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**Links between mesopause temperatures and ground-based VLF narrowband  
radio signals**

Israel Silber<sup>1</sup>, Colin Price<sup>1</sup>, Craig J. Rodger<sup>2</sup> and Christos Haldoupis<sup>3</sup>

<sup>1</sup>Department of Geophysical, Atmospheric and Planetary Sciences

Tel Aviv University, Israel

<sup>2</sup>Department of Physics, University of Otago, New Zealand

<sup>3</sup>Physics Department, University of Crete, Greece

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19

20 **Abstract**

21 The Upper Mesosphere-Lower Thermosphere (UMLT) region of the atmosphere is known to vary on  
22 many temporal and spatial scales. However, this region of the atmosphere is very difficult to measure  
23 and monitor continuously. In this paper we demonstrate an intriguing connection between mesopause  
24 temperatures and the intensity of Very Low Frequencies (VLF) narrowband (NB) signals reflected  
25 off the lower ionosphere. The temperature data used are from the SABER instrument on-board the  
26 TIMED satellite, while the VLF data are obtained from various ground-based receiving systems. The  
27 results of the analysis show a high anti-correlation between temperature and VLF amplitude. It is  
28 shown that the variability of the UMLT temperatures and VLF amplitudes can be explained by  
29 global seasonal solar irradiance changes (~72% of the variability), while the remaining variability  
30 has its origins from other sources (~28%). High resolution mesopause temperature estimates might  
31 be achieved in the future by combining VLF NB observations and calculated solar irradiance  
32 variability (as a function of hour, day, and location, i.e., latitude).

## 34 **1. Introduction**

35 The mesopause region of the Earth's atmosphere, which is sometimes referred to as the Upper  
36 Mesosphere-Lower Thermosphere (UMLT) region, is the layer between the mesosphere and the  
37 thermosphere, lying between 80 km (summer) and 100 km (winter) altitude [Havnes et al., 1990; Yu  
38 and She, 1995; Ortland et al., 1998; Smith, 2004; Bittner et al., 2010], and represents the coldest  
39 region of the atmosphere. This region of the atmosphere is also part of the D and E layers of the  
40 ionosphere, the electrified part of the atmosphere [Kamide and Chian, 2007].

41 The mesopause region's height, temperature, and thickness, together with the electrical conductivity  
42 of this part of the ionosphere are known to vary on different spatial and temporal scales, from local  
43 up to global scale, and from temporal scales of seconds up to periods of years. Some of these  
44 variations are transient, created by phenomena such as gravity waves, infrasound, and geomagnetic  
45 disturbances [e.g., Bittner et al., 2010; Raulin et al., 2010b; Lay and Shao, 2011; Vadas et al., 2012],  
46 while others are periodic, created by natural oscillations like the 11-year solar cycle and the annual  
47 cycle [e.g., Hauchecorne et al., 1991; Thomson and Clilverd, 2000].

48 The annual cycle in the mesopause temperatures has been studied and is well understood, with the  
49 local mesopause temperatures reaching a minimum in summer, and maximum in winter [Kelley,  
50 2009]. Tides and gravity waves propagating into the mesopause from lower atmospheric layers are  
51 the major source of turbulence in this region [Lindzen, 1981]. The atmospheric wind profile in the  
52 winter hemisphere allows predominantly westward propagating gravity waves to travel vertically and  
53 break into the mesopause, thus depositing westward momentum into the region. This momentum  
54 deposition together with the Coriolis effect create a circulation between the two hemispheres that  
55 demands a downward flow in the winter hemisphere, resulting in adiabatic warming of the  
56 mesopause in that hemisphere [Kelley, 2009]. The opposite effect occurs in the summer hemisphere,

57 resulting in the adiabatic cooling of the mesopause in summer. The combination of the above  
58 circulation, along with the weak solar heating that causes the low temperatures of the region, and the  
59 ineffective (due to the low temperatures) infrared cooling response to the temperature gradient,  
60 which is created by the circulation, all cause the cold mesopause to have extremely strong  
61 temperatures differences between the two poles [Smith, 2004].

62 In addition to the mesopause temperatures, the electrical conductivity of the mesopause region also  
63 exhibits an annual cycle. The main sources for ionization of the ionospheric D and E layers are solar  
64 Extreme Ultra Violet (EUV) and X-ray radiation [Hargreaves, 1995]. However, the ionosphere's  
65 composition is also affected by the medium's temperature and density [Taubenheim, 1983;  
66 Hargreaves, 1995; Kopp, 2000; Kamide and Chian, 2007]. Since the available incoming solar  
67 radiation is a function of the solar zenith angle, which depends on the latitude, time of day, and stage  
68 of the annual cycle [Kopp, 2000], the ion composition and therefore also the electrical conductivity  
69 of the region depend on the time of year.

70 The high concentrations of electrons and ions in the ionosphere makes it act as a conductor,  
71 particularly for lower frequency electromagnetic waves [Appleton, 1932; Reuveni and Price, 2009].  
72 As a result, the layer of air between it and the conductive Earth acts as an electromagnetic waveguide  
73 for very low frequency (VLF) waves [Hargreaves, 1995; Williams and Satori, 2007]. Therefore,  
74 modifications of the conductivity in the D-layer will influence VLF signals propagation [Ahrens,  
75 2000; Inan et al., 2010].

76 VLF signals in the atmosphere are generated both by man-made sources (e.g. VLF communication  
77 transmitters) and by natural sources (e.g. lightning discharges) [Barr et al., 2000]. They can travel  
78 within the Earth-ionosphere waveguide over long distances (tens of megameters) with low  
79 attenuation ( $\sim 2$  dB per Mm), being reflected between the D-layer of the ionosphere and the Earth's  
80 surface [Wait, 1957b; Rodger and McCormick, 2006]. Because VLF received signals inherently

81 contain information of the reflection height's region and its variability [Inan et al., 2010], their  
82 measurements allow monitoring the D-layer near the mesopause, and its variability.

83 This paper looks at the connection between mesopause temperatures and the amplitude of VLF  
84 narrowband (NB) signals.

85

## 86 **2. Instrumentation**

### 87 **2.1 VLF antennas**

88 The primary VLF receiver stations used in this study are located in two different sites in Israel. The  
89 first is located at the Emilio Segre' Observatory of the Israeli Cosmic Ray and Space Weather Center,  
90 at Mt. Hermon (MH) in the north part of Israel (33.18°N, 35.47°E), and is part of the AWESOME  
91 network described in Cohen et al. [2010]. Its antenna is built from two orthogonal triangular loop  
92 antennas. Each loop has a baseline of 2.6 meters, and 1.3 meters height, giving an area of  
93 approximately  $1.69 \text{ m}^2$  for each loop, and has a total number of 12 turns. The loop antenna  
94 impedance is 0.85 mH and  $1 \Omega$ .

95 The second receiver is located at the Desert Research Institute of Ben-Gurion University, at Sde-  
96 Boker (SB) in the Israeli Negev Desert (30.5°N, 34.4°E). It has International Geophysical Year type  
97 loop antennas, and is similar to the antenna at Palmer Station, Antarctica, operated by Stanford  
98 University's VLF group [Reising et al., 1996]. Each of the two orthogonal loops has a baseline of 18  
99 meters, and 9 meters height, giving an area of approximately  $81 \text{ m}^2$  for each loop, which is single  
100 turned. The loop antenna impedance is 65 mH and  $0.061 \Omega$ .

101 In addition to the primary VLF stations mentioned above, data from additional VLF receivers were  
102 used in this study. One is located in Crete (CR), Greece (35.3°N, 25.1°E) and is also part of the  
103 Stanford University VLF AWESOME network. Another is located in Dunedin (DN), New Zealand

104 (45.8°S, 170.5°E), is part of the AARDDVARK network [Clilverd et al., 2009] and is operated by the  
105 University of Otago.

106 In all antennas, one loop is aligned in the north-south direction of propagation, and the other in the  
107 east-west direction of propagation. The data acquisition of signals received by each antenna is made  
108 using software that records NB data at specific frequencies (frequencies which correspond to VLF  
109 communication transmitters around the world). The NB data used and analyzed in this study were  
110 generally continuously recorded at a rate of 1 Hz.

## 111 **2.2 The SABER instrument**

112 The SABER (Sounding of the Atmosphere using Broadband Emission Radiometry) instrument  
113 which is on-board the TIMED (Thermosphere-Ionosphere-Mesosphere Energetics and Dynamics)  
114 satellite, was launched in December 2001 and has collected data more or less continuously since  
115 January 2002 [Riggin et al., 2006]. SABER is a 10 channel broadband, limb-viewing, infrared  
116 radiometer [Mlynczak, 1997]. It views the atmosphere at a right angle to the satellite velocity vector,  
117 so that as a result of the satellite's nearly circular orbit at an altitude of 625 km and with an  
118 inclination of  $\sim 74^\circ$ , the latitude coverage of the instrument on a given day extends from about  $53^\circ$   
119 latitude in one hemisphere to  $83^\circ$  in the other [Russel III et al., 1999; Siskind et al., 2005; Zhang et  
120 al., 2006]. This viewing geometry alternates every 60 to 63 days due to  $180^\circ$  yaw maneuvers required  
121 for the TIMED satellite [Zhang et al., 2006; Remsberg et al., 2008]. Thus, SABER generally  
122 provides continuous measurements for the latitudes of  $\pm 53^\circ$ .

123 Among other parameters, such as ozone, water vapor, carbon dioxide, nitric oxide, and airglow  
124 emissions, the SABER instrument provides measurements of the temperature at altitudes from  $\sim 16$ -  
125  $\sim 120$  km (with an accuracy of  $\sim 1$ -2 K), using the  $\text{CO}_2$   $15 \mu\text{m}$  wavelength emission [Mlynczak, 1997;  
126 Russel III et al., 1999; Garcia et al., 2005; Siskind et al., 2005; Riggin et al., 2006; Zhang et al.,

127 2006]. These temperature profiles were used in the current study for comparison with the VLF NB  
128 data.

129

### 130 **3. Methodology**

131 As mentioned above, variations in the received VLF NB signals depend on the Earth-ionosphere  
132 waveguide conditions i.e., mainly the electron number density profile in the ionosphere's D-layer.  
133 Changes in the electron number density (henceforth electron density) profile itself or its altitude  
134 cause the VLF reflection height to change, as VLF waves tend to reflect at an electron number  
135 density of roughly  $300 \text{ electrons cm}^3$  [Mambo et al., 1983]. VLF communications transmitters, that  
136 broadcast continuous signals from a fixed location with a constant amplitude and frequency, enable  
137 the monitoring of the ionosphere's D layer, and hence the mesopause region along the Great Circle  
138 Path (GCP) between the transmitter and the VLF antenna. In addition, changes in the electron density  
139 profile within the D-layer cause the phase of the received transmitter's wave to change [e.g., Raulin  
140 et al., 2010a; Raulin et al., 2010b]. According to Rishbeth [1990] and Lastovicka et al. [2006], a  
141 future thermal cooling of the thermosphere due to stronger radiative cooling by higher concentrations  
142 of greenhouse gases, will result in the decrease of ionospheric layer heights. This possible climate  
143 change effect on VLF amplitudes was the key motivation for the comparison between the SABER  
144 temperature data and the received VLF transmitter signal amplitude.

145 For this study, we limit ourselves to considering a small number of VLF transmitters. Each  
146 transmitter is know by a three-letter callsign, which for the case of transmitters considered here are:  
147 NWC (North West Cape, Australia, 19.8 kHz), NRK (Grindavik, Iceland, 37.5 kHz), NSC (Sicily,  
148 Italy, 45.9 kHz), and DHO (Rhauderfehn, Germany, 23.4 kHz). NB VLF amplitude data  
149 corresponding to several paths (different transmitters and receivers) were used and analyzed. The  
150 data sets were chosen so that during each period, the VLF data were fairly complete, and the

151 transmitter was operating at the same power. To be consistent, one hour averages of daytime VLF  
152 amplitude were used (12-13 UT for all paths except DN-NWC, for which 23-00 UT was taken), in  
153 order to represent the sunlit "daily" amplitude value. This period was chosen for being close to local  
154 midday, the most stable VLF amplitude period of the day, when solar radiation dominates the  
155 ionization processes in the ionospheric layers [Kamide and Chian, 2007]. This stable period is seen  
156 in Figure 1, which shows the signal received at MH on 7 October 2011 from the NSC transmitter,  
157 located in Sicily, Italy. It is clearly seen that the one-hour averaged window (marked by the red  
158 rectangle and arrow) is representative of a stable reception period during the day.

159

160

**(Figure 1)**

161

162 In order to gather sufficient SABER data that will best represent the atmosphere along the GCP, each  
163 GCP was arbitrarily split into 11 boxes, where the transmitter and receiver lie at the center of the  
164 edge boxes, with approximate width of 10 degrees longitude as illustrated in Figure 2 for the SB-  
165 DHO and MH-NSC paths. The SABER data used consists of all the temperature data (day and night)  
166 within the boxes, in the altitude range of 17-100 km. Although the VLF data was taken only for one  
167 specific hour during the day, all of the SABER data was used. That is because observations made by  
168 the SABER instrument, as with any polar-orbiting satellite, provide snapshots of data, with  
169 measurements at different locations made at different universal times [Garcia et. al., 2005].  
170 Moreover, the measurement time at a specific location is not constant each day and tends to vary.  
171 Finally, because of the relatively small size of the boxes, the number of SABER data samples along  
172 the GCP for each day are relatively low, and do not always consist of data from all of the boxes.  
173 Therefore, in order to use a reliable measure that will best represent the average temperature along  
174 the GCP, the entire SABER data along the path was used. The above limitation of the SABER data



175 will be further discussed in the Discussion section. Figure 3 shows an example of the SABER  
176 temperature data for the MH-NSC data set. The atmospheric regions up to the mesopause can be  
177 clearly seen, as well as the cooling and warming of the mesopause during the hemispheric summer  
178 and winter, respectively. Note the variability in the stratosphere and stratopause temperatures in  
179 winter months, while calm conditions prevail in the summer months.

180

181 **(Figure 2)**

182

183 **(Figure 3)**

184

185 Each data set corresponding to a certain transmitter-receiver path was smoothed by a 15-20 day  
186 running average (in consideration of the data set length and the available amount of VLF data). The  
187 SABER data was divided into vertical layers, each one 3 km thick. We then compared the  
188 temperature and the daily average NB VLF amplitude time series, searching for the SABER altitudes  
189 which showed the highest correlations with the VLF data (negative and positive). It should be noted  
190 that the (Pearson's) R correlation coefficient was calculated for independent points, i.e., each point  
191 represent 15-20 measurement days, which were averaged only for this point. Thus, the correlation  
192 represents mainly the parameters seasonal behavior.

193

## 194 **4. Results**

### 195 **4.1 Temperature-VLF correlations**

196 The VLF amplitude data and the SABER temperature profile were analyzed and compared for each  
197 SABER layer and transmitter-receiver GCP. Figure 4 shows the 20-day-running-average of VLF

198 amplitude (black curve), together with the time series of the SABER layers with maximum  
199 correlation (negative and positive) for three data sets (SB-DHO 2009, DN-NWC 2006-2007, and  
200 MH-NSC 2009-2011). All parameters were normalized (values between zero to one) to better  
201 visualize the similarity in the data sets behavior (the VLF amplitudes were normalized from their dB  
202 values). The gaps in the plots are due to gaps in the VLF data and so the temperature values weren't  
203 plotted during these gaps in order to give a true perspective of the available data for comparison. The  
204 seasonal cycle can be clearly seen in all of the time series as the most dominant pattern. In the data  
205 sets which are illustrated in Figure 4, a very high correlation was found between the VLF amplitude  
206 data and SABER measured temperatures for altitudes around 30-40 km. In addition, a very high  
207 negative correlation was found between VLF data and mesopause temperatures around 80-90 km  
208 altitude. Thus, a decrease/increase in the VLF amplitude usually comes hand-in-hand with a  
209 decrease/increase of the temperatures in the stratosphere layer, and with an increase/decrease of the  
210 temperatures at the mesopause layer. This behavior can be seen not only in the annual scale, but  
211 many times also in shorter time scales, as demonstrated in Figure 4. Note the remarkable mirror-like  
212 similarity between the behavior of the VLF amplitude and mesopause temperatures in the DN-NWC  
213 2006-7 data set (Figure 4b).

214

215

#### (Figure 4)

216

217 The maximum positive correlations between the temperatures and amplitudes were found for  
218 altitudes mostly in the stratosphere and lower mesosphere, even though VLF waves are not reflected  
219 off these atmospheric layers. In contrast, the statistically significant maximum negative correlations  
220 were mostly located around the mesopause, above the typical daytime VLF reflection altitude. These  
221 results are summarized in Table 1, showing the time series dates, the number of running average

222 days, the value of the Pearson's R correlation coefficient, the corresponding altitude for the  
 223 maximum negative correlation, and the statistical significance ( $p_{val}<0.05$ ). As seen in Figure 4, the  
 224 dominant effect on both the temperature and the VLF amplitude is the annual cycle. The four data  
 225 sets that showed correlation with layers other than the mesopause (see Table 1), usually had VLF  
 226 amplitude behavior that did not follow the typical annual pattern. Nevertheless, for these data sets  
 227 there were also time periods which showed the negative correlation between VLF amplitude and  
 228 mesopause temperatures seen on the other transmitter-receiver paths. It should be mentioned that the  
 229 highly variable local midnight VLF data was also compared to the SABER temperature data, and  
 230 gave very similar results, though noisier. Hence, the authors decided not to include that analysis in  
 231 this paper.

232

Date set	Data set period	Days of running average	Max negative R correlation coefficient	Max Negative correlation Altitude [km]	Significant ( $P_{val}<0.05$ )
SB-NRK2007	23/03/2007-31/08/2007	15	-0.664	23-26	x
SB-NRK2008	08/04/2008-02/08/2008	15	-0.977	74-77	√
SB-NRK2009	10/05/2009-10/12/2009	20	-0.828	89-92	√
SB-DHO2007	23/03/2007-31/08/2007	15	-0.810	65-68	√
SB-DHO2008	08/04/2008-02/08/2008	15	-0.938	77-80	√
SB-DHO2009	10/05/2009-10/12/2009	20	-0.890	86-89	√
SB-DHO2010	12/04/2010-02/08/2010	15	-0.926	26-29	√
SB-NSC2007	23/03/2007-31/08/2007	15	-0.834	80-83	√
SB-NSC2008	08/04/2008-02/08/2008	15	-0.999	44-47	√
SB-NSC2009	10/05/2009-10/12/2009	20	-0.914	86-89	√
SB-NSC2010	12/04/2010-02/08/2010	15	-0.758	35-38	x
SB-NWC2009	10/05/2009-10/12/2009	20	-0.567	77-80	x

<b>MH-NSC2009-2011</b>	<b>01/04/2009-31/04/2011</b>	20	-0.509	86-89	√
<b>DN-NWC2006-2007</b>	<b>01/06/2006-31/05/2007</b>	20	-0.888	80-83	√
<b>CR-DHO2008-2009</b>	<b>01/08/2009-31/12/2009</b>	20	-0.846	95-98	√

233 **Table 1: VLF and SABER temperature data sets negative correlation summary.**

234

235 **4.2 Principle Component Analysis (PCA) of mesopause temperature, VLF amplitude, and solar**  
 236 **irradiance**

237 As mentioned in the introduction, solar irradiance has a large impact on the UMLT region. It effects  
 238 both the mesopause temperatures and the D-region's composition by its entire spectrum, e.g.,  
 239 ionization of NO molecules at the D-region by radiation at a wavelength of 121.5nm (the Lyman- $\alpha$   
 240 emission line) [Kamide and Chian, 2007], chemical heating of the mesopause by photolysis of ozone  
 241 as a result of incoming radiation at wavelengths shorter than 238nm [Smith, 2004], etc. It is also well  
 242 known that VLF propagation can be described through solar zenith angle-dependent variations in D-  
 243 region electron density [e.g., Thomson, 1993].

244 Because of the many processes and reactions in the atmosphere and ionosphere (also above the  
 245 UMLT region) as a result of solar irradiance, we decided to take into account the incoming solar  
 246 radiation, i.e., total solar irradiance (TSI), as function of the solar zenith angle, as described in the  
 247 equation:

248 
$$I_{in} = I_{TOA} e^{-\sec \chi} \quad (1)$$

249 Where  $I_{in}$  is the incoming solar radiation,  $I_{TOA}$  is the total solar irradiance (TSI) at the top of the  
 250 atmosphere (TOA), and  $\chi$  is the solar zenith angle.

251 TSI data measured by NASA's SORCE (Solar Radiation and Climate Experiment) satellite and  
 252 calculated for Earth's TOA was used for  $I_{TOA}$ , and the zenith angle was calculated based on the time

253 of year, for the midpoint of the GCP at 12:30 UT (23:30 UT for Dunedin), in order to be consistent  
254 with the mean hour of day of the VLF amplitude.

255 In order to examine the mutual dependencies of the three parameters, i.e., solar insolation, VLF  
256 amplitude, and mesopause temperatures (represented in this section by SABER 80-90 km mean  
257 temperature data along the GCP, where most of the anti-correlations existed in the former section,  
258 even though it is above the daytime VLF reflection height [McRae and Thomson, 2000]), Principle  
259 Component Analysis (PCA) was performed on each data set. Before initiating the PCA, the SABER  
260 temperature and VLF amplitude data gaps, which were caused by power failures, VLF transmitters  
261 that stopped broadcasting as part of their regular maintenance cycle, lack of satellite overpasses, etc.,  
262 were filled with the use of harmonic analysis [Wilks, 2006]. The few gaps in the SORCE data were  
263 filled with the Cubic Spline interpolation. All the parameter's time series were standardized, i.e.,  
264 scaled so that each data set variance was made equal to one, to make the PCA not emphasize the  
265 parameter with the largest variance. It should be noted that there was no additional smoothing  
266 undertaken (e.g., running average, etc), beyond the processing described earlier.

267 The PCA results for the SB-DHO 2009, DN-NWC 2006-7, and MH-NSC 2009-11 data sets are  
268 demonstrated in Figure 5, showing the time series of each Principle Component (PC) multiplied by  
269 the PC coefficient of each parameter, thus visualizing the amount of representation (or strength) of  
270 that parameter in that PC. Each PC explains a certain amount of the variance of the whole data set.  
271 This amount was calculated by the ratio of the examined PC root to the sum of all PCA roots. Thus  
272 for example, for the data sets shown in Figure 5,  $PC_1$  which obviously shows the pattern of the  
273 annual cycle, explains ~77% of the variance of SB-DHO 2009 data set, ~75% of the variance of DN-  
274 NWC 2006-7, and ~60% of the variance of MH-NSC 2009-11 data set. It can be seen that the VLF is  
275 in phase with the solar insolation and opposite to the mesopause temperatures, similar to what was  
276 seen in Figure 4 as the dominant behavior.  $PC_2$  shows for the three illustrated data sets a very weak  
277 participation of the solar insolation, thus hinting that the origin of these variations seen in the VLF

278 amplitudes and mesopause temperatures, is not connected to the solar cycle. PC<sub>3</sub> shows strong  
279 involvement of the temperature and the solar irradiance, opposite to the relatively weak VLF  
280 participation, possibly indicating a positive feedback between the solar insolation changes and  
281 mesopause temperature, effecting ~10% of its variance.

282

283

**(Figure 5)**

284

285 The PCA, done on all of the data sets gave similar results. Most of the PCs showed the same patterns  
286 as those seen in Figure 5, and described in the paragraph above. The explained variance of the PCs  
287 was also around the same values. Table 2 summarizes the explained variance of the PCs of each data  
288 set. It also shows indices used in Figure 6, which illustrates graphically the explained variance of  
289 each of the 15 data sets PCs. It can be seen that while SB-DHO 2009 had the highest explained  
290 variance from PC<sub>1</sub> and SB-NSC 2008 has the lowest value, the explained variance of PC<sub>1</sub> is around  
291 ~60%, the average value of that PC. As described, this PC is well attributed to the solar annual cycle  
292 and hence, this average value hints about the indirect global solar influence on the VLF and  
293 mesopause temperatures (through gravity waves and tidal forcing, as described in the Introduction  
294 section). Because of the strong involvement of the solar insolation in PC<sub>3</sub>, it can be deduced that an  
295 additional ~11% (on average) of the data variance (mostly in the mesopause temperature) are  
296 affected by the solar insolation changes with a positive feedback, contrary to the negative feedback  
297 in PC<sub>1</sub>. Finally, because it usually exhibits almost no participation of the solar insolation, PC<sub>2</sub>'s  
298 average value of ~28% indicates that this is the amount of other short time scale forcings on the  
299 daytime VLF amplitude and mesopause temperature, which are not connected to the TSI (see  
300 Discussion section).

301  
302  
303

**Table 2: PCA explained variance summary and data set indices for figure 6. See text for details.**

Figure 6 index	Data set	PC1	PC2	PC3
1	SB-DHO2007	60.92%	30.95%	8.13%
2	SB-DHO2008	59.80%	26.94%	13.26%
3	SB-DHO2009	77.19%	15.20%	7.60%
4	SB-DHO2010	53.02%	31.73%	15.25%
5	SB-NRK2007	65.63%	29.89%	4.48%
6	SB-NRK2008	62.27%	29.83%	7.90%
7	SB-NRK2009	67.62%	25.70%	6.68%
8	SB-NSC2007	55.09%	28.49%	16.42%
9	SB-NSC2008	44.12%	33.66%	22.23%
10	SB-NSC2009	53.45%	32.15%	14.40%
11	SB-NSC2010	53.27%	33.28%	13.45%
12	SB-NWC2009	58.24%	27.92%	13.83%
13	MH-NSC2009-11	59.62%	28.44%	11.94%
14	DN-NWC2006-7	74.58%	17.95%	7.47%
15	CR-DHO2008-9	60.18%	33.58%	6.24%
<b>Average:</b>		<b>60.33%</b>	<b>28.38%</b>	<b>11.28%</b>

304  
305  
306

**(Figure 6)**

## 307 **5. Mesopause temperature estimation using the PCA**

308 As concluded from this analysis, ~72% of the mesopause temperature variability is attributed to  
309 global long term solar influence, while the residual ~28% are attributed to other short term forcings  
310 that also affect the measured VLF NB amplitudes. Using these results, we attempted to estimate the  
311 mesopause temperatures using only the VLF and TSI data.

312 For the temperature estimation to be made, a PCA (without prior execution of harmonic analysis on  
 313 the data) has been performed on the first 80% of each data set. The residual 20% at the end of the  
 314 data set were then used to estimate the mesopause temperatures, and compared to the available  
 315 SABER data, corresponding to the same dates.

316 Generally speaking, the temperature estimation model used the sum of two linear polynomial fits  
 317  $y_1+y_2$ , where the  $y_1$  and  $y_2$  polynomials may be described by the equations

$$318 \quad \left( \text{Coeff}_{T_{PC_2}} \cdot \text{Score}_{T_{PC_2}} \right) \cdot S_{T_1} = y_1 = a \left( \text{Coeff}_{VLF_{PC_2}} \cdot \text{Score}_{VLF_{PC_2}} \right) \cdot S_{VLF} + b \quad (2)$$

$$319 \quad \left( \text{Coeff}_{T_{PC_1}} \cdot \text{Score}_{T_{PC_1}} + \text{Coeff}_{T_{PC_3}} \cdot \text{Score}_{T_{PC_3}} \right) \cdot S_{T_2} = y_2 =$$

$$c \left( \text{Coeff}_{I_{PC_1}} \cdot \text{Score}_{I_{PC_1}} + \text{Coeff}_{I_{PC_3}} \cdot \text{Score}_{I_{PC_3}} \right) \cdot S_I + d \quad (3)$$

320 Where ' $T$ ' is an index for temperature, ' $VLF$ ' stands for the VLF amplitude, and ' $I$ ' stands for the solar  
 321 insolation. ' $a$ ', ' $b$ ', ' $c$ ' and ' $d$ ' are the polynomial's coefficients, ' $\text{Coeff}$ ' and ' $\text{Score}$ ' are the PC  
 322 coefficients and the PC time series for the parameter and its PC number in the subscript. ' $S$ '  
 323 represents a scaling procedure done in consideration of the explained variance of the PC used for the  
 324 linear fit ( $PC_2$  for  $y_1$  and  $PC_1+ PC_3$  for  $y_2$ ), and the known mesopause temperature data. The error  
 325 was taken as the standard deviation of the estimated temperatures.

326 The execution of the temperature estimation procedure showed that in 6 out the 15 data sets, the  
 327 procedure resulted in statistically significant agreement with the known values of the mesopause  
 328 temperatures. However, even when the absolute values did not agree, the estimated temperature  
 329 variability appeared to often follow the true temperature behavior. Figure 7 shows the time series  
 330 plot of the estimated temperatures of the SB-DHO 2010 data set. SB-DHO 2010 was the data set  
 331 which gave the best results with a significant  $R=0.7$  correlation coefficient. The PCA for that data set  
 332 was made over the first 67 data points out of the 84 of the whole data set, while the last 17 were  
 333 estimated (Figure 7). The SABER temperature error bars were calculated for each point as the



334 standard deviation of the temperature values, which were averaged for that point's temperature value.  
335 The estimated temperatures seem to follow rather well the SABER true values, with a 3.9 K mean  
336 difference between the true and estimated values.

337

338 **(Figure 7)**

339

## 340 **6. Discussion**

341 This study was made using data gathered by the SABER instrument and ground-based VLF  
342 antennas, in order to find a link between atmospheric temperatures and VLF NB amplitudes. The  
343 first comparison between VLF NB amplitude and the SABER temperature profile showed that in  
344 most cases the highest anti-correlation was between the NB amplitudes and mesopause temperatures.  
345 Although VLF NB amplitudes were already associated in previous studies to the dynamics and  
346 temperatures of the stratosphere [e.g., Correia et al., 2011], the relation between VLF NB amplitudes  
347 and mesopause temperatures, either direct or indirect, has not been identified previously. However, a  
348 connection between mesopause temperatures and radio waves absorption has been predicted before  
349 [e.g., Taubenheim, 1983].

350 The results were presented here for long term time scales, showing clearly the effect of the solar  
351 annual cycle. Additional analysis of the data (not presented here) was made, where the annual cycle  
352 effects were removed by subtracting the 30-days running average of the data from the unsmoothed  
353 data. This analysis showed that after the removal of the annual cycle, there were still several periods,  
354 up to nine weeks, where the VLF amplitude and the mesopause temperature changes anti-correlated  
355 extremely well, in a way similar as shown in Figure 4. While the reason for this relation between the  
356 two parameters is quite elusive, modal interference and signal absorption may account, at least to  
357 some extent, for these intriguing results.

358 Modal interference has an important effect on the received amplitude of VLF signals, as the received  
359 amplitude is the sum of several waveguide modes [Wait, 1957a]. The signal might be strongly  
360 attenuated if destructive modal interference occurs, which depends on the waveguide's characteristics  
361 and the distance between the transmitter and receiver. A change in the VLF reflection height which  
362 might happen together with a vertical displacement of atmospheric layers, i.e., a downward/upward  
363 displacement of the reflection height occurring together with adiabatic warming/cooling of the  
364 region, will change the modal interference pattern and therefore, the amplitude of the received signal.  
365 This effect can be examined by running the Naval Ocean Systems Center (NOSC) LWPC (Long  
366 Wave Propagation Capability) model [Ferguson, 1998]. We ran the LWPC for each GCP that was  
367 analyzed in this study, in order to examine the amplitude change if the reflection height is displaced  
368 up or down from its "default" value. We decided to represent the default ionosphere in the model  
369 with the parameter values of  $\beta=0.3 \text{ km}^{-1}$  (exponential sharpness factor) and  $h'=74 \text{ km}$  (linked to the  
370 reflection height), which according to McRae and Thomson [2000] are typical daytime values for  
371 low-mid latitudes during solar minimum (all analyzed data sets were around the last solar minimum).  
372 The results of the LWPC model runs are illustrated in Figure 8. It can be seen that for five out of six  
373 GCPs, there is a positive dependence between  $h'$  and the VLF amplitude around the default values,  
374 i.e., an upward/downward displacement of the reflection height results in a higher/lower received  
375 signal amplitude, thus matching the data sets analysis. Nevertheless, this rise of the received signal is  
376 rather weak, not exceeding  $\sim 0.5 \text{ dB/km}$  (except SB-NRK, where the rise is  $\sim 2 \text{ dB/km}$ ). However,  
377 CR-DHO GCP seemed to show an opposite behavior, although the data set of this GCP showed a  
378 similar anti-correlation pattern with temperature like most other data sets. Therefore, we conclude  
379 that modal interference cannot be the main and only reason for the good correlation between  
380 mesopause temperatures and VLF amplitudes. However, it may explain the data sets where the  
381 mesopause temperatures had a positive correlation with the VLF amplitudes or very weak anti-  
382 correlation, if the "default"  $h'$  was shifted by a few kilometers up or down.

383

384

**(Figure 8)**

385

386 On the other hand, VLF absorption might also play a role in the observed day-to-day anti-correlation  
387 between UMLT temperatures and VLF NB amplitudes. The absorption coefficient  $\kappa$  refers to the  
388 distance over which the amplitude of a radio signal drops by a factor of  $1/e$  from its original  
389 magnitude. For VLF waves  $\kappa \propto N_e/\nu$  where  $N_e$  is the electron density and  $\nu$  is the electron-neutral  
390 collision frequency [Hargreaves, 1995]. According to McCormick et al. [2002]  $\nu \propto [X] \cdot T_e^{n(X)}$  where  
391  $[X]$  is the abundance of an atmospheric neutral species  $X$ ,  $T_e$  is the electron temperature, and  $n(X)$  is a  
392 positive number that depends on the species. In the model used by Rodger et al. [1998] and Rodger  
393 et al. [2007] the change in the electron density  $N_e$  is described by the equation

394 
$$\frac{\partial N_e}{\partial t} = q - \beta N_e - \alpha N_e^2 \quad (4)$$

395 Where  $q$  is the ionization rate,  $\alpha$  is the recombination coefficient, and  $\beta$  is the attachment rate. As for  
396 their dependencies with temperature,  $\alpha \propto 1/T_e^m$  where  $m$  is a positive number which depends on  
397 altitude, and  $\beta$  is a function of  $T_e$ ,  $T_n$  (neutral's temperature), and the atmospheric species chemical  
398 reaction [Rodger et al., 1998; Rodger et al., 2007]. Thus,  $\alpha$  decreases as  $T_e$  increase, and  $\beta$  might  
399 decrease as  $T_e$  increase, thus resulting in a higher electron density.

400 Since electrons thermalize very quickly in the D region, we take  $T_e = T_n$ . By examining the  
401 absorption coefficient dependencies over the temperature which were described in the last paragraph,  
402 we can see that as the temperature (in the D-region) increases,  $\nu$  increases and so does the change in  
403 electron density  $\partial N_e/\partial t$ . In a situation where  $N_e$  is more sensitive to the temperature than  $\nu$ , a higher  
404 temperature will result in a higher  $\kappa$  and hence, a lower received VLF amplitude, matching the results

405 of this study. Certainly, this is a rather simplified approach which can only arrive at qualitative  
406 conclusions.

407 To summarize, both mechanisms together, i.e., modal interference and signal absorption, are  
408 potentially capable in explaining, at least qualitatively, the connection between VLF received  
409 amplitudes and mesopause temperatures. They may also explain the opposite connection seen in  
410 some data sets, where the mesopause temperatures had a positive correlation with the VLF  
411 amplitudes, by a change in the typical reflection height or by the collision frequency governing the  
412 electron density. However, the dependencies seen in both mechanisms are not linear, as the  
413 correlation found from this study's analysis show, but rather exponential and more complex. Thus, it  
414 may be possible that other mechanisms are responsible together with those presented here, which  
415 may result in the connection between temperatures and VLF amplitudes. Obviously, this topic needs  
416 more study which, however, is beyond the scope of the present paper.

417 With respect to the UMLT temperature and VLF amplitude, both are known to vary, either directly  
418 or indirectly, as a function of solar irradiance. In order to examine the amount of solar influence on  
419 these quantities, PCA has been performed on the calculated solar insolation, the VLF amplitude and  
420 the mesopause (80-90 km SABER) temperatures. The PCs time series together with the explained  
421 variance have shed some light on the external forcing of the examined quantities, disassembling the  
422 acquired signals to their root patterns. It was concluded from this analysis that ~72% of  
423 VLF/mesopause temperature's fluctuations are affected by solar irradiance changes on large spatial  
424 and temporal scales, while 28% of the changes are a result from other short time scale forcings.  
425 These forcings may be external such as solar flares (that cannot be noticed in the TSI, as most of  
426 their energy is emitted in the EUV and X-ray wavelengths), or internal, e.g., particle precipitation,  
427 red sprites, gravity waves, tides, etc. [Barr et al., 2000; Inan et al., 2010]. Because the VLF data was  
428 1-hour-averaged, the very short phenomena, i.e., red sprites, particle precipitation, etc. are less likely  
429 to affect the amplitude values used in this analysis. In addition, while solar flares do exist during

430 solar minimum, it is less likely that solar flares had significant influence on the PCA, due to the  
431 weaker and less frequent flares at solar minimum. Therefore, we may infer that the residual 28% of  
432 the measured variations are most likely the result of mainly internal sources such as gravity waves  
433 and atmospheric tides.

434 Using the PCA results, a simple model for mesopause temperature estimation was developed. The  
435 estimated temperatures were often close to the SABER values and also followed the temperature  
436 behavior and day-to-day changes. Nevertheless, most of the data sets did not show a statistically  
437 significant correlation between the true and estimated temperature values, and sometimes even  
438 showed an opposite behavior. However, there seems to be some basis and need for additional work  
439 on the topic.

440 With all of the invested work for this study, there are several issues which were beyond the  
441 capabilities of the authors which eventually, might have caused some bias in the results. These  
442 include the low amount of daily overpasses of the TIMED satellite and hence, the low spatial and  
443 temporal resolution of the SABER data may have caused inaccuracies in the temperature along the  
444 various transmitter-receiver GCPs. Also, the daily amount of vertical temperature profile "snapshots"  
445 taken by SABER was rather poor, with an average of 2-4 per day, thus creating a situation where 2-4  
446 data boxes (see Figure 2) represent the whole GCP, which was represented by 11 data boxes. This  
447 bias was avoided using the 15-20 days running average which represented better the whole GCP, but  
448 in the other parts of this study (PCA and temperature estimations), the opportunity to do the same  
449 was not possible. Additional problems with the SABER data, which were already mentioned in  
450 section 3, are that the snapshots at different locations are made at different universal times, and the  
451 measurement time at a specific location is not constant each day and tends to vary. Furthermore,  
452 comparing these data to the VLF and solar insolation data, which were taken for a specific time of  
453 day, may have introduced a bias in the analysis. The same analysis was tried using only the SABER  
454 measurements which were taken during day, thus dealing somehow with this bias, but the lack of

455 data became too serious. Finally, the uneven representation of the GCP also resulted due to the polar  
456 orbiting nature of the TIMED satellite, which created a situation where large amounts of data for  
457 boxes around  $\sim 50^\circ$  latitude were acquired, while data for boxes of latitudes higher than  $\sim 53^\circ$  were  
458 rather poor.

459 Nevertheless, the results of this study, which are new, seem to be significant and important. Since the  
460 solar insolation value for the needs of the temperature estimation is a function of time and location  
461 (by using the average solar constant and equation (1)), VLF NB observations may provide a useful  
462 tool to estimate the values and variability of mesopause temperatures. This mesopause monitoring  
463 may be important in many aspects of UMLT studies, such as climate change. The observed cooling  
464 trend of the middle-mesosphere as a result of climate change is ten times stronger than the equivalent  
465 warming of the troposphere, thus resulting in a much higher signal-to-noise ratio in the mesosphere  
466 [Lastovicka et al., 2006]. Therefore, mesopause monitoring through VLF measurements might prove  
467 to be a good proxy for ongoing climate change. There are many VLF transmitters dispersed around  
468 the world, and many more receiving antenna [e.g., Clilverd et al., 2009; Raulin et al., 2009; Cohen et  
469 al., 2010], thus creating thousands of possible transmitter-receiver GCP. Thus, a high spatial and  
470 temporal coverage of mesopause temperatures, especially compared to polar satellite measurements,  
471 might be achieved at a comparatively low cost by further improving of the temperature estimation  
472 method, and by analyzing more and longer data sets. Each of these recommendations demand further  
473 studies and work to be done, which might be valuable for the atmospheric research community.

474

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480

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625 **irradiance (solid blue line), and 80-90 km average temperature (solid red line). The explained**  
626 **variance of each PC is written above each window.**

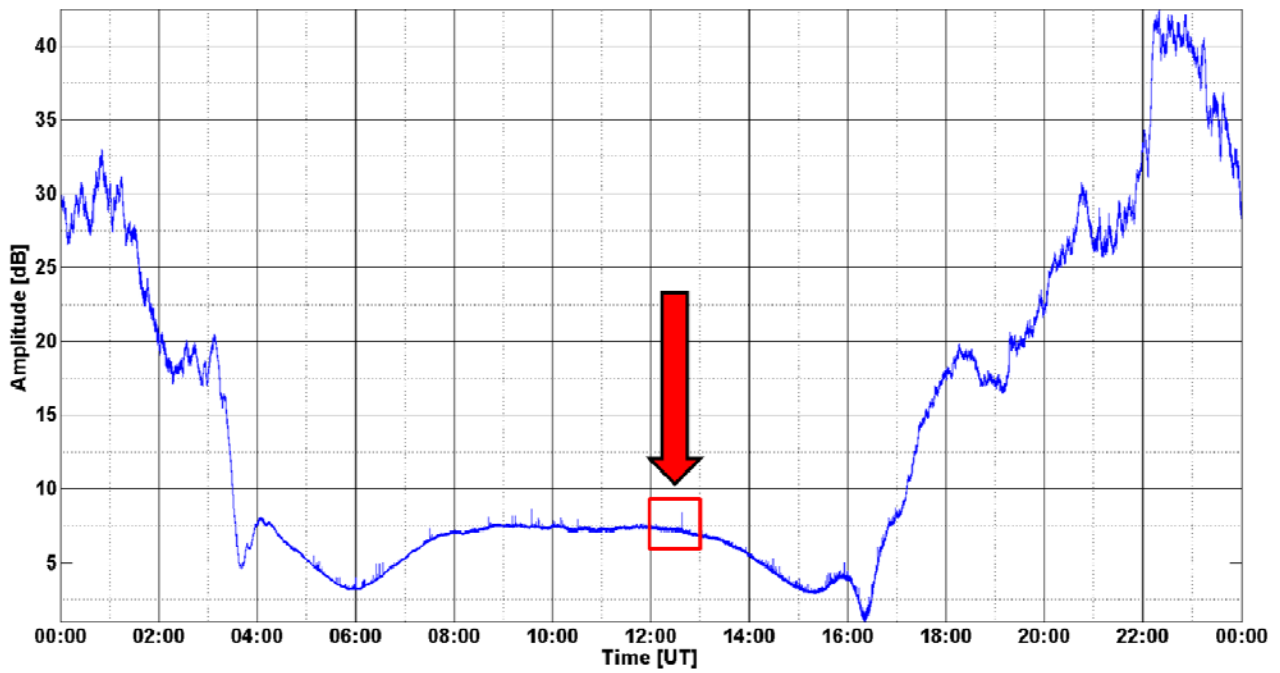
627 **Figure 6: PCA explained variance for all data sets. Indices for the data sets numbers are shown**  
628 **in Table 2.**

629 **Figure 7: Temperature estimation (dashed black) and SABER temperature (solid blue) time**  
630 **series for SB-DHO 2010 data set. The Pearson's correlation coefficient and  $P_{val}$  are written in**  
631 **the legend box.**

632 **Figure 8: LWPC model results showing the received amplitude change (in dB units) as a**  
633 **function of reflection height displacement from the typical daytime ionospheric values of**

634  $\beta=0.3 \text{ km}^{-1}$  and  $h'=74 \text{ km}$ , for the GCPs which were examined in this study.

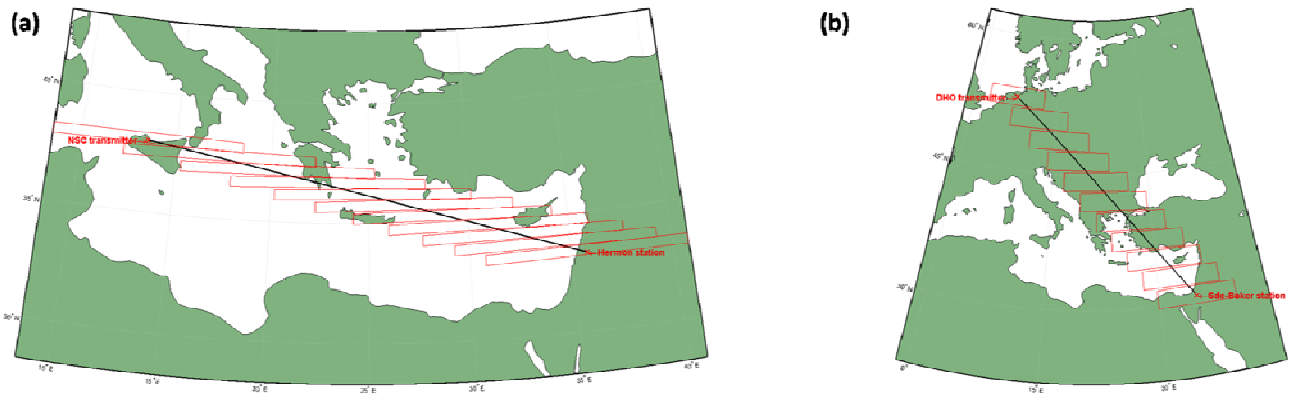
635



636

637 **Figure 1: daily variation of the NSC transmitter (45.9 kHz) signal received at MH on 7 October**  
638 **2011. The averaged one-hour window is marked by the red rectangle and arrow.**

639



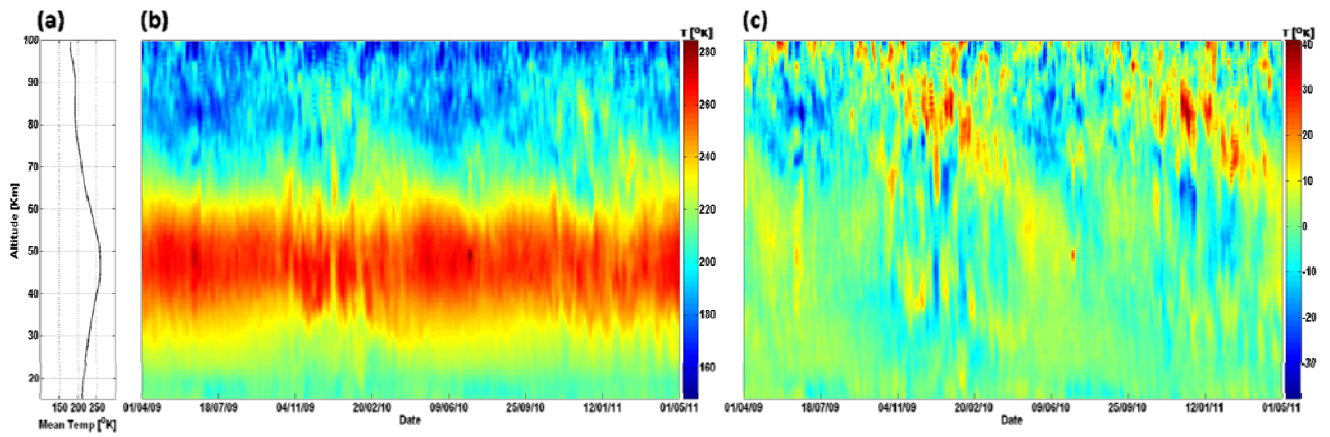
640

641 **Figure 2: Transmitter-receiver GCP division into 11 SABER temperature data boxes for (a)**

642 **MH-NSC and (b) SB-DHO paths.**



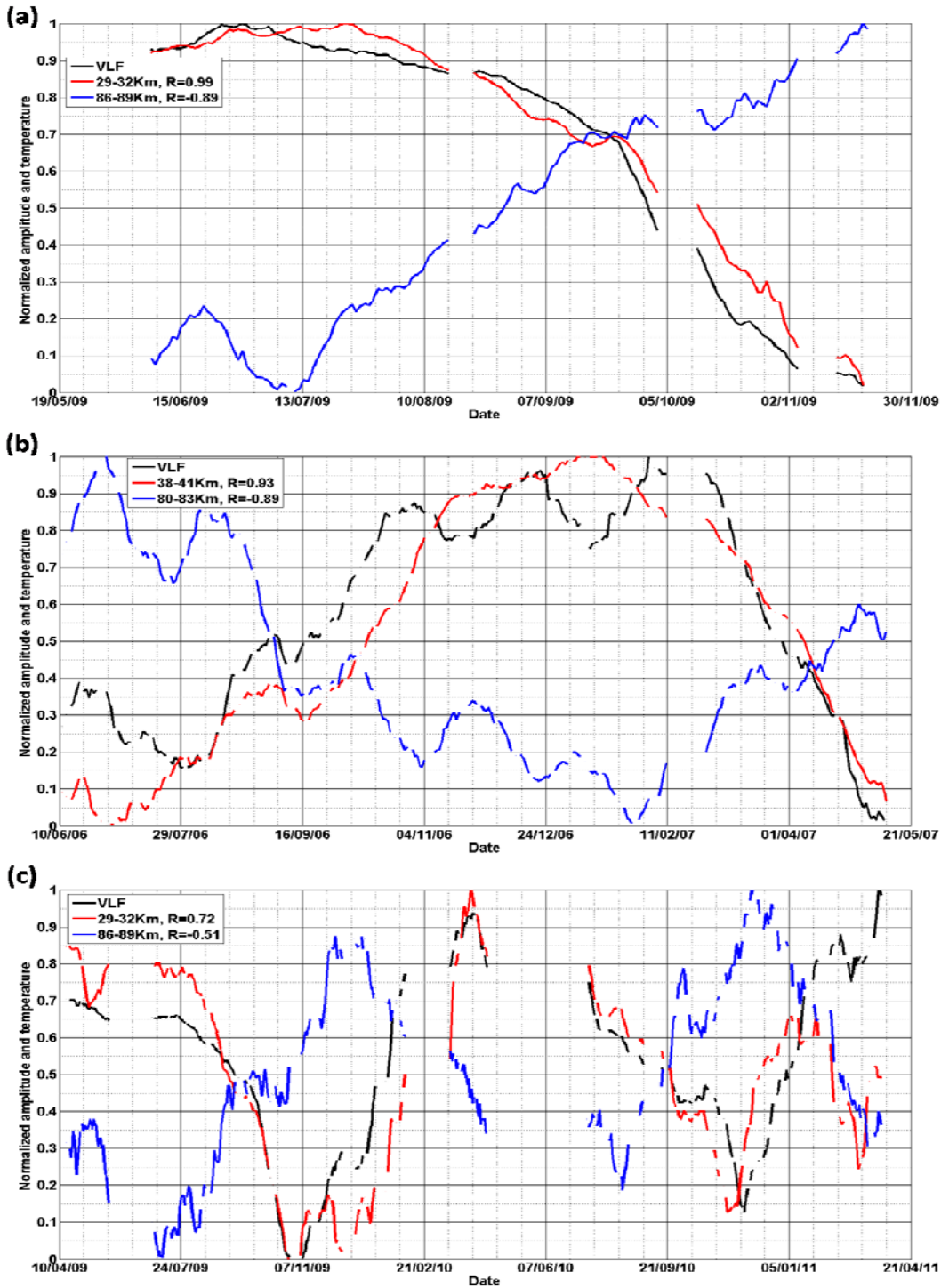
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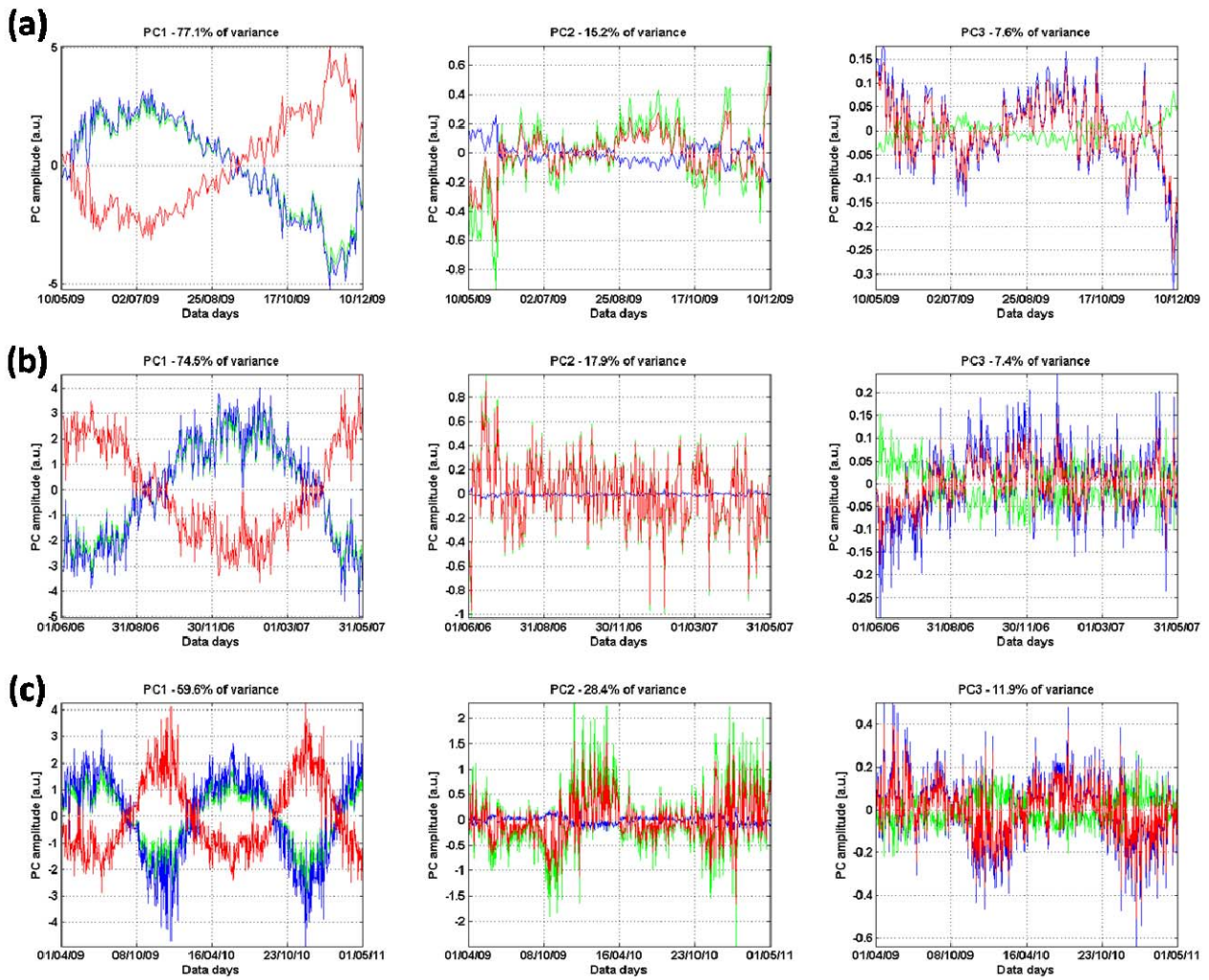
645 **Figure 3: Saber data for MH-NSC path corresponding to the period of April 2009-April 2011**

646 **(a) average temperature profile (b) profile time series (c) profile anomalies time series.**



647

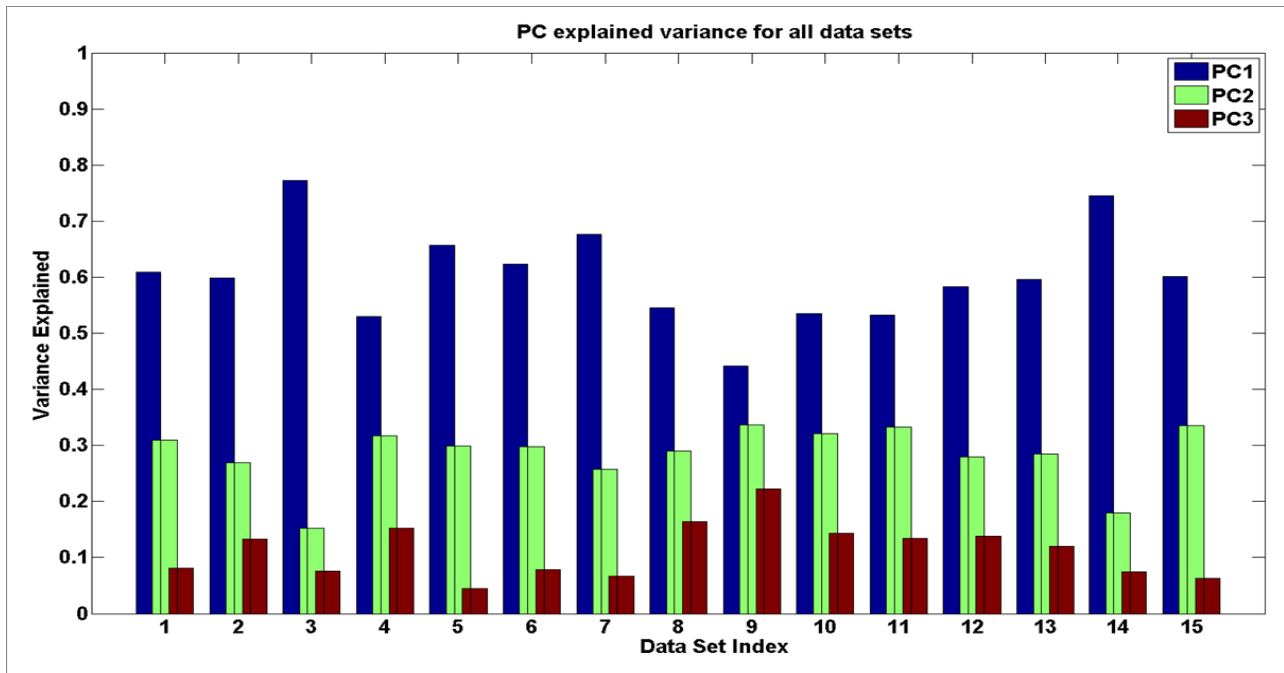
648 **Figure 4: 20-days-running-average of normalized VLF amplitude (black) and normalized**  
 649 **temperature values of the layers with maximum positive (red) and negative (blue) correlations**  
 650 **with the VLF data, for (a) SB-DHO 2009, (b) DN-NWC 2006-2007 and (c) MH-NSC 2009-2011**  
 651 **data sets. Correlation coefficients values are given in the legend boxes. The gaps imply lack of**  
 652 **VLF data.**



654

655 **Figure 5: PC (1-3) time series for (a) SB-DHO 2009, (b) DN-NWC 2006-2007 and (c) MH-NSC**  
 656 **2009-2011 data sets, multiplied by the PC coefficients of the VLF data (solid green line), solar**  
 657 **irradiance (solid blue line), and 80-90 km average temperature (solid red line). The explained**  
 658 **variance of each PC is written above each window.**

659

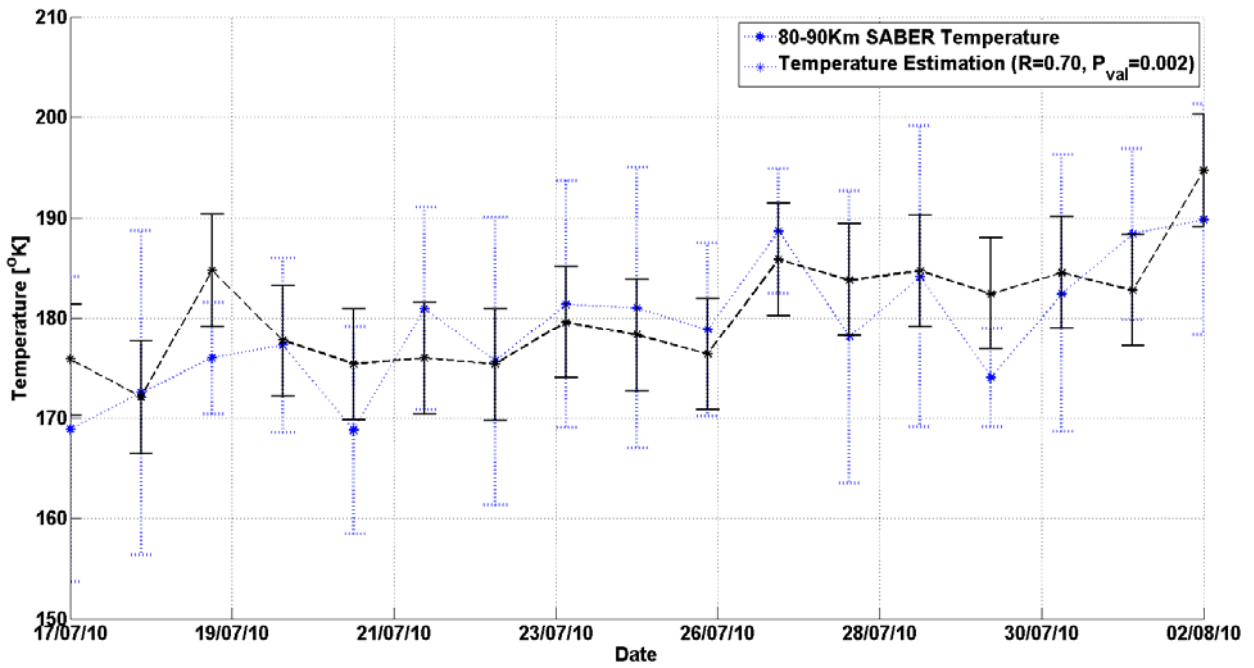


660

661 **Figure 6: PCA explained variance for all data sets. Indices for the data sets numbers are shown**

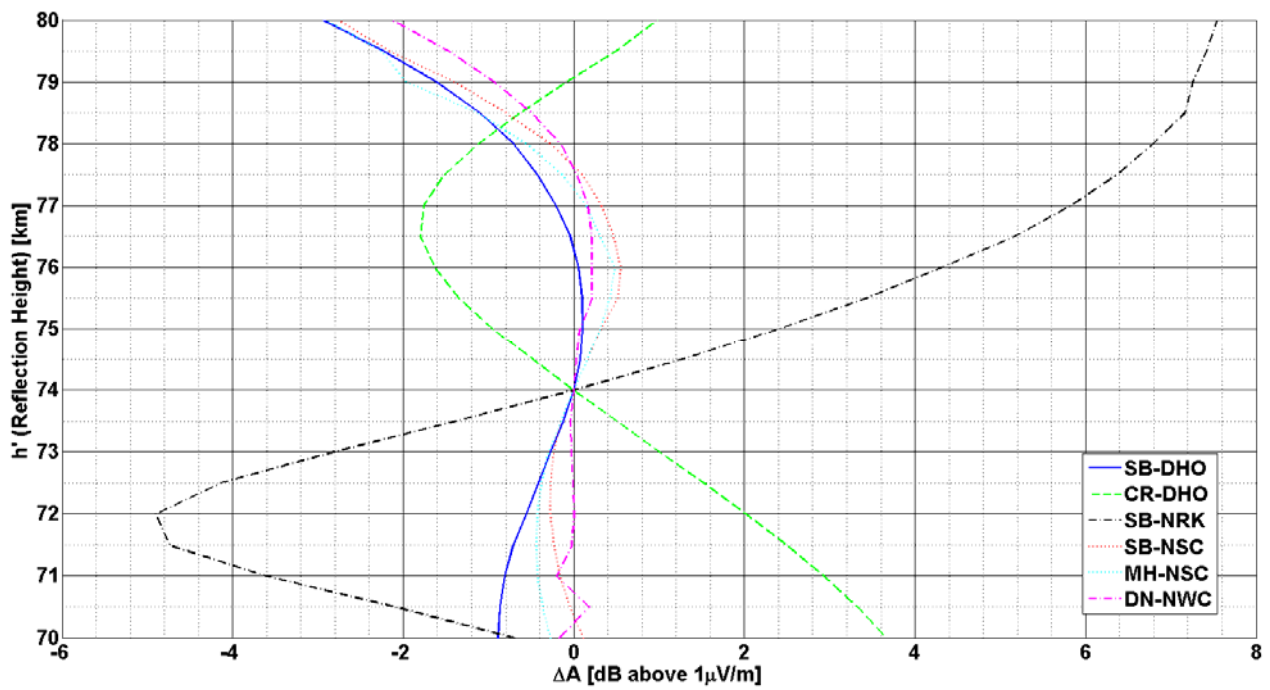
662 **in Table 2.**

663



664

665 **Figure 7: Temperature estimation (dashed black) and SABER temperature (solid blue) time**  
666 **series for SB-DHO 2010 data set. The Pearson's correlation coefficient and  $P_{val}$  are written in**  
667 **the legend box.**



669

670 **Figure 8: LWPC model results showing the received amplitude change (in dB units) as a**  
 671 **function of reflection height displacement from the typical daytime ionospheric values of**  
 672  **$\beta=0.3 \text{ km}^{-1}$  and  $h'=74 \text{ km}$ , for the GCPs which were examined in this study.**