitation can also 252 contribute to these dynamics. Section 2.3 discussed the role of solar wind driving and losses to 253 the magnetopause, but solar wind conditions may also result in different magnetospheric 254 conditions (and thus different wave environments and precipitation conditions) during these 255 three storms. For example, high amplitude EMIC waves as well as dusk-sector precipitation 256 have been shown to be enhanced during periods of high B_s (Clausen et al. 2011, Jun et al. 2019). 257

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In order to explore the contribution of precipitation loss during these three storms, we utilize 259 measurements from the low altitude Polar-orbiting Operational Environmental Satellites (POES). 260 Figure 4 shows trapped and precipitating electron fluxes as measured by POES Medium Energy 261 Proton and Electron Detector (MEPED) in the Space Environment Monitor-2 (SEM-2) 262 instruments (Evans and Greer, 2004) during the period of interest in 2012. Top row presents 263 electron measurements from the 90deg telescope, which points roughly perpendicular to the local 264 magnetic field, at three different energies, >100 keV, >300 keV, and >~700 keV (as measured by 265 the >6.9 MeV proton channel, P6, which responds primarily to high energy electrons when not 266 exposed to energetic protons such as those from solar energetic particle events or the inner 267 radiation belt; see Yando et al. 2011 for details). The middle panels show the same 268 measurements from the zenith-pointing 0deg telescope, primarily responding to locally 269 precipitating particles. The 90deg telescope primarily measures trapped and drift loss cone 270 electrons, and thus typically shows dynamics similar to that of the trapped outer radiation belt 271 (e.g. Claudepierre and O'Brien, 2020). While, with its 30deg field of view, the 0deg telescope 272 does not encompass the entire loss cone at high latitudes and thus can underestimate precipitation 273 loss during some periods, it provides a good indication of precipitation during periods of strong 274 diffusion when the loss cone is close to full (e.g. Rodger et al. 2010, 2013). The bottom row 275 shows the ratio of the 0 and 90deg telescopes, $j_{precip}/j_{trapped}$, to provide a rough estimate of the 276 fraction of trapped electrons near the loss cone that precipitate into the atmosphere. When this 277 ratio approaches 1, fluxes are more isotropic, suggesting strong scattering into the loss cone. 278 One should note however that this parameter also approaches 1 when fluxes in both telescopes 279 are close to background levels (e.g. much of P6, see panel k). Lastly, the rightmost column of 280 Figure 4 shows slices of these three values (90deg telescope, 0deg telescope, and 0/90deg ratio) 281 taken at L=4, in the heart of the outer radiation belt, for the three energies, >100keV (green), 282 >300keV (blue), and $>\sim700$ keV (red). 283

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As seen in Figure 4, ~MeV electron precipitation into the atmosphere during all three of these 285 storms is generally low, below the detection threshold of the POES P6 channel, particularly 286 during the main phase dropout periods under investigation in this study. Some MeV electron 287 precipitation can be observed at L=4 during storm 3 (see panels h and l), but little to none was 288 measured during the main phase of storms 1 and 2. If we look at the >300 keV electron channel, 289 we find a similar pattern, where storm 3 actually has an equal amount or more precipitation 290 occurring across the outer radiation belt during the storm main phase, as compared to the 291 292 radiation belt dropout periods of storms 1 and 2. This suggests that, while precipitation losses likely contribute somewhat to the net main phase dynamics of these three storms, a reduced level 293

of magnetopause losses are likely the driver of the lack of a dropout during storm 3 as compared to 1 and 2.

3. Summary and Conclusion

In this paper, we compared 3 different geomagnetic storms (designated as storms 1, 2, and 3) observed by Van Allen Probes within the first 50 days in orbit. While the ECT-REPT and MagEIS instruments of the probes show full depletion (at L = 3 - 6) of electrons at 4.5 MeV for storms 1 and 2, there was little or no depletion of MeV electrons at the main phase of storm 3.

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These 3 storms are all CME-driven, with DST_min \sim -138, -100, and -106 nT, respectively. While storms 1 and 2 were accompanied by fast-forward IP shocks, there was no shock associated with storm 3. The solar wind dynamic pressure, which is proportional to the proton density and solar wind speed square, is an important quantity in estimating the strength of the impact of an IP shock on the magnetosphere and is seen as a good indicator or predictor of geomagnetic activity (Srivastava and Venkatarishnan 2002).

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309 During this study period, the shocks produced by the CMEs led to an increase in the density, dynamic pressure, and IMF B_z for storms 1 and 2, compressing the magnetopause and 310 contributing to electron loss across the entire outer belt. However, although the intensity of storm 311 3 was significant (about -106 nT), there was actually a decrease in the pressure and large 312 outward motion of the magnetopause during the onset of this storm, compared to the previous 313 two storms. Little or no electron loss was observed at $L^* \sim 3.5$ to 4.5, partly because there was no 314 shock associated with the corresponding CME, to increase the ram pressure, compress the 315 magnetopause and facilitate electron loss through magnetopause shadowing. 316

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This case study has shown that under the right solar wind conditions, particularly low solar wind dynamic pressure, even large geomagnetic storms may not result in MeV electron dropouts. It

underlines the importance of shocks associated with CME compared to CMEs without shocks in

driving rapid radiation belt losses. Future work will expand this study to investigate statistically

how typical, or rare, non-dropouts are in association with large geomagnetic storms, particularly

in response to CMEs without shocks.

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- 331 <u>https://omniweb.gsfc.nasa.gov/ow_min.html</u>. The authors are thankful to these teams for making
- their data available to the public. POES data are available here:
- 333 <u>https://www.ngdc.noaa.gov/stp/satellite/poes/dataaccess.html</u>.

Figure Captions:

Figure 1. Overview of the electron flux in the outer radiation belt observed by Van Allen Probes
 between September 25th to October 25th, 2012.

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Figure 2. The electron PSD at $\mu = 1585 \text{ MeV/G}$, $K = 0.115 \text{ G}^{1/2} R_E$ (left), and $\mu = 2290 \text{ MeV/G}$, $K = 0.115 \text{ G}^{1/2} R_E$ (right), respectively for the geomagnetic storm between September 25th to October 25th, 2012. Panels (b) to (f) show the slices through the electron PSD at various L* values. The red circles denote dropout events, as defined by Xiang et al. (2018) study, with the number showing the magnitude of the dropouts.

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Figure 3. Solar wind parameters for the geomagnetic storms were observed between September 25th to October 25th, 2012. (a) and (b) are the Bz and IMF in GSM coordinate, (c) total solar wind speed, (d) density, (e) solar wind dynamic pressure, (f) modeled subsolar magnetopause position (black) as well as the shifted magnetopause position, MP-2 (blue), (g) AE index showing substorm activities and (h) SYM-H index. The black vertical lines show the arrival of the IP shock as measured by the WIND spacecraft, while the onset and end of the main phases of the storms are denoted by the vertical red and blue dashed lines, respectively.

Figure 4. *POES particle measurements at low Earth orbit from September 25th to October 25th,*

354 2012. Top row shows particles measured in the 90 deg telescope, corresponding primarily to

trapped and drift loss cone electrons, while the middle panel shows particles measured by the 0

deg telescope in the bounce loss cone. Bottom row shows the ratio of 0 to 90deg telescopes, or

 $j_{precip}/j_{trapped}$. Right-most column shows slices of these values taken at L=4. Timing of storms 1, 2, and 3 are labeled on the bottom left panel.

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Figure 1.



Figure 2.



Figure 3.



Figure 4.









1



POES SEM-2 >300keV Precip

(f)

L-shell

25Sep





POES SEM-2 >6900keV Proton Trapped





L=4

L=4





0.2

25Oct

(d)

6.5

>100keV >300keV P6



POES SEM-2 >300keV Precip/Trapped

15Oct

25Oct

(k) ⁸

shel

25Sep

05Oct

15Oct

05Oct

