1	Energetic electron precipitation associated with pulsating aurora: EISCAT and
2	Van Allen Probe observations
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24 Abstract:

25Pulsating auroras show quasi-periodic intensity modulations caused by the precipitation 26of energetic electrons of the order of tens of keV. It is expected theoretically that not 27only these electrons but also sub-relativistic/relativistic electrons precipitate 28simultaneously into the ionosphere owing to whistler-mode wave-particle interactions. 29The height-resolved electron density profile was observed with the European Incoherent 30 Scatter (EISCAT) Tromsø VHF radar on 17 November 2012. Electron density 31 enhancements were clearly identified at altitudes >68 km in association with the 32pulsating aurora, suggesting precipitation of electrons with a broadband energy range 33 from ~ 10 keV up to at least 200 keV. The riometer and network of subionospheric 34radio wave observations also showed the energetic electron precipitations during 35 this period. During this period, the footprint of the Van Allen Probe-A satellite was 36 very close to Tromsø and the satellite observed rising tone emissions of the lower-band 37 chorus (LBC) waves near the equatorial plane. Considering the observed LBC waves 38 and electrons, we conducted a computer simulation of the wave-particle interactions. 39 This showed simultaneous precipitation of electrons at both tens of keV and a few 40 hundred keV, which is consistent with the energy spectrum estimated by the inversion 41 method using the EISCAT observations. This result revealed that electrons with a wide 42energy range simultaneously precipitate into the ionosphere in association with the 43pulsating aurora, providing the evidence that pulsating auroras are caused by 44 whistler chorus waves. We suggest that scattering by propagating whistler 45simultaneously causes both the precipitations of sub-relativistic electrons and the 46 pulsating aurora.

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48 **1. Introduction**

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50Pulsating auroras show quasi-periodic intensity modulations of extended forms that are 51caused by the precipitation of energetic electrons [e.g., Lessard, 2012, Li et al., 2012]. 52Sounding rockets as well as the FAST and Reimei satellites have confirmed that 53pulsating auroras are caused by quasi-periodical precipitation of electrons with energies 54from a few keV to tens of keV [e.g., Sandahl et al., 1980, Sato et al., 2004, Miyoshi et 55al., 2010, Nishiyama et al., 2011]. Reinard et al. [1997] used sounding rocket 56observations to show that electrons at ~ 150 keV precipitate into the ionosphere in 57association with pulsating auroras. The whistler-mode chorus waves [e.g., Nishimura 58et al., 2010] and electron cyclotron harmonic (ECH) waves [e.g., Liang et al., 2010] 59are thought to be important mechanisms to cause the precipitation of electrons 60 associated with the pulsating aurora.

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62 Recently, Miyoshi et al. [2010] proposed a time-of-flight (TOF) model that considers 63 whistler mode chorus waves propagating along the field lines. Because the wave-64 particle resonant energy depends on magnetic latitude, electrons are potentially 65 scattered with a wide energy range along the field lines. Figure 1 illustrates the 66 concept of the model. Whistler mode chorus waves that are generated at the equator 67 firstly drive the pitch angle scattering of ~ 10 keV electron, which causes the pulsating 68 aurora (Figure 1(a)). Subsequently, the waves propagate to higher latitudes and pitch 69 angle scattering with sub-relativistic (~few hundreds of keV) /relativistic electrons 70(~MeV) take place (Figure 1(b)).

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This model has been used to estimate the source region of pulsating electrons observed by the Reimei satellite [Miyoshi et al., 2010, Nishiyama et al., 2011], and the model has been extended to the relativistic energy range [Saito et al., 2012]. The model indicates that whistler-mode waves first resonate with electrons at tens of keV near the equator, and then with higher-energy electrons at higher latitudes [Horne and Thorne, 2003]; therefore, precipitation of electrons across **a wide energy range** is expected.

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The precipitation of energetic electrons by chorus waves has been studied using computer simulations. Hikishima et al. [2010] performed a self-consistent 81 particle-in-cell simulation for the chorus wave-particle interactions, and they indicated 82 that microbursts of 10–100 keV electrons are caused by chorus rising tones. Saito et al. 83 [2012] developed the test particle simulation code GEMSIS-RBW, and they showed 84 simulated resonant interactions between energetic electrons and whistler-mode chorus 85 waves, including nonlinear wave-particle interactions. They reproduced MeV electron 86 microbursts due to chorus wave-particle interactions, and they found modulations of a 87 few Hz embedded in the precipitating electron flux variations, which are associated with 88 the repetition period of the chorus elements. This result is consistent with the model of 89 Miyoshi et al. [2010].

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ECH waves, which represent another candidate mechanism for pulsating auroras, do not resonate with sub-relativistic and relativistic electrons [e.g., Thorne et al., 2010]. If ECH waves are a dominant process in causing a pulsating aurora, then the absence of sub-relativistic electron precipitation associated with the pulsating aurora is to be expected. On the other hand, it would be expected that **a wide energy range** electrons would precipitate into the atmosphere associated with a pulsating aurora, if the chorus waves were the primary driver behind pitch-angle scattering.

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99 Therefore, measurement of the maximum energy of the precipitating electrons 100 associated with a pulsating aurora is essential to distinguish the primary mechanisms 101 behind pulsating auroras. Although measurements of precipitating electrons with a wide 102energy range from a few keV to MeV have not been obtained by satellites, 103 observations of the height-resolved electron density profile at night should be a good 104 proxy for precipitating electrons with the highest energy. For example, the stopping 105heights of 100, 200, and \sim 400 keV electrons are \sim 75, \sim 68, and \sim 63 km, respectively, 106 and the stopping height of monoenergetic beams of 1 MeV electrons is below 60 km 107 [e.g., Turunen et al., 2009, Cresswell-Moorcock et al., 2013, Simon Wedlund et al., 108 2014, Kero et al., 2014].

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As with previous observations for the height-resolved electron density profile associated with pulsating auroras, Jones et al. [2009], using the Poker Flat Incoherent Scatter Radar, showed that significant electron density enhancement appears at an altitude of 90 km which indicates precipitation of ~20 keV electrons [Semeter and Kamalabadi, 2005]. Oyama et al. [2014] reported on the characteristics of the electron density profile of the E-layer and F-layer and found a C-form structure to the density profile, indicating that soft-electron (<1 keV) precipitation occurs simultaneously in association with hard-electron (~keV) precipitation in the pulsating aurora.

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119 In this study, we observe the height-resolved electron density variations using the 120European Incoherent Scatter (EISCAT) radar at Tromsø to identify the precipitating 121energy of electrons associated with pulsating auroras. We also use the data from the 122network of subionospheric radio receiver sites and riometers to observe energetic 123 electron precipitations during the period. Moreover, we investigate the plasma waves 124and electrons in the magnetosphere during the event using Van Allen Probe-A satellite 125data [Mauk et al., 2012]. Using the plasma wave and energetic electron data from Van 126Allen Probe-A as inputs to the simulation, we conduct a test particle simulation of 127pitch-angle scattering by whistler-mode chorus waves and assess the consideration that 128the observed whistler-mode chorus waves cause both the pulsating auroras 129(precipitation of tens keV electrons) as well as the sub-relativistic electron precipitation.

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131 2. Ground-based Observations: EISCAT/Riometer/Sub-Ionospheric Radio Waves132

133At 04:00–06:00 UT on 17 November 2012, a pulsating aurora was observed in Tromsø, 134Norway (69.35°N, 19.14°E). The magnetic local time was 06:30–08:30, i.e., the 135pulsating aurora occurred on the morning side. The invariant latitude of Tromsø is 136 66.12°. During the pulsating aurora event, the Kp index was 3. The AL index and 137 geomagnetic field at the IMAGE Magnetometer Array in Tromsø showed that several 138enhancements of westward electrojets were found during the period (not shown), 139indicating substorm activity, and the pulsating aurora in this event occurred during the 140period from the late expansion phase to the recovery phase of the substorm. Note that a 141weak electron injection was detected at geosynchronous orbit at ~ 0400 UT (not shown).

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143 The EISCAT VHF radar transmitted an alternating-coded pulse with 61 bits of 2.4 144 microsecond baud length or 146.4 microsecond pulse length, corresponding to 145 21.96 km in range. The received signal was dumped every 4.8 seconds, and 146 incoherent scatter spectra were integrated for 60 seconds to obtain the ionospheric parameters with Grand Unified Incoherent Scatter Design and Analysis Package
(GUISDAP) [Lehtinen and Huuskonen, 1996]. The electron density can also be

- estimated from the backscatter echo power in the D region and below, and it was
- 150 applied to make Figures 2a and 3.
- 151

152Figure 2(a) shows the time variations in the height profile of the electron density from 153vertical measurements of the EISCAT VHF radar. Enhanced electron density was observed after 04:30 UT, and the density enhancement region expanded to an altitude of 15415568 km. The optical digital camera and photometer installed at Tromsø were used to 156identify the pulsating aurora during the period. Figure 2(b) shows examples of all-sky 157images; pulsating auroras were widely observed over Tromsø during the period of 158enhanced electron density in the D region. The five orange lines result from the 159backscatter of lidar beams. Figure 2(c) shows the time variation in the optical intensity 160 at 427.8 nm from the photometer, which was oriented to the local magnetic zenith, 161 whose angle is 12 deg from the local zenith. The sampling rate of the photometer was 16250 ms, and seven-point running average was used to smooth the variation. Quasi-periodical ON-OFF variations are found at 427.8 nm. From the frequency 163164 spectrum analysis, periods of 5~10 s are identified as the main optical modulations that 165embed the 3-Hz internal modulations.

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167 The yellow and red rectangles in **Figure 2(b)** indicate the direction of the field-of-view 168 of the EISCAT VHF radar and the photometer, respectively. Both instruments observed 169 almost **the same direction**; therefore, the density enhancements measured by EISCAT 170 were actually caused by electron precipitation in the pulsating aurora.

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172To investigate the altitude profile of the electron density in detail, Figure 3 shows the 173averaged electron density profiles during the period both without the pulsating aurora 174(03:00-03:22 UT) and with the pulsating aurora (04:30-04:52 UT). Figure 3(a) shows 175large density enhancements in the E-layer at ~ 100 km during the period with the 176pulsating aurora, most likely resulting from ionization by the energetic electron 177precipitation. Figure 3(b) is the same as Figure 3(a) but shows the profile from 60 to 17880 km. During the period without the pulsating aurora, the electron density is $\sim 3 \times 10^8$, $\sim 10^9$, and $\sim 10^{10}$ m⁻³ at 70, 80, and 100 km, respectively. During the period with the 179

pulsating aurora, the electron density increases greatly owing to ionization by the energetic electron precipitation. The electron density in the lower ionosphere is $\sim 6 \times 10^8$, $\sim 3 \times 10^{10}$, and $\sim 2.5 \times 10^{11}$ m⁻³ at 70, 80, and 100 km, respectively, and the highest density was observed at ~ 100 km. A comparison of the electron density profiles for the periods both with and without the pulsating aurora reveals that the EISCAT VHF radar detected clearly electron density enhancement at >68 km.

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187 Figure 4 shows the network of subionospheric radio wave receiver sites operated 188 by the Antarctic-Arctic Radiation-belt (Dynamic) Deposition -VLF Atmospheric 189 Research Konsortium, AARDDVARK (Clilverd et al., 2009), as well as the great 190circle paths between transmitters and the receiver stations. From the 191 AARDDVARK observations, >50 keV electron precipitation was detected during 19204-05 UT along the great circle paths between NAA (Maine, USA, 44.58 °N, 193 293.2°E) and Sodankyla, NRK (Revkjavik, Iceland, 63.85 °N, 338.4 °E) and 194 Sodankyla, as well as NAA to Ny Alesund (Svalbard, 78.93 °N, 11.95 °E). The great 195circle paths of some of these subionospheric radio waves pass through the 196 precipitation region observed by EISCAT, and suggest that the event included 197 significant precipitation flux of >50 keV. The shaded area in Figure 4 corresponds 198 to the inferred region of the precipitation, using observations from many 199 AARDDVARK paths. From the AARDDVARK network observations, it is 200concluded that precipitation occurred over at least ~75 degrees of the longitude, i.e., 201from 01 MLT at Newfoundland to 07 MLT at Sodankyla. Similar network 202observation (operated by Tohoku University, Japan) confirmed this result by the 203observations between NRK and Ny Alesund, Jainfingen (Germany, 50.01 °N, 9.01 204°E) and Ny Alesund, and Anthorn (UK, 54.92 °N, 356.75 °E) and Ny Alesund. Since 205there are no paths east of Sodankyla, it is not possible to discuss the eastern 206longitude limit of the precipitation.

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It is worthwhile to note that the 30 MHz, wide beam riometers at Abisko, Sweden (68.35°N, 18.83°E), Ivalo, Finland (68.65 °N, 27.54 °E) detected absorption between 1.0-1.3 dB during the event (not shown). Calculation of riometer absorption using the perturbed and non-perturbed EISCAT electron density profiles following the technique described in Rodger et al. [2012] gives 1.3 dB, which is consistent with

- 213 the absorption levels observed.
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215 **3.** Observations from Van Allen Probe-A

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217 During the period, the Van Allen Probe-A satellite traversed the dawnside and **Figure 5** 218 shows its footprint around Tromsø. The circle indicates the field-of-view of the all-sky

219 camera at Tromsø, and the pulsating auroras were observed within this field-of-view.

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221Figure 6 shows a combined plot of the frequency spectrum from EMFISIS [Kletzing et 222al., 2013] and the electron energy spectrum from ECT/HOPE and MAGIES [Spence et 223al., 2013, Funsten et al., 2013, Blake et al., 2013]. Figure 6(a) shows the wave spectrum, 224 from which the continuous banded emission of the upper-hybrid resonance (UHR) 225waves can be seen. The white line in the figure indicates the local electron-gyro 226frequency. The sudden increase of the UHR frequency around 06:30 UT indicates the 227crossing of the plasmapause, and the Van Allen Probe-A satellite was outside the 228plasmapause during the pulsating aurora event. The ratio of the plasma frequency to the 229 electron-gyro frequency was 4~5 during the period. The emission around 1 kHz in 230Figure 6(b) is the whistler-mode waves below the local electron-gyro frequency. 231Figure 6 (c) and (d) show the electron data from ECT/MagIES that measures 232electrons from 37 keV to 3869 keV for pitch angles of 90 deg and 24.5 deg 233respectively. Figure 6 (e) and (f) show the electron data from ECT/HOPE that 234measures electrons from 20 eV to 51 keV for pitch angles of 90 deg and 18.0 deg, 235respectively. During the pulsating aurora period, wide energy range electrons up to 236MeV exist in the magnetosphere.

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238Figure 7 shows the wave-burst mode data of EMFISIS at 04:50 UT. The satellite 239observed the waveform with 6 s cadence. Clear rising tone emissions of the lower-band 240chorus (LBC) waves were observed during the time. The EMFISIS observations 241confirmed that the wave normal angles of these rising tones are almost parallel to the 242ambient magnetic field. Analysis of EMFISIS observations by a technique [Santolik 243 et al., 2003] showed that the wave vectors of the intense rising tones are almost 244anti-parallel to the ambient magnetic field. The Poynting flux analysis [Santolik et 245al., 2001] confirmed that the observed LBC waves propagate to the southern

- 246 hemisphere.
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248 4. Computer Simulations and Discussion

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250The EISCAT observations indicated enhanced electron density above an altitude of 68 251km. According to the height profile of the stopping heights, the electron density 252enhancement at this altitude is caused by precipitation of electrons with energy of at 253least ~200 keV [Turunen et al., 2009], i.e., sub-relativistic electrons (~200 keV 254electrons) associated with the pulsating aurora precipitation into the ionosphere. The 255observations from the network of subionospheric radio wave receiver showed >50 256keV electron precipitations from 01 MLT to 07 MLT, and the riometer 257observations confirmed the energetic electron precipitations during the period. 258Together with the observations at the ionospheric altitude, the observations from the 259Van Allen Probe-A satellite confirmed the rising tone chorus emissions outside the 260plasmapause near the equatorial plane.

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Here, we investigate a possible mechanism for the simultaneous scattering of both electrons at tens of keV for the pulsating aurora and sub-relativistic electrons. Thorne et al. [2010] derived the pitch-angle diffusion coefficients for LBC, upper-band chorus (UBC), and ECH waves, and their work revealed that ECH waves mainly cause pitch-angle scattering of low-energy electrons and that they are ineffective for higher-energy electrons more than a few keV.

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To evaluate whether chorus waves observed by Van Allen Probe-A can cause precipitation of electrons with **a wide energy range**, as observed by EISCAT, we conducted a GEMSIS-RBW simulation [Saito et al., 2012]. In this simulation, we used the observation data from the Van Allen Probe-A satellite as inputs.

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The GEMSIS-RBW simulation was developed to solve electron motion and scattering along a magnetic field line. The magnetic mirror motion of an electron is described as the guiding center motion, while the electron momentum changes, associated with wave-particle interaction, are given by the following equation of motion:

$$\frac{d}{dt}\mathbf{p}_{e} = q\left(\delta\mathbf{E} - \mathbf{v}_{9} \times (\mathbf{B} + \delta\mathbf{B})\right)$$

278where $\mathbf{v}_{a} = \mathbf{p}_{a} / m_{a} \gamma$ is the electron velocity, γ is the relativistic Lorentz gamma, 279**B** is the background magnetic field vector at the electron guiding center position, \mathbf{p}_{e} 280is the electron momentum, m_e is the electron rest mass, and $\delta \mathbf{E}$ and $\delta \mathbf{B}$ are the 281electric/magnetic fields of the chorus waves that satisfy the dispersion relation of the 282whistler-mode waves, which propagate along the field line. When the electron interacts 283with the chorus waves, the equation of motion is numerically solved with the time step 284 δt during Δt , where δt is chosen to resolve the gyro-motion and Δt is the time 285step to solve the adiabatic guiding center motion. After calculating the momentum 286change Δp during Δt , the first adiabatic invariant of the electron at $t + \Delta t$ is 287 calculated using the background magnetic field intensity at the electron's position. 288Simultaneously with the scattering process, the electron guiding center position is 289advanced in keeping with the first and second adiabatic invariants.

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291In this simulation, we modeled the rising tones of the LBC waves, as shown in **Figure** 292 8(a). Considering the observations, we assumed the wave amplitude of 500 pT. It is 293difficult to determine the repetition period of the bursts from the observations of the Van 294Allen Probe-A satellite because the burst mode observations were intermittent. Thus, in 295this study, we assumed that the duration of each LBC burst was 2 s and that the 296 repetition time was 7 s. Furthermore, it is assumed that LBC propagates to the magnetic 297 latitude of 20 deg, based on the statistical analysis [Meredith et al., 2012]. The magnetic 298field line for this simulation was the same L-shell as observed by the Van Allen Probe-A 299satellite (L = 5.7). The observed ratio of the plasma frequency to the electron-gyro 300 frequency was used in the simulation, and the constant density distribution along the 301 field line is assumed.

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The test particles (512,000 electrons) were released along the dipole magnetic field line. They were distributed for all pitch angles along the field line with random bounce phase. Note that no electrons existed within the theoretical loss-cone angle defined at the altitude of the ionospheric absorption layer (100 km). In order to estimate the precipitated flux from our test-particle simulations, a weighting factor was considered for each test-particle as an initial condition.

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310 We calculated the differential flux from the test-particles with the weighting factor, to be

311identical to the differential flux as measured by HOPE/MagIES of the Van Allen 312Probe-A satellite (see Figure 6). During the period, the Van Allen Probe-A satellite was 313 at the magnetic latitude of -10 deg. Considering the conservation of the first adiabatic 314invariant, we convert the observed pitch angle to the equatorial pitch angle, and then we 315 estimate the pitch angle distribution by assuming the functional form of $j(\alpha_{eq}) = j_0 \sin^n \alpha_{eq}$, where $j(\alpha_{eq})$ is the differential flux at the equatorial pitch angle 316 $\alpha_{_{eq}}$, j_0 is the differential flux at 90° pitch angle. Using the pitch angle distribution 317 data of HOPE/MAGIES, we estimate j_0 and n for each energy, and then we use 318 $j(\alpha_{ea})$ as the initial distribution for the GEMSIS-RBW simulation. Note that the loss 319

cone angle at the position of the Van Allen Probe-A satellite is 3.5 deg, while the loss cone angle at the magnetic equator along the same field line is 3.0 deg.

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323 Using the EISCAT measured electron density profile, we estimate the energy spectra of 324 precipitating electrons by the CARD inversion method [Brekke et al., 1989, Fujii et al., 325 1995]. The method solves the continuity equation for the electron density n_e 326 without the transportation and uses the MSIS-model as a neutral density profile. 327 The model assumes the equilibrium between production and loss processes, that is, the loss rate can be written as $\alpha_{eff}\Delta n_e^2$, where α_{eff} is the effective recombination 328 329rate and the model calculates the electron density variations Δn_e by auroral 330 particle precipitation. At polar winter night, Δn_e in the lower E region is almost equal to the EISCAT-measured electron density because of no other major 331 332 ionization source except for the precipitation. Figure 8 (b) shows the energy 333 spectrum of electrons observed near the magnetic equator from the Van Allen Probe-A 334 satellite (blue triangle) and at the ionospheric altitude estimated from the CARD (red 335triangle). The CARD data show the energy spectrum during the period with the 336 pulsating aurora (04:30-04:52 UT). The statistical error of the estimated flux is about 2.5% below 100 keV and 20% above 100 keV during the period. The 337 338 corresponding pitch angle at energies from 10 keV to 51 keV (HOPE instrument) is 18 339 deg, while the pitch angle at energy above 56 keV is 24.5 deg (MagIES instrument) at 340 the magnetic latitude of 10 deg. Comparing the energy spectrum between the 341 magnetosphere and the ionosphere for the period with the pulsating aurora, the electron

fluxes from a few keV up to 100 keV are almost same between them. This means that the pitch angle scattering occurred in the strong diffusion [Kennel, 1969] in which the flux between inside/outside the loss cone should be **almost the same**. On the other hand, the flux estimated from the CARD method is significantly smaller than that from the Van Allen Probe-A satellite observations above 100 keV, meaning that the pitch angle scattering rate becomes small at sub-relativistic energy range.

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The precipitating flux at several energies calculated from the test-particle simulations is also shown in **Figure 8 (b).** The energy spectrum shows good agreement with the EISCAT observations. **Just like** the EISCAT observations, the simulated flux shows the similar spectrum profile from 10 keV to 100 keV, while the precipitated flux is smaller than the flux at the magnetosphere. The result indicates that LBC observed by the Van Allen Probes-A satellite is the main driver for the electron precipitations from 10 keV to 200 keV that are observed by EISCAT.

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In order to investigate the reason for the different energy spectrum at sub-relativistic energy range between the magnetosphere and the ionosphere, we estimate the resonant

$$\omega - k_{\parallel} v_{\parallel} = \frac{\left|\Omega_{e}\right|}{\gamma}$$

energy of precipitating electrons. The first-order resonance condition addresses the electron scattering by whistler-mode waves propagating along the field line. Here, ω , k_{\parallel} , v_{\parallel} , Ω_e , and are the angular wave frequency, parallel wave number, parallel speed of the electron, and electron cyclotron angular frequency, respectively. Under the cold plasma approximation, the dispersion relation of the whistler-mode waves propagating parallel to the magnetic field line is

$$k_{\parallel} = \frac{\omega}{c} \sqrt{1 + \frac{\omega_{pe}^2}{\omega(|\Omega_e| - \omega)}}$$

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366 where ω_{pe} is the electron plasma frequency. The loss-cone angle at the equator is 367 evaluated as $\alpha_{loss} = \sin^{-1} \sqrt{B/B_a}$, where B_a is the field intensity at the atmospheric altitude. The parallel speed of electrons with the loss-cone pitch angle α_{lc} is

$$v_{\parallel,lc}^2 = \frac{p_e^2}{m^2 \gamma^2} \left(1 - \frac{B}{B_a} \right)$$

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In the simulation of this study, it was assumed that LBC can propagate to the magnetic latitude less than 20 deg. **Figure 9** shows the resonant energy of electrons along the field lines due to whistler-mode waves as same plasma condition as the simulation. At higher latitudes, chorus waves can resonate with higher energy and cause pitch-angle scattering. The whistler-mode waves of $\omega = 0.2 |\Omega_e|$ can resonate with 200 keV

376 electrons at magnetic latitude of ~28 deg, whereas the waves of $\omega = 0.35 |\Omega_e|$ can

resonate with them at magnetic latitude of \sim 35 deg. Since the resonance condition for electrons above 100 keV is not satisfied when LBC propagates to the magnetic latitude less than 20 deg, the precipitated flux becomes significantly small at the energy above 100 keV. In fact, we confirmed that more energetic electrons precipitate into the ionosphere by the simulation, if LBC can propagate to high latitude larger than 20 deg. 382

383 As shown in Figure 1, we expect that the precipitations of 384 sub-relativistic/relativistic electrons caused by LBC are always accompanied with 385 the pulsating aurora and/or diffuse aurora, which occur along the same field line. 386 And, the latitudinal distribution of LBC controls the maximum precipitating 387 energy of electrons associated with the pulsating aurora.

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Finally, we discuss the possible relationship between the microbursts of sub-relativistic/relativistic electrons and the pulsating aurora [see the recent review by Tsurutani et al., 2013]. There have been several reports on microbursts in the sub-relativistic [e.g., Parks, 1967, Lee et al., 2012] and relativistic energy range [e.g., Imhof et al., 1992, Nakamura et al., 1995]. The model of Miyoshi et al. [2010] has predicted the simultaneous precipitation of **wide energy electrons** associated with pulsating auroras, because the propagating whistler-mode chorus waves can resonate

396 with electrons for a wide energy range along the same field line. Although the 397 study did not identify measurements of this the microbursts of 398 sub-relativistic/relativistic electrons, we suggest that a common process of 399 whistler-mode chorus wave-particle interactions causes the microbursts of 400 sub-relativistic/relativistic electrons and the pulsating aurora, which are 401 modulated by the repetition period of the chorus elements.

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403 It is worth noting that such energetic electron precipitation down to mesospheric 404 altitudes is important with regard to the cause of significant ionization as well as the 405 enhancement of NOx [e.g., Isono et al., 2014, Anderson et al., 2014]. We expect that 406 sub-relativistic electron precipitation, being concomitant with pulsating auroras, would 407 have significant impact on the variation of the upper-atmosphere composition. Data 408 from future satellites such as the ERG satellite [Miyoshi et al., 2012] and sounding 409 rocket observations for a wide energy range from a few keV to MeV will provide a 410 unified picture for pulsating auroras and precipitations of relativistic electrons.

411

412 **5.** Conclusion

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414 We examined the height-resolved electron density profile obtained using the EISCAT 415Tromsø VHF radar, which was associated with the pulsating aurora observed on 17 416 **November 2012.** Electron density enhancements were clearly identified at altitudes >68 417 km in association with the pulsating aurora, suggesting the precipitation of electrons 418 with a wide energy range of up to 200 keV. The observations from the network of subionospheric radio wave receiver showed >50 keV electron precipitations from 419 420 01 MLT to 07 MLT, and the riometer observations confirmed the energetic 421 electron precipitations during the period. The Van Allen Probe-A satellite, which was 422very close to Tromsø during the time, observed rising tone emissions of the LBC waves. 423Comparing the energy spectrum at the magnetosphere observed by the Van Allen 424 Probe-A and at the ionosphere estimated from the CARD inversion method with the 425EISCAT observation, the electron flux from a few keV to ~100 keV is almost same 426 between them, indicating that the pitch angle scattering occurred in the strong diffusion 427 up to ~100 keV. On the other hand, the electron flux above 100 keV at the ionosphere is 428smaller than that at the magnetosphere.

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Using the Van Allen Probe-A satellite data, we conducted a computer simulation of the wave-particle interactions. The simulated electron energy spectrum at the ionosphereic altitude is well consistent with that estimated from the CARD inversion method, indicating that the observed LBC can cause the simultaneous precipitations of electrons at both tens of keV and a few hundred keV for the period with the pulsating aurora. The latitudinal distribution of LBC controls the resonant energy of electrons.

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These results are evidence that pulsating auroras are caused by chorus wave-particle interactions. Furthermore, it was confirmed that whistler-mode chorus waves can cause the simultaneous precipitation of **wide energy electrons**. We suggest that the propagating whistler-mode chorus waves are a common process that simultaneously causes both the pulsating aurora and precipitation of sub-relativistic/relativistic electrons.

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588 Figure Captions

589

590 Figure 1:

591 Schematic picture for the wave-particle interaction between the propagating chorus 592 waves and **electrons**. (a) The chorus waves resonate with ~10 keV electrons at the 593 equator. (b) The propagated chorus waves resonate with sub-relativistic/**relativistic** 594 electrons at the higher latitudes.

595

596 Figure 2:

- (a) Electron density profile observed by a vertical beam of the EISCAT Tromsø VHF
 radar on 16-17 November 2012. Horizontal axis represents universal time; vertical
 axis denotes altitude. Color indicates the electron density. The figure is compiled
 from 1-min integrated data.
- 601 (b) Examples of all-sky images taken at Tromsø. Yellow and red rectangles indicate
 602 the direction of observation of the EISCAT VHF radar and photometer,
 603 respectively.

604 (c) Time variations of the photometer at 427.8 nm during the period.

605

606 **Figure 3**:

Electron density profile observed by EISCAT Tromsø VHF radar for period without
(black) and with (red) the pulsating aurora. Horizontal axis represents electron density;
vertical axis denotes altitude. (a) Density profiles from 60 to 200 km. (b) Same as (a)
but from 60 to 80 km.

611

612 **Figure 4**:

613 Map of the AARDDVARK northern hemisphere network. Red and green points 614 indicate the receiver and transmitter stations, respectively. Green lines 615 correspond to the great circle paths between stations. The shaded region 616 corresponds to the inferred precipitation region of >50 keV electrons during the 617 event period.

618

619 **Figure 5**:

Trajectory of footprint of Van Allen Probe-A satellite for 04:00–05:00 UT on 17

November 2012. The circle indicates the field-of-view of the all-sky imager at
Tromsø.

623

624 **Figure 6**:

Plasma waves and energetic electron observations from the Van Allen Probe-A satellite for 04:00–08:00 UT on 17 November 2012. (a) Frequency-time diagram for electric fields obtained by EMFISIS. (b) Frequency-time diagram for magnetic fields. The white line is the local electron-gyro frequency. (c) and (d) Energy-time diagram at 90 deg and 24.5 deg obtained by ECT/MagEIS. (e) and (f) Energy-time diagram at 90 deg and 18.0 deg obtained by ECT/HOPE.

631

632 **Figure 7:**

Frequency spectrum and wave form for the magnetic field measurements obtained
from EMFISIS wave burst mode around 04:50 UT on 17 November 2012.

635

636 **Figure 8**:

637 (a) Frequency-time diagram of the LBC waves used in the GEMSIS-RBW simulation.

(b) Energy spectrum at the magnetosphere observed by the Van Allen Probe-A satellite(blue triangle), at the ionosphere altitude estimated by the CARD inversion method

640 using the EISCAT observation data (red triangle). Purple hexagons indicate the 641 precipitated flux simulated by GEMSIS-RBW.

642

643 **Figure 9:**

644 Latitudinal profile of electron resonant energy, considering the first-cyclotron 645 resonance condition with whistler-mode waves. Black and red lines represent 646 resonance with whistler-mode waves of $\omega = 0.2\omega_{ce}$ and $\omega = 0.35\omega_{ce}$, respectively.

Dashed line indicates the energy of 200 keV, i.e., the maximum precipitation energy of

648 the pulsating aurora estimated from the EISCAT observations.

649













(C)



Altitude (km)







Van Allen Probes-A/EMFISIS



(nT²/Hz)



(b)



