Low Latitude Ionospheric D-region Dependence on Solar Zenith Angle Neil R. Thomson,¹ Mark A. Clilverd² and Craig J. Rodger¹

⁵ ¹Physics Department, University of Otago, Dunedin, New Zealand.

⁶ ²British Antarctic Survey, Cambridge, UK.

7

8

Abstract: Phase and amplitude measurements of VLF radio signals on a short, nearly 9 all-sea path between two Hawaiian Islands are used to find the height and sharpness of 10 the lower edge of the daytime tropical D-region as a function of solar zenith angle 11 (SZA). The path used was from US Navy transmitter NPM (21.4 kHz) on Oahu to 12 13 Keauhou, 306 km away, on the west coast of the Big Island of Hawaii, where ionospheric sensitivity was high due to the destructive interference between the 14 ionospherically reflected wave and the ground wave, particularly around the middle of 15 the day. The height and sharpness are thus found to vary from $H' = 69.3 \pm 0.3$ km and 16 $\beta = 0.49 \pm 0.02$ km⁻¹ for SZA ~10°, at midday, to H' > 80 km and $\beta \sim 0.30$ km⁻¹ as the 17 SZA approached $\sim 70^{\circ}$ -90°, near dawn and dusk for this tropical path. Additional 18 values for the variations of H' and β with solar zenith angle are also found from VLF 19 phase and amplitude observations on other similar paths: the short path NWC to 20 Karratha (in N.W. Australia), and the long paths, NWC to Kyoto in Japan, and NAU, 21 Puerto Rico, to St. John's Canada. Significant differences in the SZA variations of H' 22 and β were found between low and mid-latitudes resulting from the latitudinally 23 varying interplay between Lyman- α and galactic cosmic rays in forming the lower D-24 region. Both latitude ranges showed $\beta < 0.30$ km⁻¹ during sunrise/sunset conditions. 25

27 **1. Introduction**

The lowest altitude part of the Earth's ionosphere is the lower D-region for which, at least from its effects on very low frequency (VLF: ~3-30 kHz) radio waves, the electron number density, N(z) in m⁻³, versus height, z in km, has been found to be conveniently described (e.g. in the US Navy VLF waveguide codes, ModeFinder and LWPC) by the two Wait parameters, the height, *H*' in km, and the sharpness, β in km⁻¹ as:

$$N(z) = 1.43 \ge 10^{13} \exp(-0.15H') \exp[(\beta - 0.15)(z - H')]$$

[Wait and Spies, 1964; Morfitt and Shellman, 1976; or e.g. Thomson, 1993]. The sharpness 34 parameter, β , is thus a measure of how rapidly the electron density is increasing with height. 35 This modeling of the electron density increasing exponentially with height in the lower D-region 36 37 (~50-80 km altitude) is, of course, an approximation. However, experimental rocket profiles such as those of Mechtly et al. [1972] plus the rocket profiles and modeling of Friedrich and 38 Torkar [1998] provide independent evidence that this is a reasonable approximation to reality. 39 D-region modeling, particularly for the loss processes, is not yet sufficiently advanced to offer 40 workable alternative profiles, and so most successful modeling of the lower D-region, at least 41 for VLF propagation, continues to use these simple two parameter (*H*', β) profiles. 42

43

When the Sun is nearly overhead at midday, H' is ~70 km and β ~0.45-0.5 km⁻¹. By day, the upper 44 part of the lower D-region is ionized mainly by Lyman- α from the Sun while the lower part (below 45 65-70 km) is principally ionized by galactic cosmic rays [e.g., Banks and Kockarts, 1973]. The 46 cosmic rays are omnidirectional so their ionizing effects are not affected by time of day and solar 47 zenith angle. In contrast, when the Sun is lower in the sky (i.e., has a greater solar zenith angle, SZA), 48 the Lyman- α from the Sun passes through the Earth's atmosphere at more oblique angles undergoing 49 more atmospheric absorption, and so produces less ionization at a given altitude than for the Sun high 50 in the sky. This reduced ionization in the upper part of the lower D-region, while the Sun is lower in 51

52 the sky near dawn and dusk, results in the sharpness, β , being smaller nearer dawn and dusk 53 compared with midday [*Thomson and Clilverd*, 2001].

54

Although the cosmic ray intensity in the D-region does not vary with time of day, the intensity does 55 56 vary significantly with geomagnetic latitude, being markedly higher in polar-regions than near the equator because of the shielding effect of the Earth's magnetic field at low latitudes [e.g. Heaps, 57 1978]. This means that the dependence on solar zenith angle of the D-region electron density 58 parameters can be expected to show some latitude dependence. Understanding the interplay between 59 the effects of Lyman- α and galactic cosmic rays is key to improving long-wave propagation codes, 60 which in turn will enhance the usefulness of the codes to applications like the AARDDVARK 61 network of Space Weather monitors [Clilverd et al., 2009]. 62

63

When the Sun's altitude lowers towards dawn or dusk, H' rises to well above 75 km, and β falls to 64 ~0.30 km⁻¹ or lower [Thomson, 1993, McRae and Thomson, 2000]. In these two previous studies the 65 dependence of H' and β on time of day, and hence solar zenith angle, depended on observations on 66 long paths which had both mid-latitude and low latitude components, and for which the solar zenith 67 angle also typically varied appreciably along the path, particularly near dawn and dusk. Han and 68 *Cummer* [2010] and *Han et al.* [2011] used natural lightning to determine values for H' and β as 69 functions of solar zenith angle but at a significantly higher latitude (magnetic dip $\sim 47^{\circ}$ N) than the 70 low latitudes studied here. Here we use VLF amplitude and phase measurements on a low-latitude, 71 short, nearly all-sea path between two Hawaiian Islands: from NPM (21.4 kHz) on Oahu to the Big 72 Island of Hawaii. The whole of the path is within $\sim 1^{\circ}$ of 20.5° N geographic which is also within $\sim 1^{\circ}$ 73 of magnetic dip latitude 20.5° N at this longitude. These experimental observations are then 74 compared with modeling calculations to determine values for the ionospheric parameters, H' and β , 75 both at midday and also as functions of solar zenith angle. 76

| 78 | Results from this Hawaiian path are then compared with those from another short path, NWC (19.8 |
|----|--|
| 79 | kHz) to Karratha (N.W. Australia) [Thomson, 2010; Thomson et al., 2011b and 2012], mainly over |
| 80 | the sea, which has a similarly tropical geographic latitude, ~21° S, but has a somewhat higher (though |
| 81 | still low) magnetic dip latitude, ~36° S. Further comparisons of the dependence of H' and β on solar |
| 82 | zenith angle are then made using the trans-equatorial, nearly all-sea, low-latitude path, NWC to |
| 83 | Kyoto, Japan, and for the low- to mid-latitude, nearly all-sea path NAU (40.75 kHz) on Puerto Rico |
| 84 | to St John's, Canada, using VLF phase and amplitude recordings over several days in both cases. |
| | |

87 2. VLF Measurement Techniques

88 2.1 The Portable VLF Loop Antenna and Receiver

The phases and amplitudes of the VLF signals in Hawaii and N.W. Australia were measured with a GPS-referenced portable loop receiver, details of which are given in *Thomson* [2010]. These measurements were taken at sites well away from (buried/overhead) conductors such as power lines, checking for self-consistency over horizontal distances of at least a few tens of meters and from one (nearby) site to the next. The portable loop system measures the phases as delays in µs with respect to the GPS 1-s pulses. These delays are modulo half-a-period of the VLF frequency [*Thomson*, 2010].

95

96 2.2 The Fixed VLF Recorders near Seattle WA USA

97 A fixed, GPS-referenced, 'UltraMSK' receiver recorded the phases and amplitudes of US Navy 98 transmitter, NPM, at Forks on the Olympic peninsula near Seattle WA USA while the portable loop measurements were being made in Hawaii. This enabled correcting for phase drifts and for weekly (or 99 100 sometimes more frequent) phase jumps at the transmitters, because the daytime propagation for this 4.2 Mm NPM-Seattle path is very stable, especially in summer. The Forks site is part of the 101 Antarctic-Arctic Radiation-belt Dynamic Deposition VLF Atmospheric Research Konsortia 102 (AARDDVARK) network [Clilverd et al., 2009]; further information and a description of the array 103 can be found at www.physics.otago.ac.nz/space/AARDDVARK homepage.htm. 104

105

3. VLF Measurements and Modeling Comparisons

107 **3.1 The Short Path NPM to the Big Island of Hawaii**

The 306-km, nearly all-sea path from NPM (21.4 kHz) on Oahu to Keauhou on the Big Island of Hawaii, used here to determine lower D-region ionospheric parameters, is shown in Figure 1. Also shown in Figure 1 is the very short (140-km) path from NPM to Kauai used to determine the phase at the NPM transmitter because, for a path this short, the received signal comes mainly from the (readily 112 calculated) ground wave with only a minor contribution from the ionosphere. Similarly, this very 113 short path also enables a check on the radiated power of NPM, largely independent of any 114 ionospheric influence.

115

NPM amplitudes and phases were measured with the portable loop system near Keauhou 19-25 Aug 116 2012 LT (LT = HAST = UT - 10) and on Kauai 26 Aug - 31 Aug 2012 LT, except when NPM was 117 118 scheduled to be off-air for its weekly maintenance (late on 22 and 29 Aug UT). Several days of the phase and amplitude measurements made with the UltraMSK recorder at Forks WA near Seattle, 119 120 while the Hawaiian measurements were being made, are shown in Figure 2. The general stability of the amplitude is an indication of the stability of the propagation path from NPM to Seattle in summer 121 and hence the usefulness of the Seattle recordings in monitoring the transmitter's amplitude and 122 phase. For the period 23-28 Aug 2012 shown in Figure 2, NPM had a moderate frequency offset from 123 its nominal 21.4 kHz. To allow the phase to be plotted in a range of less than ~100°, and so give an 124 indication of phase stability, an offset of 34.5° per hour has been removed from the recorded GPS-125 referenced phase at 21.4 kHz. 126

127

128 **3.2 Short Path ModeFinder Calculations Compared with Observations**

A slightly modified version of the US Navy waveguide code, ModeFinder [Morfitt and Shellman, 129 1976], was used to calculate phases and amplitudes of NPM (21.4 kHz) at Keauhou 306 km away on 130 the 'Big Island' of Hawaii for a range of values for the ionospheric D-region parameters, height H' in 131 km, and sharpness β in km⁻¹. The technique used here is the same as that used for the ~300-km path 132 NWC to Karratha reported by *Thomson* [2010]. As previously, ModeFinder was set to calculate B_{y} , 133 the horizontal component of the magnetic field of the wave (rather than the more usual vertical 134 electric field, E_z) because the portable loop antenna, used here for the observations, effectively 135 measures B_v . None-the-less, all the amplitudes presented here are expressed in V/m (using $E_z = cB_v$), 136

7

typically as dB > 1 μ V/m, as is common for specifying VLF amplitudes. Figure 3 shows the results of the ModeFinder calculations and also, as a solid straight line, the observed average midday amplitude of NPM measured at Keauhou, 74.4 dB > 1 μ V/m. The radiated power for NPM was again taken as 375 kW as determined from amplitude measurements on Kauai (~140 km WNW of NPM) both previously [*Thomson et al.*, 2011b, 2012] and during the period 26-31 August 2012, shortly after the Keauhou measurements presented here.

143

More than 30 sets of phase and amplitude measurements for NPM were taken with the GPS-144 145 referenced portable loop receiver within 1-2 hours of local midday on Kauai, mainly at sites in Lydgate Park (on the east coast), 140 km from NPM, on the 5 days 26-28, 30-31 August 2012. As 146 previously [Thomson et al., 2011b, 2012], the signal strength of NPM on Kauai required reducing the 147 148 gain of the portable loop system by having two 750 Ω resistors in series with the loop rather than the usual two 39 Ω resistors as mainly used at Keauhou. After adjusting the Lydgate Park, Kauai, phase 149 readings from $2 \times 750 \Omega$ to $2 \times 39 \Omega$, it was found that 17.9 µs phase delay at Lydgate corresponded 150 to -6° on the UltraMSK recorder at Seattle during the 6-day period of the Lydgate readings. 151

152

Many portable loop phase and amplitude measurements of NPM were made at Keauhou on the Big 153 Island of Hawaii, ~306 km from NPM, during the period 19-25 August 2012. This location was near 154 a midday modal minimum where the ground wave was $\sim 20 \text{ mV/m}$ and the ionospherically reflected 155 156 (or 'sky') wave was ~ 15 mV/m giving a resultant amplitude ~ 5 mV/m, resulting in a relatively high sensitivity to the ionospheric conditions. The latitudes and longitudes for NPM and for the principal 157 Keauhou and Lydgate sites are shown in Table 1 which also shows the great-circle distances, NPM-158 159 Lydgate and NPM-Keauhou, calculated using the Vincenty algorithm [Vincenty, 1975] and the corresponding free-space delays in µs calculated from these distances using the (exact) speed of light. 160

162 The phase delays in us measured at Keauhou (relative to GPS 1-pps) need to be appropriately adjusted in time, and converted to degrees, for direct comparison with the output, in degrees, of 163 ModeFinder calculations for NPM-Keauhou. The resulting observed phases at Keauhou in 164 'ModeFinder degrees' are shown as functions of time in Figure 4 in the upper panel. This conversion 165 of phase delay to 'ModeFinder' degrees at Keauhou can be conveniently illustrated using the 166 following example. At 2309 UT on 21 August 2012, the phase delay measured at Keauhou was 25.2 167 μ s while the phase on the recorder at Seattle was $-120.5^{\circ} \equiv 59.5^{\circ}$ (modulo 180°). As mentioned 168 earlier, 17.9 μ s at Lydgate corresponded to -6° at Seattle, so the observed time delay difference for 169 NPM-Keauhou and NPM-Lydgate was 170

171

$$25.2 - 17.9 + [59.5 - (-6)]/360/0.0214 = 15.8 \ \mu s$$

This observed time delay difference effectively consists of two parts: (1) the free space delay and (2) 172 the waveguide-only part of the delay that ModeFinder (and other codes such as LWPC) calculate. 173 From the Vincenty great circle calculations shown in Table 1, the free space part of the delay 174 difference (modulo half-a-period) is 14.3 µs so the waveguide-only part of the delay difference is 175 15.8 - 14.3 = 1.5 μ s which is equivalent to 11.5° at 21.4 kHz. ModeFinder calculates the phase at 176 177 Lydgate as 40.5°. Hence the Keauhou observed phase in 'ModeFinder degrees' (for this example data point at 2309 UT on 21 August 2012) is $40.5 - 11.5 = 29^{\circ}$ as shown in Figure 4. The phase of 40.5° 178 which ModeFinder calculated for NPM-Lydgate was for H' = 69.3 km and $\beta = 0.49$ km⁻¹, the midday 179 parameters found from the Keauhou measurements. The phase at Lydgate is however, not very 180 sensitive to the ionospheric parameters because the signal at Lydgate is mainly ground wave, partly 181 because Lydgate is closer to NPM than Keauhou (140 km versus 306 km) and partly because west-to-182 east ionospheric reflection, such as for NPM-Keauhou, is much less attenuating than east-to-west 183 184 reflection, such as for NPM-Lydgate, particularly at such low latitudes.

186 From Figure 4 it can be seen that, at midday, the mean observed phase of NPM at Keauhou, averaged over all the measurement days, was 30° (in 'ModeFinder degrees'); this average is shown as 187 a solid straight line in the top panel of Figure 3 to allow comparison with the ModeFinder 188 189 calculations. Similarly the midday average observed amplitude of NPM at Keauhou from Figure 4 190 was 74.4 dB > 1 μ V/m and this is also shown as a solid straight line in the appropriate panel of Figure 3. Thus from Figure 3 it can be seen that the midday ionospheric D-region observations at latitude 191 ~20° N (both geographic and magnetic dip) for the 306 km path NPM to Keauhou can be best 192 represented by $H' = 69.3 \pm 0.3$ km and $\beta = 0.49 \pm 0.02$ km⁻¹. 193

194

Similarly, the observed values of phase in degrees and amplitude in dB at times other than midday 195 from Figure 4 were also used in the ModeFinder plots of Figure 3 (shown there as the larger open 196 circles) to determine appropriate values of H' and β over a range of times of day and hence solar 197 zenith angles. The H' and β values so determined are plotted in Figure 5, where the solar zenith 198 angles are shown as negative in the morning and positive in the afternoon. Also shown in these H'199 and β plots in Figure 5, for comparison, are a similar set of results from the 300-km path NWC (19.8) 200 kHz) to Karratha in N.W. Australia over 5 days in mid-October 2011. The path NWC-Karratha, being 201 at ~21° S., geographic, is at a very similar distance from the equator to the NPM-Keauhou path. In 202 both cases the measurements were made about a month on the summer side of the September equinox 203 (August for NPM-Keauhou and October for NWC-Karratha) and so the Sun was ~10° from the zenith 204 at midday in both cases. Although both paths are nearly all-sea, the NWC-Karratha path is potentially 205 more affected by land, partly because more of the path, albeit mainly close to the sea, is over land, 206 and partly because the land has lower conductivity, ~0.001 S/m as compared with ~0.01 S/m in 207 Hawaii, according to the Westinghouse conductivity estimates used in the US Navy's LWPC VLF 208 propagation code. 209

In Figure 5, it can also be seen that β falls only to ~0.37 km⁻¹ near dawn and dusk (i.e., at solar 211 zenith angles around 70°-80°) for the NWC-Karratha path but falls to ~0.30 km⁻¹ for the NPM-212 Keauhou path. By comparing with results from other longer paths (considered later), it appears that 213 the anomaly lies with the NWC-Karratha path and is likely due to a combination of several factors. 214 The first, as mentioned above, is the low (and so likely uncertain and variable) conductivity of the 215 ground at the Karratha receiver site. The second is the higher magnetic dip latitude of the NWC-216 Karratha path (~36°) compared with the NPM-Keauhou path (~20°). While there is ample 217 ionospherically reflected ('sky') wave for both these west-to-east paths near midday, the higher 218 219 magnetic dip latitude for NWC-Karratha significantly reduces the otherwise enhanced low latitude reflections for west-to-east propagation particularly at the lower values of β near dawn and dusk. The 220 wave hop code of *Berry and Herman* [1971] shows that for H' = 79 km and $\beta = 0.3$ km⁻¹ (i.e, solar 221 zenith angles $\sim 75^{\circ}-80^{\circ}$) the 'sky' wave amplitude is $\sim 30\%$ of the ground wave at Keauhou but only 222 ~17% at Karratha, principally due to the higher magnetic dip latitude for NWC-Karratha. A further 223 possibly complicating factor for Karratha was that, while the measurements near midday were made 224 outdoors at good sites, the measurements for solar zenith angles more than 30°- 40° (nearer dawn and 225 dusk) were mainly made indoors. Although significant efforts were made to calibrate the indoor site 226 with respect to the outdoor sites, some uncertainty must remain. A very small number of dawn-dusk 227 readings in Karratha made in August 2011 (in contrast to the main set in October 2011) were made at 228 a good outdoor site; these suggested that β dropped to ~0.33 km⁻¹ (as compared with the ~0.37 km⁻¹ 229 230 found indoors in October). In contrast, all the NPM-Keahou measurements were made outside at what appeared to be reliable sites. However, as can be seen in Figure 5, even for NPM-Keauhou, β did not 231 drop much below ~ 0.30 km⁻¹ just after dawn or just before dusk. 232

To indicate the extent of any am/pm (morning/afternoon) asymmetries about mid-day, the observed pm (afternoon) values of H' and β (black lines and black squares), after being reflected about mid-day for NPM-Hawaii, are also shown in Figure 5 as blue crosses and blue dotted lines. It can thus be seen that *H*' is generally slightly lower, and β is slightly higher, in the afternoon than in the morning for a given solar zenith angle, indicating a slight 'sluggishness' in the development of the lower D-region during the day. For the NWC-Karratha path, the effect is similar for *H*' but smaller or non-existent for β . (For the longer VLF paths discussed later the angles between path and the terminator are too different between morning and afternoon to meaningfully test for this relatively small effect.)

In view of the possible uncertainties near dawn and dusk, mainly for β , due to the relatively low proportion of 'sky' wave relative to the direct ground wave on short paths (particularly NWC-Karratha), it seemed desirable to check the β (and H') variations with solar zenith angle on longer, preferably otherwise similar, low-latitude paths where the ionospherically reflected ('sky') wave dominates at the receiver. This is done in the next two sub-sections below.

247

248 **3.3 Comparison with Observations on the Trans-equatorial Path NWC to Kyoto**

Araki et al. [1969] measured and reported averaged diurnal phase and amplitude variations with 249 time of day for NWC in Kyoto, Japan, at solar maximum, on both 15.5 kHz (31 July - 7 August 250 1968) and 22.3 kHz (7-14 August 1968). This 6.7-Mm path is nearly all-sea and mainly at low 251 magnetic dip latitudes, going from dip latitude ~36° S at NWC across the equator to Uji in Kyoto 252 (34° 54' N, 135° 48' E) at dip latitude ~29° N. These observed phases and amplitudes are now 253 compared with calculated phases and amplitudes obtained using a slightly modified version of the US 254 Navy subionospheric VLF code LWPC [Ferguson and Snyder, 1990] which calculates E_z using very 255 similar modal techniques to ModeFinder but is preferred for longer paths because it automatically 256 allows for the variations in the Earth's magnetic field which occur along such paths. (The ability of 257 ModeFinder to calculate B_{y} rather than E_{z} is not needed on such long paths because the differences 258 between using B_y rather than E_z on long paths is negligible.) 259

While the phase observations of Araki et al. [1969] give the changes in phase of NWC at Kyoto 261 with time of day and hence solar zenith angle, these phases are relative rather than absolute because 262 no phases were measured near the transmitter. Similarly, their results reliably give the diurnal 263 changes in amplitude at Kyoto but there is uncertainty about the power NWC was radiating in August 264 1968 (shortly after it was commissioned) and also about the absolute level of the amplitudes at the 265 receiver. However, these issues were satisfactorily resolved here by using appropriate midday (solar 266 maximum) values of H' and β for this path derived from the results reported here and in *Thomson et* 267 al. [2011a, 2011b and 2012]. The (average) values so adopted for the midday NWC to Kyoto path in 268 August 1968 were H' = 70.1 km and $\beta = 0.48$ km⁻¹, after allowing for the small but non-negligible 269 variations in solar zenith angle along the path at midday. 270

271

The resulting observed diurnal variations of H' and β with solar zenith angle from the NWC-Kyoto 272 path, for both 15.5 kHz and 22.3 kHz, are shown in Figure 6 where the agreement with the NPM-273 Keauhou short path results can be seen to be generally good. However, for the long NWC-Kyoto 274 path. β clearly drops to well below 0.30 km⁻¹ when the Sun is just above the horizon near dawn and 275 dusk. For this long path the signal at the receiver is virtually all ionospherically reflected – the ground 276 wave part at Kyoto is very small due to the Earth's curvature. In contrast, for the short paths near 277 dawn and dusk, the amplitude of the ionospherically reflected signal at the receiver, as mentioned 278 previously, is relatively small compared with that of the ground wave particularly for the NWC-279 280 Karratha path. This implies that the long path is probably giving the correct ionospheric attenuation and so the correct value for the ionospheric sharpness, β , near dawn and dusk. However, it might also 281 be possible, that the D-region very near the magnetic dip equator (i.e., closer to the dip equator than 282 283 the NPM-Keauhou path) has even lower values of β near dawn and dusk which do not extend to magnetic dip latitudes of 20° or higher. This is tested below by examining results from a low to mid-284 latitude VLF path which does not go closer to the magnetic dip equator than 20°. 285

3.4 Comparison with Observations on the Non-equatorial Path NAU, Puerto Rico, to St. John's, Canada

US Navy transmitter, NAU, on the Caribbean island of Puerto Rico radiates ~100 kW on 40.75 289 kHz. Like other US Navy VLF transmitters it uses 200-baud MSK modulation. Its location is ~18.4° 290 N, 67.2° W geographic which corresponds to a magnetic dip latitude of ~26°. At St. John's NL, 291 Canada, 47.6° N, 52.7° W, dip latitude ~50° and 3500 km away, over a very nearly all-sea path, the 292 British Antarctic Survey AARDDVARK receiver continuously records the amplitudes and phases of 293 294 several VLF transmitters, including NAU, with a high time resolution. To find H' and β from these phases and amplitudes, comparisons from the recordings in the period 11-18 June 2013 were made 295 using the wave hop subionospheric VLF code of Berry and Herman [1971] rather than LWPC 296 because LWPC is rated only up to 30 kHz while their wave hop code is designed to go up to 60 kHz. 297 Recently Yoshida et al. [2008] also used a wave hop code for subionospheric propagation at 298 frequencies of ~40 kHz. As for the NWC-Kyoto path, the output power of the transmitter (NAU) 299 was somewhat uncertain. While the recorder at St. John's is GPS-referenced, the phase at the NAU 300 transmitter relative to GPS 1-s pulses was unknown. However, as for the NWC-Kyoto path, H' and β 301 at midday could be estimated fairly well from previous measurements at low and middle latitudes 302 near solar maximum, taking into account known variations of midday H' and β with latitude, in 303 particular, for NAU-St. John's, decreases in β with increasing magnetic latitude due to the 304 305 corresponding galactic cosmic ray intensity increases [Thomson et al., 2011a, 2011b, 2012].

306

The (average) values so adopted for the midday NAU to St. John's path in June 2013 were H' =70.5 km and $\beta = 0.43$ km⁻¹, after making allowance for the expected variations in H' and β along the path at midday due to changes in latitude and solar zenith angle (from near overhead at the tropical end of the path to ~25° at St. John's). Using very similar procedures to those used for Figure 6, Figure ³¹¹ 7 shows *H'* and β , averaged along the NAU-St. John's path, as functions of solar zenith angle. Again, ³¹² as can be seen, it is clear that, at these higher latitudes too, β falls below 0.30 km⁻¹ for high daytime ³¹³ solar zenith angles. For this path, the receiver at St. John's is not directly north of NAU but somewhat ³¹⁴ to the east of north. This means that, at this time of year (June) the sunset terminator is nearly aligned ³¹⁵ with the path so all parts of the path have similar solar zenith angles in the afternoon-dusk period, ³¹⁶ making this period very suitable for comparisons.

317

However, in the dawn-morning period the sunrise terminator is not well aligned with the path and so the solar zenith angles vary considerably along the path. In particular, even 1 hour after sunrise at the path mid-point, the Sun was still below the horizon at NAU making it difficult to have meaningful averages along the path at such times. Thus the morning 'outlier' points in the -90° to -70° degree range for NAU-St. John's (shown in Figure 7 as black diamonds) are likely of limited value here.

323 324

326

328

325 **4. Discussion, Summary and Conclusions**

327 **4.1 Midday** H' and β at Low Latitudes

The midday measurements reported here in s3.2 for the 306 km Hawaiian path NPM-Keauhou give 329 $H' = 69.3 \pm 0.3$ km and $\beta = 0.49 \pm 0.02$ km⁻¹ for the lower edge of the ionospheric D-region at 20° N 330 (both geographic and magnetic dip latitude) in August 2012, near the current (weak) solar maximum. 331 The most comparable tropical D-region results available are those from the 300-km NWC-Karratha 332 and NWC-Dampier paths where, for midday in October 2011, Thomson et al. [2012] found H' =333 69.65 ± 0.5 km and $\beta = 0.49 \pm 0.03$ km⁻¹. Additional measurements at midday on the NWC-Karratha 334 path in late September 2012 gave $H' = 69.8 \pm 0.5$ km and $\beta = 0.46 \pm 0.03$ km⁻¹. After allowing for 335 the slightly lower solar zenith angle for NWC-Karratha in September, reasonable estimates for the 336 NWC-Karratha path for October 2011/2012 are $H' = 69.7 \pm 0.4$ km and $\beta = 0.48 \pm 0.03$ km⁻¹. At 337 Karratha, October is one month on the summer side of equinox while in Hawaii August (when the 338

measurements were made) is also one month on the summer side of equinox. The midday value of β 339 found at Karratha (0.48 km⁻¹) is thus very comparable with that found for Hawaii (0.49 km⁻¹) 340 particularly as Karratha has the higher, though still fairly low, magnetic dip latitude (~36° for NWC-341 Karratha, 20° for NPM-Keauhou), and so a slightly lower value of β is to be expected there. This 342 midday value of $H' = 69.7 \pm 0.4$ km at Karratha is somewhat higher than the value of $H' = 69.3 \pm 0.3$ 343 km found here for Hawaii. Clearly the difference might be just within the estimated experimental 344 errors. However, Thomson et al. [2011b] used the neutral atmosphere MSIS-E-90 model to find the 345 expected changes in H' with latitude and season. These results show that H' would be expected to be 346 lower in August at ~20° N (Hawaiian latitudes) by ~0.3 km in comparison with ~21° S in October 347 (NWC-Karratha latitudes). Thus the midday agreement in H' between the Hawaiian and N.W. 348 Australian measurements appears to be as good as $\sim 0.1 \pm 0.3$ km. 349

350

4.2 Changes in H' and β with Daytime Solar Zenith Angle

Phase and amplitude measurements for the short, virtually all-sea, NPM-Keauhou path in Hawaii 352 were made over a wide range of daytime solar zenith angles and compared with ModeFinder 353 calculations resulting in determining how H' and β vary with solar zenith angle at much lower 354 latitudes (20° N, geographic and magnetic dip) than previously determined. These results were then 355 compared with those from the short NWC-Karratha path near the coast of N.W. Australia at a similar 356 357 geographic latitude ($\sim 21^{\circ}$ S) but slightly higher magnetic dip latitude ($\sim 36^{\circ}$). While the agreement between the two sets of measurements was generally reasonable, it was noted that for the NWC-358 Karratha path, as the solar zenith angle increased towards dawn and dusk, β fell only to ~0.37 km⁻¹ 359 whereas for the lower latitude, all-sea, Hawaiian path it fell to ~ 0.30 km⁻¹. This was tentatively 360 attributed mainly to a combination of the much lower proportion of ionospherically reflected signals 361 at the higher magnetic dip latitudes near Karratha and the influence of the low conductivity ground on 362 part of the NWC-Karratha path. 363

To check whether the low ground conductivity and the low proportion of ionospherically reflected 365 wave at the receiver were likely to be the cause of these anomalously high values of β at high solar 366 zenith angles for the NWC-Karratha path, diurnal phase and amplitude changes from the long, mainly 367 low latitude, transequatorial, nearly all-sea path, NWC-Kyoto, were compared with calculations. The 368 resulting H' and β changes with solar zenith angle for this long path agreed well with the short path 369 results except that the long-path β clearly went well below 0.30 km⁻¹. For this long path the amplitude 370 of any ground wave at the receiver is negligible; virtually all of the signal at the receiver is 371 ionospherically reflected 'sky' wave. These long path results thus strongly support the ionospheric 372 sharpness, β , going below 0.30 km⁻¹ towards dawn and dusk. 373

374

364

However, as mentioned in s3.3 above, there remained the possibility that β goes below 0.30 km⁻¹ 375 only for magnetic dip latitudes below ~20°. Much of the transequatorial, NWC-Kyoto path would thus 376 have low β and hence high attenuation (near dawn and dusk) so that the whole path would necessarily 377 show low β and high attenuation as observed. To test if β also goes below 0.30 km⁻¹ for somewhat 378 higher latitudes, phase and amplitude changes recorded on the long, 3.5-Mm, NAU-St. John's path 379 were also compared with calculations. Again β was found to go well below 0.30 km⁻¹ for this path, 380 which spans a dip latitude range ~26-50°. It is thus clear that the ionospheric sharpness, β , does go 381 well below 0.30 km⁻¹ near dawn and dusk at least from equatorial latitudes to low mid-latitudes. 382

383

384 Acknowledgements

The authors are very grateful to David Hardisty for his design, development and construction of the portable VLF phase meter. The recorded data used in Figures 2 and 7 are available on British Antarctic Survey web site, <u>http://psddb.nerc-bas.ac.uk</u>. The data measurements underlying Figures 3 and 5 are available from author N.R.T., while those for Figure 6 come from *Araki et al.* [1969].

389 **References**

- Araki, T., S. Kitayama, and S. Kato (1969), Transequatorial reception of VLF radio waves from
 Australia, *Radio Sci.*, 4(4), 367-369.
- 392 Banks, P. M., and G. Kockarts (1973), Aeronomy, Academic, New York.
- Berry, L.A. and J.E. Herman (1971), *A wave hop propagation program for an anisotropic ionosphere*,
 OT/ITS Research Rep. 11, U.S. Dept. of Commerce, Boulder, Colo.
- Clilverd, M. A., C. J. Rodger, N. R. Thomson, J. B. Brundell, Th. Ulich, J. Lichtenberger, N. Cobbett,
- A. B. Collier, F. W. Menk, A. Seppälä, P. T. Verronen, and E. Turunen (2009), Remote sensing
- 397 space weather events: the AARDDVARK network, Space Weather, 7, S04001,
- doi:10.1029/2008SW000412.
- 399 Ferguson, J. A. and F. P. Snyder (1990), Computer programs for assessment of long wavelength radio
- 400 communications, version 1.0: Full FORTRAN code user's guide, *Naval Ocean Systems Center Tech*.

401 Doc. 1773, DTIC AD-B144 839, Def. Tech. Inf. Cent., Alexandria, Va.

- Friedrich, M., and K.M. Torkar (1998), Empirical D-region modelling, a progress report, *Adv*.
 Space Res., 22(6), 757-766.
- Han F., and S.A. Cummer (2010), Midlatitude daytime D region ionosphere variations measured from
 radio atmospherics, *J. Geophys. Res.*, *115*, A10314, doi:10.1029/2010JA015715.
- 406 Han F., S.A. Cummer, J. Li, and G. Lu (2011), Daytime ionospheric D region sharpness derived from
- 407 VLF radio atmospherics, J. Geophys. Res., 116, A05314, doi:10.1029/2010JA016299.
- 408 Heaps, M.G. (1978), Parameterization of the cosmic ray ion-pair production rate above 18 km. *Planet*.
- 409 *Space Sci.*, 26, 513-517.
- McRae, W. M. and N. R. Thomson (2000), VLF phase and amplitude: daytime ionospheric parameters, *J. Atmos. Sol.-Terr. Phys.*, 62(7), 609-618.
- 412 Mechtly, E.A., S.A. Bowhill, and L.G. Smith (1972), Changes of lower ionosphere electron
- 413 concentrations with solar activity, J. Atmos. & Terr. Phys., 34(11), 1899-1907.

415 ELF/VLF/LF mode constants in an Earth-Ionosphere Waveguide. Naval Electr. Lab. Cent. Interim

416 Rep. 77T, NTIS Accession ADA032573, Natl. Tech. Inf. Serv., Springfield, VA.

- Thomson, N. R. (1993), Experimental daytime VLF ionospheric parameters, *J. Atmos. Terr. Phys.*, 55,
 173-184.
- Thomson, N.R. (2010), Daytime tropical D-region parameters from short path VLF phase and
 amplitude, *J. Geophys. Res.*, *115*, A09313, doi:10.1029/2010JA015355.
- 421 Thomson, N. R. and M. A. Clilverd (2001), Solar flare induced ionospheric D-region enhancements

from VLF amplitude observations. J. Atmos. Sol-Terr. Phys., 63(16), 1729-1737.

- 423 Thomson, N.R., M. A. Clilverd and C. J. Rodger (2011a), Daytime midlatitude D-region parameters at
- 424 solar minimum from short-path VLF phase and amplitude, *J. Geophys. Res.*, *116*, A03310,
 425 doi:10.1029/2010JA016248.
- 426 Thomson, N.R., C. J. Rodger and M. A. Clilverd (2011b), Daytime D-region parameters from long-

427 path VLF phase and amplitude, *J. Geophys. Res.*, *116*, A11305, doi:10.1029/2011JA016910.

- 428 Thomson, N.R., C. J. Rodger and M. A. Clilverd (2012), Tropical daytime lower D-region
- 429 dependence on sunspot number, J. Geophys. Res., 117, A10306, doi:10.1029/2012JA018077.
- 430 Vincenty, T. (1975), Direct and inverse solutions of geodesics on the ellipsoid with application of
- 431 nested equations, *Survey Review*, 23(176), 88-93.
- Wait, J.R., and K.P. Spies (1964), Characteristics of the Earth-ionosphere waveguide for VLF radio
 waves. *NBS Tech. Note 300*, Natl. Bur. of Stand., Boulder, Colo.
- 434 Yoshida, M., T. Yamauchi, T. Horie, and M. Hayakawa (2008), On the generation mechanism of
- 435 terminator times in subionospheric VLF/LF propagation and its possible application to
- 436 seismogenic effects, *Natural Hazards Earth System Sci.*, 8, 129-134.
- 437
- 438

Table

Table 1. Calculated Kauai-Keauhou Free-Space Delay Differences^a

| | | · · · · · · · · · · · · · · · · · · · | | |
|--------------------------------|----------|---------------------------------------|--------|--------|
| Locations/Paths | Latitude | Longitude | Dist. | Delay |
| | (deg) | (°E.) | (km) | (µs) |
| NPM | 21.4202 | -158.1511 | | |
| Keauhou Beach Resort | 19.5765 | -155.9680 | 305.81 | 1020.1 |
| Lydgate Park, Kauai | 22.0385 | -159.3362 | 140.42 | 468.4 |
| Δf: Keauhou – Lydgate | | | 165.39 | 551.7 |
| Δf : modulo half cycle | | | | 14.3 |

^aRows 1–4 show the locations/paths with calculated distances and free space delays for NPM-Keauhou, NPM-Lydgate and Lydgate-Keauhou. Row 5 then shows the Lydgate-Keauhou free-space delay difference modulo half a cycle of 21.4 kHz. 442

444 **Figure Captions**

451

Figure 1. The 306-km path (red) used to determine tropical D-region values of H' and β as functions of solar zenith angle. The shorter 140-km path (blue) enabled the phase and power of the transmitter itself to be determined.

Figure 2. Phases and amplitudes of NPM recorded at Forks WA near Seattle, while NPM portable
loop measurements were being made in Hawaii. (02 UT on 21 Aug 2012 UT is shown as 26 UT on 20
Aug 2012 UT etc. to give convenient plot continuity across the UT date change near path midday.)

of *H*' and β . Also shown are the observed midday phase and amplitude which thus give H' = 69.3 km and $\beta = 0.49$ km⁻¹ for midday.

Figure 3. Calculated phases and amplitudes for NPM (21.4 kHz) to Keauhou (306 km) as functions

- The larger open circles (blue for morning and purple for afternoon) show representative points plotted
 from Figure 4 and used in Figure 5, as explained in the text.
- 456 Figure 4. Observed phases and amplitudes (dB > 1 μ V/m) of NPM at Keauhou, Big Island, Hawaii,
- 457 19-25 August 2012. The vertical dashed lines show midday local time. (As in Figure 2, 02 UT on 21
- 458 Aug is shown as 26 UT on 20 Aug etc.)
- 459 **Figure 5.** Observed height, H', in km, and sharpness, β , in km⁻¹ versus solar zenith angle for the
- 460 tropical short paths, NPM to Keauhou (August, 2012) and NWC to Karratha (October, 2011).). The
- blue crosses and dashed lines are the reflections about noon of the (black) NPM afternoon data
 to allow comparison with morning data as discussed in the text.
- **Figure 6.** Observed height *H*', in km, and sharpness β , in km⁻¹, versus solar zenith angle for the low latitude short path NPM to Keauhou (August 2012) compared with the mainly low latitude, transequatorial, long path NWC, N.W. Australia, to Kyoto, Japan (August 1968).
- 466 Figure 7. Observed H' and β versus solar zenith angle for the low to mid-latitude path from NAU,
- 467 Puerto Rico, to St. John's NL Canada (June 2013) compared with H' and β from the NPM-Keauhou
- short, low latitude path.













