Confirmation of EMIC wave driven relativistic electron precipitation

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Abstract. Electromagnetic Ion Cyclotron Waves (EMIC) waves are be-3 lieved to be an important source of pitch-angle scattering driven relativis-4 tic electron loss from the radiation belts. To date, investigations of this pre-5 cipitation have been largely theoretical in nature, limited to calculations of 6 precipitation characteristics based on wave observations and small-scale stud-7 ies. Large-scale investigation of EMIC wave-driven electron precipitation has 8 been hindered by a lack of combined wave and precipitation measurements. q Analysis of electron flux data from the POES (Polar Orbiting Environmen-10 tal Satellites) spacecraft has been suggested as a means of investigating EMIC 11 wave-driven electron precipitation characteristics, using a precipitation sig-12 nature particular to EMIC waves. Until now the lack of supporting wave mea-13 surements for these POES-detected precipitation events has resulted in un-14 certainty regarding the driver of the precipitation. In this paper we complete 15 a statistical study comparing POES precipitation measurements with wave 16 data from several ground-based search coil magnetometers; we further present 17 a case study examining the global nature of this precipitation. We show that 18 a significant proportion of the precipitation events correspond with EMIC 19 wave detections on the ground; for precipitation events that occur directly 20 over the magnetometers, this detection rate can be as high as 90%. Our re-21 sults demonstrate that the precipitation region is often stationary in MLT, 22 narrow in L, and close to the expected plasmapause position. Predominantly 23 the precipitation is associated with helium-band rising tone Pc1 waves on 24

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- $_{\rm 25}~$ the ground. The success of this study proves the viability of POES precip-
- ²⁶ itation data for investigating EMIC wave-driven electron precipitation.

1. Introduction

Electron fluxes within the radiation belts are ever-changing, reflecting the constant com-27 petition between acceleration, loss, and transport processes. Investigating the intricacies 28 involved in each of these processes is essential to fully understanding the radiation belt 29 environment, and how particle fluxes develop during times of increased radiation belt 30 activity. In recent years there has been an increased scientific interest in electron losses 31 from the radiation belts and the role these losses play in radiation belt dynamics [Friedel 32 et al., 2002; Millan and Thorne, 2007]. Some of the most important drivers of radiation 33 belt dynamics are wave-particle interactions, which play a role in acceleration, loss, and 34 transport processes [e.g. Thorne, 2010, and sources within]. Identifying and quantify-35 ing the effects of each of these wave-particle interactions will provide a more complete 36 understanding of the evolution of the radiation belts during and following geomagnetic 37 storms. Electromagnetic ion-cyclotron (EMIC) waves have been identified as a potential 38 driver of significant particle loss [Thorne and Kennel, 1971] and an understanding of the 39 characteristics of EMIC wave-particle interactions with high energy electrons is the focus 40 of this study. 41

EMIC waves are Pc1-Pc2 (0.1-5 Hz) waves that are generated near the magnetic equator by anisotropic ring current protons [*Jordanova et al.*, 2008], produced with increased frequency during and following geomagnetic storms and substorms [*Fraser et al.*, 2010], as well as in association with magnetic compressions [*Clausen et al.*, 2011; *Usanova et al.*, 2012]. These waves are generated in one of three distinct frequency bands, i.e., below the hydrogen, helium, and oxygen ion gyrofrequencies respectively. EMIC waves have been

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observed across a wide range of L-shells [e.g. Meredith et al., 2014; Usanova et al., 2012], 48 with some studies suggesting preferential generation at high L-shells [e.g. Min et al., 2012; 49 Usanova et al., 2012, while others suggest that generation occurs more favorably near the 50 plasmapause [e.g. Horne and Thorne, 1993; Pickett et al., 2010]. Most studies of EMIC 51 occurrence suggest that wave generation is focused primarily in the noon to dusk sector 52 with limited numbers of events occurring elsewhere [e.g. Anderson et al., 1992; Halford 53 et al., 2010; Clausen et al., 2011; Usanova et al., 2012]. Plasmaspheric plumes, located 54 in the afternoon sector, have been reported as having EMIC occurrence rates ~ 20 times 55 higher than non-plume regions [Usanova et al., 2013]. However, recent studies using the 56 Van Allen probes have suggested that the distribution of low-L EMIC events (L < 5) and 57 He⁺ band EMIC events may be more uniformly distributed in MLT space [Saikin et al., 58 2015]. 59

EMIC waves have long been known as a source of particle loss from the radiation belts, through cyclotron interactions with protons [e.g. *Lyons and Thorne*, 1972] and relativistic electrons [e.g. *Thorne and Kennel*, 1971], scattering the particles into the loss cone. EMICdriven precipitation has recently come under scrutiny as a potential source of significant electron losses from the radiation belts, though there is still debate regarding the energy ranges and magnitudes of these losses.

The main limitation on EMIC-driven precipitation studies undertaken to date is the difficulty involved in obtaining simultaneous wave and electron precipitation measurements. Determining precipitation characteristics from satellite data has typically involved either theoretical calculations based on wave data [e.g. *Meredith et al.*, 2003], or on very small numbers of event-based coincident conjugate observations between satellites or ground-

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⁷¹ based stations [e.g. *Miyoshi et al.*, 2008; *Clilverd et al.*, 2015]. Ground-based observations
⁷² benefit from near-constant measurements of wave data from multiple magnetometer chains
⁷³ world-wide, however observations of precipitation, if they exist at all, are typically lim⁷⁴ ited to model-derived values based on ionization of the upper atmosphere, which makes
⁷⁵ large-scale analysis difficult.

To date there exist only limited observational studies investigating EMIC-driven elec-76 tron precipitation. Wave data from the CRRES satellite has been used in several large-77 scale studies to calculate the theoretical electron precipitation energies, though these 78 studies lack any actual precipitation measurements [e.g. Meredith et al., 2003; Ukhorskiy 79 et al., 2010; Chen et al., 2011; Kersten et al., 2014]. A number of case-studies have been 80 published using direct observations of electron precipitation, though without correspond-81 ing wave measurements [e.g. Bortnik et al., 2006; Millan et al., 2002, 2007]. More recently, 82 an increase in the number of ground-based stations capable of detecting EMIC waves as 83 well as the launch of the Van Allen Probes has seen a number of case-studies published 84 combining both wave and electron precipitation measurements [e.g. Miyoshi et al., 2008; 85 Rodger et al., 2008; Li et al., 2014; Clilverd et al., 2015; Usanova et al., 2014]. Due to the experimental limitations of these studies, however, it is difficult to draw wholesale 87 conclusions on EMIC-wave precipitation characteristics. 88

A study by *Carson et al.* [2013] sought to overcome the limitations based on the lack of EMIC precipitation data by creating a database of EMIC wave events based on observations of precipitation itself. The key to this database was an EMIC-driven precipitation signature identified in POES MEPED data (data described in detail in Section 2.1) by *Sandanger et al.* [2007, 2009]. Based on the fact that EMIC waves are potentially able to

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scatter both energetic protons and relativistic electrons into the loss cone, it was suggested that the presence of short-lived precipitation spikes in the POES 30 - 80 keV proton and 800 keV electron loss cone data should be indicative of EMIC-wave activity capable of influencing the radiation belts.

Carson et al. [2013] examined twelve years of POES MEPED data (1998–2012) from 98 the six POES spacecraft available at the time (NOAA-15 through -19 and METOP-99 02). These authors developed an algorithm for finding the precipitation events with the 100 expected EMIC signature, following on from the Sandanger et al. [2007, 2009] reports. 101 Carson et al. [2013] found 2331 wave-driven precipitation events. In the current study we 102 have extended the observational period of the database to the end of 2014 and included 103 data from the METOP-01 satellite, which was launched in 2012. The updated database 104 now contains 3337 POES-detected prospective EMIC-driven precipitation events. 105

It is important to note that this database is not intended to be an exhaustive survey 106 of POES-observed EMIC precipitation events, as there are several aspects that limit the 107 effectiveness of the detection algorithm. The main limiting factor is the checks put in place 108 to prevent false-positive detections - for this algorithm, a high specificity was favored over 109 a high sensitivity. As a result, there are many potential events which are ignored due 110 to being too close to the instrument noise floor, having excessive background flux, or 111 other such problems. It is often possible to identify by eye events that were missed by 112 the Carson et al. [2013] detection algorithm, but this process is obviously far too labor-113 intensive to consider for the POES dataset in its entirety. This database also does not 114 consider the possibility of EMIC-driven electron precipitation that occurs entirely below 115 800 keV. Any such events could potentially be detected using the MEPED > 300 keV116

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electron detector, however contamination issues (described in Section 2.1) significantly complicate this approach.

One of the main issues with using POES electron precipitation observations as a proxy 119 for EMIC wave detection [Sandanger et al., 2007, 2009; Carson et al., 2013; Wang et al., 120 2014] is the lack of any supporting wave measurements. The POES satellites do not 121 carry any instruments capable of directly detecting EMIC wave activity, which makes it 122 impossible to state conclusively that the observed precipitation is actually due to EMIC 123 waves rather than some other driver. The ability to detect EMIC waves does exist on 124 other satellites, for instance the Van Allen probes, however conjunctions between these 125 satellites and the POES satellites are typically very rare. This makes it very difficult 126 to investigate the validity of the EMIC-precipitation database as a whole in situ. One 127 recently reported example of such a conjunction supports the contention that the POES 128 precipitation events reported by the *Carson et al.* [2013] algorithm are indeed produced by 129 EMIC waves [Rodger et al., 2015]. In that study a POES-reported precipitation trigger 130 occurred within seconds of RBSP-A observing the start of an EMIC wave event, with 131 POES located very near the base of the field line which passed through the Van Allen 132 Probe. 133

Previous studies have shown that it is possible to observe EMIC waves and their resulting precipitation from the ground [e.g. *Rodger et al.*, 2008; *Clilverd et al.*, 2015]. As an initial step in this study, we will imitate this analysis for a 10 hour period of EMIC activity that corresponds to a POES-detected EMIC event in the updated *Carson et al.* [2013] database. We show that, for this event, there is a clear link between the POESobserved particle precipitation and EMIC waves observed on the ground. We then apply

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a similar analysis to the updated *Carson et al.* [2013] database as a whole, finding that a
significant portion of the database events correspond with ground-based observations of
EMIC waves. Finally, we provide observations from several additional magnetometers to
emphasize the link between the POES-observed precipitation and the ground-based wave
observations. These results all provide significant confidence that the POES detected
precipitation events are driven by EMIC waves.

2. Instrument Description

In this study we have made use of a number of ground- and satellite-based instruments to understand the link between EMIC-waves and the resulting electron precipitation. These are outlined below.

2.1. POES MEPED Instrument

We use data from the Polar Operational Environmental Satellite (POES) constellation, 149 a set of meteorological satellites in polar orbit at an altitude of $\sim 800-850\,\mathrm{km}$ around the 150 Earth. Specifically, we use the Medium Energy Proton and Electron Detector (MEPED) 151 instrument from the 2nd generation Space Environment Monitor (SEM-2) instrument 152 suite. The MEPED instrument measures radiation belt electron and proton fluxes by 153 way of four directional telescopes, two for electrons and two for protons. These telescopes 154 are aligned orthogonally, such that one of each of the electron and proton telescope pairs 155 points radially outwards along the Earth-to-satellite vector (the 0° detectors), while the 156 other two telescopes point perpendicularly to these, anti-parallel to the velocity vector 157 of the satellite (the 90° detectors). These two channels approximately measure loss-cone 158

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¹⁶⁰ near the South Atlantic Magnetic Anomaly [*Rodger et al.*, 2010a, b].

Each of the directional electron telescopes measures electron flux across three different energy ranges: > 30 keV, > 100 keV, and > 300 keV. These energy channels are referred to as the E1, E2, and E3 channels respectively. The proton telescopes are similarly split into 6 different energy channels: 30-80 keV, 80-250 keV, 250-800 keV, 800-2500 keV, 2500-6900 keV, and > 6900 keV. These channels are numbered P1 through P6 respectively.

Both the electron and the proton telescopes contain shielding to prevent cross-166 contamination from occurring. In practice, however, some cross-contamination still oc-167 curs. In other words, electrons above a certain energy are able to penetrate the proton 168 detector shielding, and vice versa. The exact energies at which contamination begins is 169 not fixed, as it largely depends on the intensity of the incident flux. Roughly, the proton 170 channels start being contaminated by electrons with energies above $\sim 500 \text{ keV}$, while 171 the electron channels are contaminated by protons with energies above $\sim 100 \text{ keV}$. This 172 contamination is particularly noticeable in the P6 proton detector, which was intended 173 to measure high-energy protons. In the absence of high-energy protons, the P6 detector 174 responds very strongly to relativistic electrons, allowing it to act as a fourth electron de-175 tector. A detailed description of the POES satellites and their instruments can be found in 176 Evans and Greer [2000]. A full quantitative analysis of the POES MEPED contamination 177 can be found in Yando et al. [2011]. 178

Each of the POES satellites has two preferred MLT regions, where they spend the majority of their time in orbit; this is a direct consequence of the Sun-synchronous polar orbit that each satellite is in. The MLT range sampled by each of the POES satellites

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is shown in Figure 1, as well as the combined MLT sampling for the combination of all 182 of the satellites. During their operational lifetimes, many of the POES spacecraft have 183 experienced some level of MLT drift [Sandanger et al., 2015], which is seen as a slight 184 "smearing" of the data in Figure 1. This is particularly noticeable in the NOAA-16 185 satellite, which experienced severe drift in the later years of its operational lifetime. The 186 MLT range of the combined POES satellite constellation (lower right corner of Figure 1) 187 shows significant coverage with measurements made over almost all L-shells and MLT, 188 and only slightly reduced coverage for low L-shells (i.e. L < 2) at 12 MLT. This broad 189 coverage allows us to sample the entire MLT range in which precipitation might occur, 190 making the POES satellites ideal for investigating particle fluxes within the radiation 191 belts. 192

2.2. Ground-based Magnetometers

In addition to the POES satellite data, we also use data from several ground-based 193 search-coil magnetometers (SCM). Data is available from magnetometers operated by 194 different institutions in various locations around the world that give broad coverage of the 195 Pc1-Pc2 frequency range. In this study, we focus primarily on data from the Halley SCM, 196 located at the British Antarctic Survey Halley station in Antarctica (75.6° S, 26.2° W; 197 L = 4.7). In addition to this, we also use data from a north-south chain of SCMs operated 198 by the Sodankylä Geophysical Observatory (SGO) in Finland, the CARISMA chain of 199 SCMs in Canada [Mann et al., 2008], and a magnetometer in Athabasca, Canada, run by 200 the Institute of Space-Earth Environmental Research at Nagoya University, Japan. 201

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2.3. AARDDVARK

The Antarctic-Arctic Radiation-belt (Dynamic) Deposition - VLF Atmospheric Re-202 search Konsortium (AARDDVARK) is a global network of Very Low Frequency (VLF) 203 wave receivers that continuously monitor high-power, fixed-frequency VLF transmitters 204 [Clilverd et al., 2009]. The amplitude and phase of the VLF signal from these transmit-205 ters is highly sensitive to perturbations in the conductivity at the lower boundary of the 206 ionosphere, which alter the Earth-Ionosphere waveguide through which the VLF waves 207 propagate (70–85 km). A major source of these ionospheric perturbations is electron 208 precipitation; identifying and modeling these changes to the received signal make it pos-209 sible to identify and quantify the source of the precipitation [Rodger et al., 2012]. In this 210 study we use data from two AARDDVARK stations: Halley, Antarctica, and Edmonton, 211 Canada (53.4° N, 113.0° W; L = 4.2). 212

2.4. Riometers

Finally, we also use data from the 30 MHz riometer located at Halley, Antarctica, and 213 from the SGO chain of riometers in Finland. Riometers observe the relative opacity of the 214 ionosphere by monitoring galactic radio noise passing through the ionosphere. Riometers 215 are sensitive to changes in the ionization of the ionosphere, for instance due to electron 216 precipitation. Increased ionization will increase the absorption of the incident radio noise, 217 which can be modeled to quantify the precipitation source [Little and Leinbach, 1959]. 218 Unlike the AARDDVARK network, which is sensitive to ionospheric changes over a long 219 path between transmitter and receiver, riometers are sensitive to ionospheric changes 220 in a relatively small region overhead. This makes riometers useful for detecting local 221 precipitation regions. 222

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3. Case Study - 13/14 August 2013

On 13 August 2013 at 18:01:12 UT, the Carson et al. [2013] algorithm detected con-223 current spikes in the POES METOP-02 P1 and P6 loss cone data consistent with EMIC 224 wave driven precipitation. Closer examination of the data from each satellite showed 225 11 additional precipitation spikes consistent with EMIC-driven precipitation that did not 226 produce triggers in the detection algorithm, primarily due to large amounts of background 227 noise in the MEPED P1 channel. These detections occurred between 17:00–03:00 UT and 228 spanned roughly a 150° longitudinal region. The L-shell of each event was confined to 229 4 < L < 5, i.e., close to the typical L-shell of the plasmapause under non-disturbed geo-230 magnetic conditions. The geographic location of each of these 12 detections, traced down 231 the IGRF field line to an altitude of 110 km, is shown on the world map in Figure 2 as 232 a green diamond. The IGRF conjugate locations of each event are shown as an hollow 233 diamond. A full list of these detections and their locations is given in Table 1(a). 234

When the geographic longitudes of the POES-detected precipitation spikes are plotted 235 against the time of their detection, as shown in Figure 3(a), there is a clear essentially 236 constant longitudinal drift with respect to UT. The red line fitted to the points in Fig-237 ure 3(a) represents the best fit for the drift rate of the precipitation source, with a slope 238 of $(15.0 \pm 0.6)^{\circ}$ /hr. The locations of each of the POES-observed precipitation spikes in 239 MLT are shown in Figure 3(b). The red line represents the best fit for the MLT drift 240 rate of the precipitation source, with a slope of (0.06 ± 0.07) MLT/hr. The best fit is 241 not significantly different from a slope of zero, indicating a source region that is static in 242 MLT. These observations are consistent with a long-lived region of electron precipitation 243

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²⁴⁴ at a constant $\sim 20 - 21$ MLT, located close to the plasmapause, and with the expected ²⁴⁵ characteristics of EMIC-driven precipitation.

Around the time that the precipitation spikes were observed in POES, clear EMIC wave signatures were observed in each of the Rovaniemi (66.8° N, 25.9° E; L = 5.1), Halley, and Pinawa (50.2° N, 96.0° W; L = 4.0) magnetometers. The locations of these magnetometers are shown as yellow stars in Figure 2, and a full list of these detections is given in Table 1(b). Several additional magnetometers from the SGO and CARISMA magnetometer chains also observed EMIC waves during the event, though with weaker power spectral density signatures.

The wave data from each of the three magnetometers named above is shown in Figure 4. 253 Each of the three stations shows a clear rising-tone EMIC wave, termed an IPDP (intervals 254 of pulsations of diminishing periods) EMIC wave [Troitskaya, 1961], during the event 255 period. Overlaid on the data from each magnetometer is a solid line, indicating the time 256 in UT when the station is located at 20.5 MLT, as well as two dotted lines on either side 257 of the solid line, indicating 1 hr MLT either side. In each station, the observed wave 258 occurs close to this MLT region, showing a clear relation between the POES observed 259 precipitation and the SCM observed waves. Data from the SCM located at Athabasca, 260 Canada, situated about 20° west of Pinawa, was also checked for EMIC wave activity, 261 however none was observed within the specified MLT region. This is consistent with the 262 POES-defined precipitation region, which extends no further westward than the Pinawa 263 magnetometer, as seen in Figure 1. 264

These wave observations suggest that IPDP are being repeatedly triggered in a single MLT region at different UT, which are then seen by each station as they arrive at that

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²⁶⁷ MLT. This clearly ties the observed IPDP with the POES observed electron precipitation ²⁶⁸ regions, also seen within a single MLT region.

At the same time as their respective SCM instruments observed EMIC waves, such as 269 that shown for Halley in Figure 5(a), riometers at both the Royaniemi and Halley stations 270 showed sudden increases in absorption of 1.1 dB and 0.4 dB respectively, indicative of 271 energetic electron precipitation into the ionosphere above the instruments. Given the 272 close temporal and spatial proximity of the wave and precipitation observations at each 273 station, it follows that the precipitation observed in the riometers is most likely due 274 to EMIC wave driven electron scattering. The absorption data for the Halley riometer is 275 shown in Figure 5(b). The locations of these riometers are shown as red circles in Figure 2, 276 and a full list of the riometer detections is given in Table 1(c). 277

Concurrently with the riometer and SCM observations, the Halley AARDDVARK VLF 278 receiver monitoring the Hawaii-based VLF transmitter (21.420° N, 158.2° W, 21.4 kHz, 279 callsign NPM) observed a sudden decrease in the received amplitude of the VLF wave 280 of about 8.2 dB. The amplitude data for the NPM VLF transmitter as seen from Halley 281 is shown in Figure 5(c) (blue line), with an approximate quiet day curve shown by the 282 red dashed line. Such a change in the VLF signal is indicative of electron precipitation 283 somewhere along the transmitter-receiver path; the relatively small time difference be-284 tween the Halley riometer and AARDDVARK observed precipitation suggests that the 285 precipitation occurred relatively close to Halley, but along the VLF path to the west of 286 the station. 287

As well as the Halley VLF receiver, the AARDDVARK VLF receiver located in Edmonton, Canada, also observed a sudden decrease of about 6.4 dB in received amplitude

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of the VLF signal from the Maine, USA, based transmitter (44.6° N, 67.3° W, 24.0 kHz, 290 callsign NAA). The timing of this drop in amplitude is consistent with the POES-observed 291 precipitation observed near the Pinawa magnetometer. The timing also agrees with the 292 EMIC wave observed at Pinawa, which suggests that the precipitation observed along the 293 Edmonton-NAA path likely occurred close to the Pinawa station. It is very likely that 294 the precipitation observed by both the Halley and the Edmonton VLF receivers was due 295 to electrons scattered by the EMIC waves detected by the nearby magnetometers. The 296 locations of these VLF transmitters and receivers are shown as dark blue and light blue 297 squares respectively in Figure 2, with red lines indicating the great circle path between 298 them. A full description of both VLF detections is given in Table 1(d). 299

4. Database Analysis

The Carson et al. [2013] database provides a useful source of potential EMIC-driven 300 precipitation events for study, however there remains a lingering question as to whether 301 the majority of the events are caused by EMIC waves. Case studies such as that in the 302 previous section show that at least some of the events in the database do correspond to 303 actual EMIC wave events, but they say nothing of the credibility of the database as a 304 whole. In this section we present the results of a comparison between the updated *Carson* 305 et al. [2013] database and data from the Halley search-coil magnetometer, showing that a 306 significant proportion of the POES-detected precipitation events correspond with actual 307 EMIC wave observations on the ground. 308

4.1. SCM Wave Observations

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The updated Carson et al. [2013] event database consists of 3337 precipitation events 309 detected between 1998 and 2014 inclusive. The Halley search-coil magnetometer first 310 started recording Pc 1–2 wave data in 2005, though since then it has had a few significant 311 lapses in coverage. The main such lapse occurred in 2014, when a major electrical outage 312 suspended all science operations at Hallev for four months. There are also several occasions 313 when data from the station exists, but is unusable due to calibration or other issues. In 314 total, usable Halley SCM data exists for 1915 of the 3337 POES-reported precipitation 315 events (57%). 316

We want to test whether EMIC wave activity at Halley coincides with the POES-317 observed precipitation triggers. The case study presented in Section 3 shows that there 318 is the potential for significant longitudinal separation between a magnetometer EMIC 319 signature and a POES precipitation trigger. Establishing the link between such widely 320 separated observations without any intermediate wave or trigger detections is difficult, 321 however. To avoid this issue, we restrict ourselves to only POES precipitation triggers 322 that occur within $\pm 15^{\circ}$ longitude of the Halley station, the equivalent of approximately 323 ± 1 hr MLT. Due to the aggressive removal of the SAMA region by Carson et al. [2013] 324 and the unfortunate location of the Halley station within this removed region, we can only 325 consider triggers in the northern hemisphere, around the (IGRF) magnetic conjugate point 326 of the Halley station (56.6°N, 304.4°E). We only include EMIC wave signatures that occur 327 within one POES half-orbit of the POES trigger (roughly ± 1 h in time). 328

³²⁹ Of the 1915 POES triggers for which there exists usable Halley SCM data, 998 of ³³⁰ these occur in the northern hemisphere, of which 131 occur within $\pm 15^{\circ}$ longitude of the ³³¹ Halley magnetic conjugate point. The *Carson et al.* [2013] algorithm does not filter for

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³³² multiple detections of the same precipitation event across the different POES satellites, ³³³ so it is possible for a single electron precipitation event to be represented multiple times ³³⁴ in the precipitation trigger database. Of the 131 near-Halley triggers, there were 125 ³³⁵ unique precipitation events. For each of these 125 unique events, we examined the Halley ³³⁶ SCM data for evidence of EMIC-wave activity around the time of the POES-detected ³³⁷ precipitation.

Investigation of the Halley SCM data was carried out manually. For each event, the 338 SCM data was examined for evidence of EMIC wave activity; namely, distinct bursts 339 of wave power in the Pc1-Pc2 frequency range. Instances of wave power across a wide 340 range of frequencies with no observable lower limit within the resolution of the instrument 341 were dismissed as broadband noise, and were not counted as EMIC waves. EMIC waves 342 that exhibited a clear rising-tone structure (i.e. increasing in frequency with time) were 343 counted as IPDP-type EMIC waves. In total, 81 of the 125 unique precipitation events 344 (64.8%) coincided with an EMIC wave observed in the Halley SCM. Around 63% of these 345 waves were rising-tone IPDP waves.

4.2. Detection Algorithm Effectiveness

In order to determine the ability of the *Carson et al.* [2013] algorithm to detect EMICwave driven precipitation, it is necessary to establish how often the POES-observed precipitation spikes coincide with ground-based SCM detections of EMIC waves. The above analysis based on waves observed at Halley suggests that at least 60% of the POES precipitation triggers detected by the algorithm correspond with waves on the ground. However this still leaves the matter of the remaining 40% of events. Determining whether these "non-detections" are simply cases where the waves did not reach the Halley magnetome-

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ter or are false detections by the algorithm is important to confirming the validity of the database as a whole.

In our longitudinally restricted comparison of the POES and Halley datasets, we did not 356 take into account the latitudinal separation of the POES satellite from Halley at the time 357 of the POES trigger. Ducting within the Earth-ionosphere waveguide means that EMIC 358 waves that reach the ground can be detected over a range of L-shells, though the extent of 359 this ducting is complicated. Unsurprisingly, POES triggers that have a greater latitudinal 360 separation from Halley are less likely to have associated EMIC wave observations from 361 the Halley station SCM. If we restrict our analysis to events that occur within $\Delta L < 1$ 362 of the Halley magnetometer, the number of successful detections becomes 65 out of 77 363 events (84.4%); at $\Delta L < 0.5$ it becomes 37 out of 41 events (90.2%). This study confirms 364 that a very high proportion of the POES triggers are associated with EMIC waves when 365 the satellites are directly overhead of the Halley conjugate point. 366

The non-detection of EMIC waves from ground-based instruments, when they are known 367 to be occurring from simultaneous space-based observations, has been reported previously. 368 This may be due to ionospheric attenuation, or absorption/reflection of the incident waves 369 [Engebretson et al., 2008]. Therefore, the absence of ground-based wave observations for 370 the POES precipitation triggers does not necessarily indicate a false detection in the 371 trigger data. Without further data though, for instance in-situ wave observations, it is 372 not possible to determine whether the EMIC waves do not exist, that is to say the POES 373 trigger is a false detection, or if the EMIC waves are simply not reaching the ground. 374

4.3. Broader Database Analysis

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EMIC source regions are often long-lived, as the case study presented earlier in this study 375 shows. These long-lived source regions manifest in the data as multiple closely spaced 376 POES precipitation triggers and SCM wave observations. In our example, the EMIC 377 source region was constant in MLT, which resulted in a constant westward longitudinal 378 drift of the EMIC source region footprint at a rate of $\sim 15^{\circ}/h$. Other previously published 379 case studies have shown EMIC source regions that drift more rapidly in MLT, for instance 380 Clilverd et al. [2015] presented an example of an EMIC source region that crossed ~ 8.5 h 381 of MLT in about 1.5 h (equivalent to a $\sim 85^{\circ}$ /h westward longitudinal drift rate). 382

In the previous sections, we considered POES that occurred within $\pm 15^{\circ}$ longitude 383 of Halley, to maintain a strong causal link between the triggers and any observed EMIC 384 waves in the Halley SCM data. We examined SCM data within ± 1 h of the POES trigger, 385 which corresponds roughly to the period of a single POES half-orbit. If we maintain this 386 time restriction but allow a source region that drifts in MLT, we can consider POES 387 triggers that occurred further away in longitude from Halley. Using the drift rates seen in 388 the *Clilverd et al.* [2015] case study as an upper limit on source region drift rates, we are 389 able to consider POES triggers that occurred up to $\pm 90^{\circ}$ in longitude away from Halley. 390 EMIC waves seen at Halley within ± 1 h of these triggers can still conceivably be causally 391 linked to the POES triggers, though obviously the link becomes more tenuous at greater 392 longitudinal separation from Halley. 393

³⁹⁴ Using this new longitude range we have a further 408 POES triggers, in addition to ³⁹⁵ the 131 near-Halley triggers investigated previously. We restrict ourselves to Northern ³⁹⁶ Hemisphere triggers for consistency, and to avoid any potential issues due to the SAMA ³⁹⁷ region. For each of these new POES triggers we consider the Halley data, taking into

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account potential drift of the source region, again looking for EMIC waves that occur 398 within an hour of the POES trigger. Due to the westward drift of the EMIC source 399 regions, for events located eastward of Halley only SCM data recorded after the trigger 400 time was considered, while for events westward of Halley data recorded before the trigger 401 time was investigated. For each event, the longitudinal distance of the POES trigger from 402 Halley was used to calculate the time lag expected between the POES trigger and any 403 Halley EMIC observations - waves observed outside of this lag window were discounted. As 404 before, there are instances where multiple POES satellites detected a given precipitation 405 event. With these accounted for, there were 393 unique POES triggers observed. 406

In total, 167 of the 393 unique POES triggers coincided with EMIC wave observations at Halley. Unsurprisingly, the number of coincident observations between POES and Halley drops off as the longitudinal distance from Halley increases, reaching a success rate of around 25% at $\pm 90^{\circ}$ longitude from Halley. 43% of these EMIC waves observed at Halley were rising-tone IPDP waves.

The question remains how many of these successful observations might be coincidental 412 unrelated POES triggers and EMIC waves. To investigate the chances of a random trigger 413 unrelated to any real precipitation spikes coinciding with an EMIC wave at Halley we 414 generated a set of triggers independent of any POES precipitation triggers that mimicked 415 the longitude and MLT distributions of the real triggers. We repeated the process of 416 checking Halley for EMIC waves around these times. There is little variation in the success 417 rate based on the distance of the random events from Halley, with an average success rate 418 of $\sim 18\%$. A comparison of the database triggers compared to the non-precipitation 419

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triggers is shown in Figure 6. Only 23% of these random triggers corresponded with
IPDP-type EMIC waves.

There is little difference between the success rate of the randomly chosen triggers and the real triggers that occur at a distance of 75 – 90° longitude from Halley, suggesting that any true POES-Halley conjunctions observed at this large longitudinal separation from Halley cannot reliably be distinguished from random coincidental conjunctions. For POES triggers closer to Halley, there is a significantly increased chance above the background of observing a coincident EMIC wave at Halley.

4.4. Wave bands

To identify the significance of the EMIC-waves associated with the triggers produced 428 by the Carson et al. [2013] algorithm, it is important to know which ion band the waves 429 occur in. Previously published results have suggested that helium band EMIC waves are 430 more likely to drive the precipitation of < 2 MeV electrons than hydrogen band EMIC 431 [Meredith et al., 2014]. The band that each of the waves is categorized into will therefore 432 determine the relevance of the POES-detected EMIC activity to radiation belt dynamics. 433 The precipitation spikes in the POES data are very narrowly defined in IGRF L with 434 each event typically occurring across an L-shell range of around 0.3 L, consistent with 435 previously published case-studies [e.g. Mann et al., 2014]. We are therefore able to use 436 the L-shell location of the POES-observed precipitation spikes to calculate the ion gyrofre-437 quencies at the IGRF-determined geomagnetic equator for the POES trigger locations. 438 The IGRF magnetic field at the geomagnetic equator was calculated for each event using 439 the International Radiation Belt Environment Modeling library (IRBEM-LIB) [Boscher 440 et al., 2015]. By comparing the calculated gyrofrequencies to the frequency ranges of the 441

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⁴⁴² associated EMIC waves observed at Halley, we are able to determine the ion band of each⁴⁴³ wave.

Though the database is likely to include waves from each of the hydrogen, helium, and oxygen wave bands, we categorize the waves as being either hydrogen band, or helium/oxygen band. The helium and oxygen wave bands are separated by the oxygen gyrofrequency, however this separation is only with the presence of oxygen at the wave generation region. In the absence of oxygen density data, it is not possible to make the distinction between the two bands.

⁴⁵⁰ Of the 81 unique precipitation-causing EMIC waves observed at Halley linked to POES ⁴⁵¹ triggers, all but one occurred at frequencies below the POES-calculated helium gyrofre-⁴⁵² quency. Of the 167 coincident events in the broader analysis, 21 (\sim 13%) occurred within ⁴⁵³ the hydrogen band, while the rest occurred in the helium/oxygen bands.

The lack of any significant population of hydrogen band EMIC waves in those observed 454 at Halley contrasts with previously published studies on EMIC occurrence, which show 455 hydrogen band EMIC occurrence rates relative to other bands significantly greater than 456 we have observed [Saikin et al., 2015]. The absence of hydrogen band EMIC on the 457 ground has been noted previously; for instance in the case study published by Usanova 458 et al. [2008], hydrogen and helium band EMIC waves were observed simultaneously in 459 space via the THEMIS satellite, but only the lower-frequency helium band EMIC were 460 observed in ground-based magnetometer data. 461

5. Additional results

The conclusions from the Halley magnetometer represent only the EMIC wave behaviour at a single location, and do not discount the possibility of an isolated result. In

this section we briefly present the results of identical studies carried out at the Athabasca ground-based magnetometer, as well as several magnetometers from the CARISMA magnetometer chain, and show that the conclusions are largely the same, regardless of the magnetometer used. As with the Halley magnetometer data, all investigations of these addition magnetometers were carried out manually.

5.1. Athabasca magnetometer

We carried out the same investigation described in Section 4 on the data from the 469 Athabasca SCM, which provides ongoing measurements from 7 September 2005. As with 470 the Halley magnetometer, we at first restrict our analysis to POES triggers that occur 471 within $\pm 15^{\circ}$ longitude of the Athabasca SCM. The Athabasca magnetometer is far enough 472 west in longitude that the SAMA region is not an issue, so we also include events from the 473 southern hemisphere in our analysis, using the IGRF determined magnetic conjugate point 474 of Athabasca as the focal point. This filtering leaves us with 186 unique POES triggers, 107 475 of which (57.5%) occurred within ± 1 hr of EMIC waves observed at Athabasca. Further 476 restricting these events based on their L-shell separation from Athabasca increases the 477 success rate of the detection algorithm: 87/130 (66.9%) of the events occurred for $\Delta L < 1$, 478 while 54/67 (80.6%) of the events occurred for $\Delta L < 0.5$. Around 43% of the waves were 479 IPDP waves, though there significant difference between the hemispheres (53% IPDP for 480 triggers in the northern hemisphere vs 36% IPDP in the southern hemisphere). 481

We also extend the analysis to include the possibility of drifting EMIC source regions, as in Section 4.3, examining POES triggers that occur within $\pm 90^{\circ}$ of Athabasca, in both the northern and southern hemispheres. In this longitudinal range, we find 929 unique POES triggers. 280 (30.1%) of these triggers coincided with EMIC waves observed at the

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Athabasca SCM, roughly 37% of which were IPDP (though again, there is a significant 486 difference between the hemispheres, with 48% IPDP in the northern hemisphere vs 26%487 IPDP in the southern hemisphere). As with the Halley data, we calculate the chance 488 that a randomly chosen POES trigger will coincide with an EMIC wave observed in the 489 Athabasca SCM data, with a success rate of $\sim 8\%$ across all longitudinal ranges. At 490 distances of $75-90^{\circ}$ from Athabasca, the success rate of the true triggers approaches that 491 of the random triggers. The results of this analysis are shown in Figure 6(b). Only 21% 492 of these random triggers were IPDP-type EMIC waves. 493

Finally, we classify each of the observed EMIC waves as being either hydrogen band or helium/oxygen band EMIC. Of the 107 wave events with POES triggers < 15° latitude from Athabasca, only 8 (7%) occurred in the hydrogen wave band. Of the 280 events observed in the broader analysis, 64 (23%) occurred in the hydrogen wave band. Interestingly, the hydrogen band waves were observed disproportionately in the southern hemisphere: 30% of southern hemisphere events occurring in the hydrogen band, compared to only 16% of northern hemisphere events.

5.2. CARISMA magnetometer chain

The CARISMA chain of magnetometers allows us to investigate the POES triggers from multiple different latitudes along the same longitude, allowing us to determine latitudinal differences in EMIC detection. We use the magnetometers located at Fort Churchill (FCHU), Island Lake (ISLL), and Pinawa (PINA), each of which house both fluxgate and search-coil magnetometers; for this study we used both types of data.

As before, we investigated Northern hemisphere POES triggers that occurred within $\pm 15^{\circ}$ longitude of the magnetometers - the southern conjugate points of the CARISMA

magnetometers have significant overlap with the SAMA region defined by Carson et al. 508 [2013], so we do not consider the southern hemisphere for these magnetometers. For 509 the FCHU, ISLL, and PINA magnetometers respectively, we found 35/83 (42.2%), 49/89 510 (55.1%), and 40/85 (47.1%) unique wave/trigger conjugations, with 20%, 43%, and 63% 511 respectively being IPDP EMIC waves. Extending the investigation out to include drift-512 ing source regions, we found 115/454 (25.3%), 157/497 (31.6%), and 89/339 (26.3%) 513 wave/trigger conjugations, with 2%, 36%, and 29% respectively being IPDP EMIC waves. 514 In the case of FCHU, only two of the observed EMIC waves were IPDP, suggesting a def-515 inite bias against IPDP waves at this magnetometer. 516

The CARISMA magnetometers show similar wave band compositions to the Halley and Athabasca magnetometers. At FCHU, only 1 of the events within $\pm 15^{\circ}$ latitude fell into the hydrogen wave band; in the broader analysis, 9 of the events (8%) were hydrogen band. At ISLL, there were 3 (6%) hydrogen band waves within $\pm 15^{\circ}$ latitude and 16 (10%) in the broader analysis. At PINA, there were no hydrogen band waves within $\pm 15^{\circ}$ latitude and 13 (15%) in the broader analysis.

6. Spatial distribution of EMIC waves

As was mentioned in Section 1, there have been varied reports on the distribution of EMIC waves in MLT and L-space. Due to their fixed nature, using ground-based magnetometers to investigate the L-shell distribution of EMIC waves is difficult. Generally only waves that occur close to the magnetometers will be detected (where the exact definition of "close" depends on a number of factors, including the strength of the wave and the ionospheric conditions). No such difficulties exist with investigating MLT distributions, though, as any given magnetometer will sample all MLT sectors over the course of a day.

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Using the combined observations from Halley, Athabasca, and the CARISMA magne-530 tometers we find that EMIC waves that coincide with a POES trigger are present across 531 all MLT sectors. The waves show a clear preference for the afternoon and evening MLT 532 sectors, peaking between 21-22 MLT. There is also a significant population in the post-533 midnight to morning MLT sector. Splitting the observed EMIC waves into IPDP and 534 non-IPDP waves, we find that IPDP waves are confined almost entirely to the afternoon-535 evening sectors, i.e., from 15-22 MLT. The non-IPDP type waves are less well confined, 536 occurring across almost all MLT regions. The distribution of all EMIC waves observed at 537 all magnetometers is shown in Figure 7(a), with the IPDP and non-IPDP wave distribu-538 tions shown in Figures 7(b), and (c) respectively. 539

The EMIC waves observed at each magnetometer are confined to L-shells relatively close 540 to the magnetometers, reflecting the inability of the magnetometers to detect EMIC waves 541 beyond a certain range. The L-shell distribution of the waves is centered around L = 5.1. 542 Splitting the waves into IPDP and non-IPDP waves again, we find that IPDP waves tend 543 towards slightly lower L-shells, with a median IPDP L-shell of L = 4.8, compared to 544 the non-IPDP median L = 5.5. The IPDP waves also tend to be more tightly clustered 545 around the magnetometers, with 83% of IPDP waves occurring within ± 1 L-shell of the 546 magnetometers, compared to only 61% of the non-IPDP waves. The three CARISMA 547 magnetometers also show a significant L-shell dependence of the IPDP waves, with the 548 high L-shell FCHU magnetometer observing very few IPDP waves, while the lower L-shell 549 ISLL and PINA magnetometers observed much greater proportions of IPDP waves. 550

There are distinct differences between the distributions of the hydrogen band EMIC 551 waves and the helium/oxygen band EMIC waves. Only one of the observed hydrogen 552

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⁵⁵³ band waves occurred below L = 5. The hydrogen band waves occurred across all MLT ⁵⁵⁴ sectors, with a significant peak in the post-midnight sector (1-4 MLT). By comparison, ⁵⁵⁵ none of the helium/oxygen band EMIC waves occurred above L = 8, with over 50% of ⁵⁵⁶ the waves occurring at L < 5. Helium band waves also occurred across all MLT sectors, ⁵⁵⁷ though there was a significant occurrence peak in the evening sector (19-22 MLT).

7. Summary and Conclusions

From 17:00 UT on 13 August 2013 to 03:00 UT on 14 August 2013, several ground- and 558 space-based instruments observed, both directly and indirectly, evidence of EMIC-wave 559 activity. Over this 10 hour period, 4 of the 7 POES satellites observed relativistic electron 560 and low energy proton precipitation spikes consistent with EMIC-wave driven scattering. 561 The locations of these spikes suggested an EMIC source region that was static with respect 562 to the magnetic field, centered around 20.5 MLT and $L \sim 4.8$. These observations were 563 accompanied by ground-based observations of electron precipitation in AARDDVARK 564 and riometer data, consistent with the locations of the POES observed precipitation. 565 Additionally, several ground-based magnetometers observed rising tone EMIC waves that 566 coincided with the timing and location of the precipitation measurements, suggesting that 567 the IPDP EMIC waves were the cause of the precipitation. 568

The majority of the P6 electron precipitation spikes presented in this case study were detected manually, rather than being detected by the *Carson et al.* [2013] detection algorithm. This is a side-effect of the checks put in place to prevent false-positive detections, described in the introduction to this paper. In this case study, the majority of the manually detected spikes were not flagged by the detection algorithm due to large levels of P1 proton flux, masking any potential P1 spikes from the detection algorithm. In the absence

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⁵⁷⁵ of other evidence, the manually detected P6 electron precipitation spikes would simply ⁵⁷⁶ be high-energy electron spikes, with no identifiable cause. However, the presence of a ⁵⁷⁷ positive EMIC signature identified by the detection algorithm in such close proximity to ⁵⁷⁸ the other P6 spikes, consistent with the observed EMIC wave activity, is highly suggestive ⁵⁷⁹ of a link between the electron precipitation and the EMIC wave activity.

This event is similar to an EMIC case study recently published by *Clilverd et al.* [2015], 580 who showed similar conjugate observations of IPDP EMIC waves and associated pre-581 cipitation using SCM, AARDDVARK, riometer and POES instrumentation. The event 582 investigated by Clilverd et al. [2015] was short-lived, lasting only ~ 3 h UT and covering 583 $\sim 50^{\circ}$ longitude, however it was also rapidly drifting, moving through ~ 3 h MLT in 584 this time. In comparison, the case study presented in our study was longer-lived, with 585 observations spanning over 10 h UT and 140° longitude, but static in MLT. This contrast 586 highlights the broad range of forms that EMIC precipitation events may take. 587

The case study presented here, as well as the case study by *Clilverd et al.* [2015], clearly 588 shows the possibility for conjugate observations of EMIC activity through POES-observed 589 precipitation and ground-based SCM wave signatures. To determine whether the link to 590 EMIC wave activity seen in these case studies is true for the Carson et al. [2013] POES 591 precipitation triggers in general, we carried out a study of SCM data from the Halley, 592 Antarctica station. SCM data from 2005–2014 was investigated, searching for signs of 593 EMIC wave activity around the times suggested by the updated Carson et al. [2013] 594 precipitation trigger database. We complemented this with similar studies using data 595 from magnetometers located in Athabasca, Fort Churchill, Island Lake, and Pinawa, all 596 located in Canada. 597

Each of the magnetometers studied showed significant numbers of EMIC waves coinci-598 dent with POES electron precipitation triggers. For POES triggers that occurred within 599 $\pm 15^{\circ}$ longitude of each magnetometer, including the magnetic conjugate points of the Hal-600 ley and Athabasca magnetometers, we see successful detection rates of between 50-65%, 601 except for the FCHU magnetometer, which sees only 42%, likely due to the high latitude 602 location of the magnetometer. Restricting the events further based on the L-shell distance 603 of the POES triggers from the magnetometers, we see even greater increases in successful 604 detection rates, with the Halley magnetometer in particular detecting EMIC waves for 605 90% of the POES triggers that occur within $\pm 0.5L$ of the Halley northern hemisphere con-606 jugate point. This suggests a very strong link between the POES-detected precipitation 607 spikes and EMIC wave activity. 608

When considering the possibility of a drifting source region in MLT, we see a consistent picture across all of the magnetometers studied. For POES triggers that occur close to the magnetometers (or their magnetic conjugate points) we see high rates of successful EMIC wave observation. As the longitudinal distance from the magnetometer increases, this success rate drops off, until it approaches the "noise" success rate, i.e., the rate of successful EMIC wave detection seen for fake POES triggers with no association with any precipitation signatures.

⁶¹⁶ A significant proportion of EMIC waves observed in this study were rising tone IPDP-⁶¹⁷ type EMIC waves. IPDP-type waves accounted for over 50% of all EMIC waves observed ⁶¹⁸ when the POES triggers were < 15° longitude from the magnetometers. In comparison, ⁶¹⁹ the random triggers with no associated particle precipitation spikes only consisted of ⁶²⁰ 20 - 25% IPDP-type waves, suggesting a preference in the *Carson et al.* [2013] algorithm

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towards IPDP-type waves. This indicates that IPDP-type waves may be preferentially associated with MeV electron loss as compared to Pc1 banded emissions. Further study is needed to determine if there is a significant difference between the precipitation driven by IPDP and non-IPDP EMIC waves.

The CARISMA magnetometers showed that there was a significant L-shell dependence 625 of the IPDP-type waves, with only 20% of waves observed at the high-latitude FCHU 626 magnetometer being IPDP-type waves, compared to over 60% at the lower latitude PINA 627 magnetometer. This suggests that IPDP-type waves are generated at lower L-shells than 628 their non-IPDP counterparts. We also saw a much lower percentage of IPDP-type waves 629 for POES triggers $> 15^{\circ}$ longitude from the magnetometers. This could indicate that 630 IPDP-type waves are less likely to drift in MLT, or that they are shorter lived than non-631 IPDP EMIC waves. Finally, there was also a significant difference seen between the waves 632 observed at Athabasca when comparing POES triggers in the northern and southern 633 hemispheres; northern hemisphere triggers were almost twice as likely to be associated 634 with IPDP-type waves than the southern hemisphere triggers. Further investigation into 635 IPDP-type waves is needed to determine the mechanisms behind these differences. 636

The majority of the POES precipitation associated EMIC waves we observed in this study occurred in the helium/oxygen bands, with only a very small portion occurring in the hydrogen band. Across all magnetometers, over 85% of observed waves occurred in the helium/oxygen bands. This possibly indicates a preference in the *Carson et al.* [2013] detection algorithm towards helium band EMIC, which might be due to differences in the characteristics of the electron precipitation scattered by the waves in each band. Alternatively, it could simply reflect the known difficulty in detecting hydrogen band

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EMIC waves in ground-based instruments, due to lower power or unfavorable propagation characteristics [*Engebretson et al.*, 2008; *Usanova et al.*, 2008]. Finally, it might indicate a greater difficulty for hydrogen band waves to satisfy the electron resonance condition [*Denton et al.*, 2015]. Of all of the hydrogen band waves observed in this study, only $\sim 7\%$ were IPDP-type waves.

We also examined the distribution of the POES trigger associated EMIC waves seen 649 at each of the magnetometers. IPDP-type waves were restricted to the afternoon and 650 evening MLT sectors, and occurred predominantly at lower L-shells. Non-IPDP EMIC 651 waves occurred in all MLT sectors, with a peak occurring in the post-midnight sector. 652 The helium/oxygen band waves were observed across all MLT shells with a peak in the 653 evening sector, with over 50% of the waves occurring at L < 5. Hydrogen band waves 654 were almost exclusively found at L > 5, again across all MLT sectors, with a peak in 655 the post-midnight sector. Investigation of high L-shell EMIC waves was limited by a lack 656 of high-latitude magnetometer stations, and by a lack of POES triggers at high L-shells. 657 This possibly indicates a preference in the Carson et al. [2013] detection algorithm towards 658 lower L-shell events. Alternatively, it could indicate that the higher L-shell EMIC waves, 659 which our study suggests are almost exclusively non-IPDP hydrogen band waves, are less 660 likely to cause relativistic electron precipitation. 661

⁶⁶² One aspect of the *Carson et al.* [2013] detection algorithm that still remains to be ⁶⁶³ investigated is the "miss rate", i.e., how often there is EMIC present that the algorithm ⁶⁶⁴ fails to detect. This can happen either when there is no electron precipitation present in ⁶⁶⁵ the energy range to which POES is sensitive, or when there is excessive noise in either ⁶⁶⁶ of the P1 or P6 loss cone channels, preventing a successful detection. We have already

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shown that there is a likely bias against hydrogen band waves, high L-shell waves, and non-IPDP waves, possibly indicating that these types of waves do not readily precipitate electrons. A full investigation of how often the algorithm misses EMIC wave events, and the characteristics of these missed waves, is outside the scope of the current study.

The high success rate of EMIC wave detections by POES triggers located in close 671 proximity to the magnetometers studies confirms that the Carson et al. [2013] detection 672 algorithm is a valid means of detecting EMIC wave activity via POES electron precipita-673 tion data, and shows that the POES precipitation trigger database is made up of a high 674 proportion of EMIC-driven electron precipitation events. This makes it possible to use the 675 POES precipitation data to further investigate the characteristics of the observed EMIC 676 waves and their interactions with radiation belt electrons. The large dataset of POES 677 data (17 years of data from up to seven satellites), as well as the ease with EMIC-driven 678 precipitation events can be automatically detected by the Carson et al. [2013] algorithm, 679 makes it possible to investigate EMIC wave electron interactions on a large scale. 680

The POES EMIC-driven precipitation event database can also be used to complement ground-based EMIC-wave detection methods. As was demonstrated in this study, data from the POES satellites can be using to determine the location of the source region of waves observed in ground-based magnetometer data. This has the potential to allow for much more detailed examination of the influence of EMIC-wave ducting [cf. *Mann et al.*, 2014], as well as permitting accurate calculation of the wave band of ground-detected EMIC.

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The data used in this paper are available at NOAA's National Geophysical Data Center (NGDC - POES MEPED data), the British Antarctic Survey's Physical Sciences Division Data Access Framework (SCM, riometer and AARDDVARK data), the University of Alberta CARISMA data repository (SCM data), the SGO (SCM and riometer data available on request), and the ISEE magnetometer data site (http://stdb2.stelab.nagoyau.ac.jp/magne/index.html) for all years of operation.

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Figure 1. MLT vs L-shell distributions of the POES satellites, for 1 < L < 10. For each satellite, the location of each recorded data point is binned according to its MLT and L-shell, highlighting the favored MLT regions of each satellite. The combined location for the observations made by of all seven POES satellites is also shown, in the lower right corner of the figure. For each plot, L-shell increases radially outwards from the center, while MLT increases in a counter-clockwise direction with magnetic midnight at the bottom and magnetic noon at the top.



Figure 2. Map of the geographic locations of each detection of the 13 August 2013 EMICinduced precipitation region. Red circles indicate riometer locations, yellow stars indicate searchcoil magnetometer locations, solid green diamonds indicate POES satellite relativistic electron precipitation locations, hollow green diamonds indicate the POES IGRF magnetic conjugate locations, light blue squares indicate VLF transmitters, and dark blue squares indicate AARD-DVARK VLF receivers. The red lines indicate the great-circle paths between the VLF transmitters and the AARDDVARK stations. The POES satellite locations are calculated by tracing down the IGRF field line to an altitude of 110 km. L-shell contours from 3–6 are superimposed on the map.

Table 1. Detailed observations for the 13–14 August EMIC event. The POES locations were determined by tracing down the IGRF field-line to an altitude of 110 km. The locations of the riometer stations in (c) are the same as the relevant magnetometers in (b). The locations of the precipitation sources in (d) are somewhere along the path between the VLF transmitter and AARDDVARK receiver; without further modeling it is not possible to determine the exact location. For the Halley AARDDVARK receiver, the slight offset from the riometer detection in (c) suggests the precipitation occurred slightly east of the receiver. For the Edmonton receiver, the overlap with the Pinawa magnetometer suggests the precipitation occurred directly over Pinawa.

(a) 1	OLD I recipitation O			
Time (UT)	Satellite L-she	ell MLT	Latitude (N)	Longitude (N)
2013/08/13 17:14:30	METOP-01 4.7	20.6	66.0	46.3
2013/08/13 18:01:12	METOP-02 5.0	20.5	66.5	33.6
2013/08/13 20:01:18	METOP-01 5.0	20.9	-62.4	42.8
2013/08/13 20:47:06	METOP-02 5.1	20.7	64.1	347.6
2013/08/13 21:22:44	METOP-02 4.7	20.9	62.8	345.0
2013/08/13 21:41:26	METOP-01 4.8	21.0	-67.1	20.54
2013/08/13 21:51:55	NOAA-16 4.8	20.5	61.4	332.1
2013/08/13 22:27:16	METOP-02 4.9	21.0	-69.9	10.6
2013/08/14 00:55:17	NOAA-15 5.0	19.7	51.0	283.1
2013/08/14 01:13:55	NOAA-16 4.8	20.5	53.5	286.0
2013/08/14 02:38:35	NOAA-15 4.5	19.8	52.0	265.5
2013/08/14 02:55:19	NOAA-16 4.5	20.4	52.6	261.8

(b) Magnetometer Wave Observations							
Time start (UT)	Time End (UT)	Location	L-shell	MLT	Latitude	Longitude	
2013/08/13 17:30	2013/08/13 18:00	Rovaniemi	5.1	20.4 - 20.9	66.8	25.9	
2013/08/13 22:15	2013/08/13 22:45	Halley	4.7	19.5 - 20.0	-75.6	333.8	
2013/08/14 02:50	2013/08/14 03:10	Pinawa	4.1	20.2 - 20.5	50.2	264.0	

(c) Riometer Precipitation Observations					
Time start (UT)	Time End (UT)	Location	L-shell	MLT	Peak Δ absorption (dB)
2013/08/13 17:10	2013/08/13 18:10	Rovaniemi	5.1	20.1 - 21.1	1.1
2013/08/13 22:40	2013/08/13 22:55	Halley	4.7	20.0 - 20.2	0.4

Time start (UT)Time End (UT)LocationL-shellMLTPeak Δ amplitude (dB)2013/08/1322:252013/08/1322:55Halley4.719.6–20.2-8.22013/08/1402:502013/08/1403:10Edmonton4.120.2–20.5-6.4	(d) AARDDVARK Precipitation Observations					
2013/08/13 22:25 2013/08/13 22:55 Halley 4.7 19.6–20.2 -8.2 2013/08/14 02:50 2013/08/14 03:10 Edmonton 4.1 20.2–20.5 -6.4	Time start (UT)	Time End (UT)	Location	L-shell	MLT	Peak Δ amplitude (dB)
2013/08/14 02:50 2013/08/14 03:10 Edmonton 4.1 20.2–20.5 -6.4	2013/08/13 22:25	2013/08/13 22:55	Halley	4.7	19.6 - 20.2	-8.2
	2013/08/14 02:50	2013/08/14 03:10	Edmonton	4.1	20.2 - 20.5	-6.4

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Figure 3. (a) The geographic longitude of the POES satellite detected EMIC wave driven electron precipitation spikes against the UT time of their detection. The longitude of the satellite is calculated by tracing the IGRF field line down from the satellite to an altitude of 110km. These points are fitted with a linear fit, showing the longitudinal drift of the precipitation region. This line indicates a drift of approximately 15°/hr. (b) The MLT location of the POES satellite detected EMIC wave driven electron precipitation spikes against the UT time of their detection. The y-scale of this plot corresponds to the longitudinal range of the plot in (a). From this it is clear that detected precipitation spikes are approximately constant in MLT.



Figure 4. Search-coil magnetometer data from the (a) Rovaniemi, (b) Halley, and (c) Pinawa magnetometers for the period 2013/08/13 15:00 UT to 2013/08/14 06:00 UT. The solid white lines superposed on these plots indicate the local time at each site that corresponds to 20:30 MLT, while the dashed white lines indicate 1 hr MLT on either side. The wave-power measured at each magnetometer is plotted in dB relative to an (different) arbitrary reference. Both panels (b) and (c) show the x-component of the SCM data, while (a) shows the z-component to avoid noisy data. In each case, the EMIC signature is clearly visible in each magnetic component.

Figure 5. Observations of the 13 August 2013 EMIC wave precipitation event viewed from Halley. (a) shows the x-component of the search-coil magnetometer wave-power measured at Halley, plotted in dB relative to an arbitrary reference, with a rising tone signature clearly visible from 22:30–22:50. (b) shows the Halley riometer absorption data for the event time as a solid blue line, with a dashed red line indicating the approximate quiet day curve of the riometer. (c) shows amplitude data of the NPM VLF transmitter located in Hawaii, USA, as logged by the Halley AARDDVARK instrument. The blue line indicates the amplitude of the signal, while the red dotted line indicates an approximate quiet-day curve for the data.

Figure 6. (a) Comparison of the EMIC wave detection success rate at Halley of the *Carson* et al. [2013] POES triggers, shown in blue, compared to triggers chosen to be independent of any precipitation spikes, shown in red. Triggers are binned by absolute longitudinal distance from Halley. (b) As above, but with the Athabasca magnetometer.

Figure 7. (a) Plot showing the distribution of all observed EMIC waves at all magnetometers, in L-MLT space. L-shell is shown from 0-10 increasing radially outwards, while MLT is shown from 0-24 MLT, with magnetic midnight at the 6 o'clock position. (b) As in (a), but showing only the IPDP-type EMIC wave events. (c) As in (a), but only showing the non-IPDP EMIC wave events.

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