### The plasmasphere during a space weather event: First results from the PLASMON project

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### ABSTRACT

The results of the first 18 months of the PLASMON project are presented. We have extended our three, existing ground based measuring networks, AWDANet (VLF/whistlers), EMMA/SANSA (ULF/FLRs) and AARDDVARK (VLF/perturbations on transmitters' signal) by three, eight and four new stations, respectively. The extended networks will allow us to achieve the four major scientific goals, the automatic retrieval of equatorial electron densities and density profiles of the plasmasphere by whistler inversion, the retrieval of equatorial plasma mass densities by EMMA and SANSA from FLRs, developing a new, data assimilative model of plasmasphere and validating the model predictions through comparison of modeled REP losses with measured data by AARDDVARK network. The first results on each of the four objectives is presented through a case study on a space weather event, a dual storm sudden commencement (SSC) which occurred on 3 and 4 August 2010.

Key words. plasmasphere - whistler - FLR - data assimilation - relativistic electron precipitation

### 1. Introduction

The PLASMON FP7-Space project (A new, ground based data-assimilative model of the Earth's 2 Plasmasphere – a critical contribution to Radiation Belt modeling for Space Weather purposes, 3 http://plasmon.elte.hu) addresses space weather models to improve specification and prediction capabilities, with emphasis on the linkage of the different physical processes that occur simultane-5 ously or sequentially in many domains such as the ionosphere, plasmasphere and radiation belts. 6 The project started on 1 February 2011 and is expected to be completed on 31 July 2014. In this pa-7 per we describe the PLASMON project, and report on progress in the first two years of the project. 8 We also present an example of how the scientific work-packages link together to produce greater 9 understanding of plasmaspheric dynamics and the influence of this upon the radiation belts. The 10 project consists of four major objectives, described below: 11

1. Automatic retrieval of equatorial electron densities and density profiles by the Automatic 12 Whistler Detector and Analyzer Network (AWDANet). Recently Eötvös University has de-13 veloped a new, experimental Automatic Whistler Detector and Analyzer (AWDA) system 14 (Lichtenberger et al., 2008) that is capable of detecting whistlers; we use this system to process 15 lightning generated whistlers with no human interaction. AWDANet is evolving and now covers 16 low, mid and high magnetic latitudes with wide longitudinal coverage. Recent developments in 17 whistler inversion methods for multiple-path whistler groups propagating at mid and high latitude 18 (Lichtenberger, 2009) will allow us to retrieve electron density profiles automatically for a wide 19 range of L-values. In the project, the AWDANet has been extended to have better spatial and tem-20 poral coverage and thus is able to provide density profiles for different MLTs which can be used 21 as a data source for space weather models. The implementation of the new automatic whistler 22 analyzer (AWA) method (Lichtenberger et al., 2010) has been installed in AWDANet nodes. The 23 transformation of AWDANet to work in quasi-real-time mode of operation is in progress. 24

25 2. Retrieval of equatorial plasma mass densities by the Europen quasi-Meridional Magnetometer
Array (EMMA) and cross-calibration of whistler and Field Line Resonance (FLR) methods
for determining electron and mass density respectively. The goal of the EMMA, which is created from SEGMA (South European GeoMagnetic Array, *Vellante et al.* (2004), and MM100
(Magnetic Meridian 100) arrays (*Heilig et al.*, 2010) in the project is to monitor the equatorial

plasma mass density based on the detection of geomagnetic FLRs. None of the earlier monitoring 30 systems, however, were "space weather" operational in the sense that they never produced quasi 31 real time products. The latitude coverage was also not sufficient to monitor the whole plasmas-32 phere. In contrast to the whistler method the FLR method can be used to infer the plasma mass 33 density even in the plasmatrough and to also identify the location of the plasmapause. We have 34 unified the isolated European efforts to call into being a joint European network, EMMA, with 35 stations ranging from Italy to the northern Finland (L-shells 1.6 - 6.7). We use and upgraded 36 existing magnetometer networks (IMAGE), which were originally established for other purposes 37 and other requirements (resolution, sampling rate, timing), but the data of which are exploited for 38 plasmasphere observations as well. In accordance with these goals we will: 39

(a) unify and extend the SEGMA, MM100 and IMAGE networks into EMMA;

(b) develop an approach to allow automatic FLR identification and FLR inversion to estimate mass densities;

(c) develop all EMMA stations to work in quasi-real-time modes of operation and evaluate relative
 abundances of heavy ions in the plasma composition from simultaneous determinations of
 mass density (FLR method) and electron density (whistler method).

3. Data assimilative modeling of the Earths plasmasphere. Even dense measurements only sample 46 the plasmasphere at limited resolution in both space and time. Yet determining the effect of wave-47 particle interactions on the radiation belts requires a continuous map of the plasma density in 48 both time and space. In order to provide such a complete map it becomes necessary to interpolate 49 between measurements, again in both time and space, with data assimilation schemes to combine 50 plasmaspheric measurements with a numerical physics-based plasmasphere model. The two data 51 assimilation schemes which we are pursuing are Ensemble Kalman filtering (*Evensen*, 2003) and 52 particle filtering (Nakano et al., 2008). 53

4. Modeling Relativistic Electron Precipitation (REP) losses from the radiation belts using the 54 Antarctic-Arctic Radiation-belt (Dynamic) Deposition-VLF Atmospheric Research Konsortium 55 (AARDDVARK) network. During a geomagnetic storm the length of time during which space 56 assets are in danger is determined by the efficiency of the radiation belt loss mechanisms, par-57 ticularly through relativistic electron precipitation into the atmosphere. We use the assimilative 58 model of the plasmasphere to identify regions where plasmaspheric structures such as the regions 59 occurring on, inside, and outside of the plasmaspause and/or composition changes are likely to 60 result in enhanced electron losses. We will monitor the occurrence and properties of REP using 61 the ground based AARDDVARK network (Clilverd et al., 2009), which will be extended during 62 the project to have better spatial and temporal coverage. 63

At the end of the project we will provide real time data of plasmaspheric densities, a dataassimilative model of the plasmasphere and a model of REP losses. All these data, models and information will significantly contribute to European capacity to estimate and prevent damage of space assets from space weather events as well as to improving forecasting and prediction of disruptive space weather events.

One network (AWDANet) measures Very Low Frequency (VLF) waves to capture and analyze whistlers, another network (EMMA) measures Ultra Low Frequency (ULF) signals to capture and analyze FLR. Methods based on the two phenomena are capable of providing plasmaspheric densi-

- <sup>72</sup> ties. The two methods are complementary to each other due to the spatial and temporal occurrences
- <sup>73</sup> of whistlers and FLRs. Overlapping events (which mostly at late afternoon and early morning times)
- <sup>74</sup> from the two techniques are used for cross-calibration of the methods. New opportunities are avail-
- <sup>75</sup> able with the launch of Van Allen Probes NASA mission (http://www.nasa.gov/vanallenprobes/),
- <sup>76</sup> we plan to use in-situ density and wave measurements to calibrate the two ground based meth-
- <sup>77</sup> ods independently, overcoming the lack of common events in space and time. Monitoring of the
- <sup>78</sup> plasmasphere by whistlers and FLRs is the basic objective of this proposal, while the third (data-
- assimilative modeling of the Earths plasmasphere) uses these data to provide a high-fidelity model.
   The fourth objective (identifying electron loss to the atmosphere from the different regions of the
- plasmasphere) demonstrates one application of the new plasmasphere model in providing value
- <sup>82</sup> added information on the loss processes for use in radiation belts models making use of measure-
- <sup>83</sup> ments by a third ground based network (AARDDVARK).
- <sup>84</sup> In the following, we discuss the techniques in more detail, presenting for illustration results on a
- space weather event, a dual storm sudden commencement (SSC) which occurred on 3 and 4 August
- <sup>86</sup> 2010, revealing how the four major objectives of PLASMON contribute to the analysis of an event.

# Automatic retrieval of equatorial electron densities and density profiles by Automatic Whistler detector and Analyzer Network (AWDANet)

The cold electron density distribution of the plasmasphere cannot be easily measured routinely, but 89 is a key parameter for modeling of the plasmasphere and radiation belts. Whistlers have been re-90 garded as cheap and effective tools for plasmasphere diagnostics since the early years of whistler re-91 search (e.g. Sazhin et al. (1992)), but did not become a real operational tool since reducing whistler 92 data to equatorial densities was very labour intensive. Recently the Space Research Group of Eötvös 93 University has developed a new, experimental Automatic Whistler Detector and Analyzer (AWDA) 94 system that is capable of detecting whistlers and we use this system to process lightning-generated 95 whistlers with no human interaction. The AWDA system consists of two major blocks: the au-96 tomatic whistler detector (AWD) and automatic whistler analyzer (AWA). The former works in 97 real-time and is able to detect whistlers in the raw VLF data stream, saving into disk files only those 98 sections of the input stream that contains whistlers. The latter block takes the saved files and infers 99 equatorial electron densities and propagation paths. A global network formed by AWDA systems 100 (AWDANet) is evolving and now covers low, mid and high magnetic latitudes (Lichtenberger et al., 101 2008). In PLASMON, AWDANet has been extended with three news stations to have latitudinal and 102 longitudinal coverage that are close to optimal. The three new stations are: Eskdalemuir (Scotland), 103 Forks (Seattle, USA) and Karymshina (Kamchatka, Russia) (Fig 1). 104

A recent development in whistler inversion methods for multiple-path whistler groups propagat-105 ing on mid and high latitude (Lichtenberger, 2009) allows us to retrieve electron density profiles 106 automatically for a wide range of L-values. The inversion methods used for whistlers on mid and 107 high latitude paths are now being used for low latitude whistlers as well. In PLASMON, we have 108 developed the implementation of the AWA method (*Lichtenberger et al.*, 2010) on normal CPU 109 computers, while the implementation on Graphical Processing Unit (GPU)-based parallel process-110 ing units is going on. The final goal is to achieve a quasi real-time mode of operation. With this 111 mode of operation, a node on the AWDANet system will be able to provide 10-15 equatorial elec-112

tron densities or density profiles per hour by processing multiple-path whistler groups, which is enough to monitor the changes in the plasmasphere caused either by MLT changes or the dynamics of the magnetosphere itself (e.g. *Darrouzet et al.* (2009)). However, it has to be noted, that the number and/or quality of detected whistlers may not allow the inversion of 10-15 whistler events per hour. In this case, a plasmasphere model can be used to fill the gaps, complemented with electron densities obtained from another AWDANet station (different magnetic latitude and longitude).

The enhanced global coverage of AWDANet allows us to record whistlers in wide L-range, from  $\sim 1.2$  (at Indian stations) to >5 (at Arctic and Antarctic stations). The inversion algorithm, however, can be applied for whistlers propagate on L > 1.4, due to the validity of the field-aligned density model. The upper limit varies with the position of the plasmapause. We plan to use data from all (i.e. global) stations. The typical errors of equatorial electron densities obtained from the automated whistler analysis can be between 1-50%, depending on the quality of traces in whistler events (sharpness, frequency coverage, signal to noise ratio).

To test the implemented AWA method, we chose 83 whistler events covering the period 1-7 126 August 2010, that is the period prior and after the dual-SSC. The AWDA system recorded almost 127 2600 events in this period at Dunedin (New-Zealand). The time-distribution of these events is not 128 even, because the occurrence of the events is far too varied to produce an even distribution, as 129 is commonly the case at this location (*Collier et al.*, 2010). Our 83 whistler events include single 130 whistlers and whistler groups as well. All these events were processed by the AWA algorithm and 131 the analysis of 41 were completed with sufficiently high quality thresholds (see *Lichtenberger et al.* 132 (2010)). These 41 detected whistler events contain 224 whistler traces. The result of an inversion 133 of a whistler event is the A and B parameters and the L-values of the identified traces in the event, 134 producing an automatic "scaling" of the whistler traces. A and B are parameters to describe the 135 L-dependence of the equatorial electron density (*Lichtenberger*, 2009): 136

<sup>137</sup> 
$$log_{10}n_{eq} = A + BL,$$
 1.4 < L < 8. (1)

 $n_{eq}$  is then calculated for each L.

The goal of this case study was to test and tune the AWA algorithm. Figure 2 shows a contour 139 map created from the all  $(L, n_{ea})$  pairs using Delaunay triangulation to fill the gaps between the 140 scattered datapoints. It has to be noted, that this interpolation introduced artifacts due to the highly 141 uneven distribution of data points. Though the gaps between the time of events prevents us from 142 fully following the equatorial electron density variations during the study period, a slight (factor of 143 2) decrease can be seen after the first SSC and a more articulated decrease (factor of 3) after the 144 second one around L=3.5. The data point in the green circle is a knee whistler, propagating at the 145 plasmapause at L = 3.51 where the equatorial electron density is  $n_{eq} = 152/cm^3$ . 146

Though the data points are highly uneven both in space and time, we found six whistler traces 147 propagating approximately along the same field line about 1-2 days apart. Thus the events occur 148 on the same L-shell and MLT and we can calculate the coupling fluxes, i.e. electron refilling rates 149 in five cases. The events are shown in Table 1. The first three events were recorded before the 150 SSCs, while the last three ones after the SSCs. Each events were recorded at late afternoon in 151 local time. The coupling flux  $\Phi$  is calculated as  $(N_{T,2} - N_{T,1})/(t_2 - t_1)$ , where  $t_1$  and  $t_2$  are the 152 time of the two consecutive events,  $N_{T,1}$  and  $N_{T,2}$  are the tube electron contents (see e.g. *Park* 153 (1972)) calculated at the same time. The tube electron content did not practically change between 154 1-2 August, indicating a condition of saturated flux tube – the small negative flux is probably due 155

to the difference in the two L-shells (2.96 vs. 2.83) used for the calculation. The flux is relatively high between 2 and 3 August ( $16.93 \times 10^7 el/cm^2/s$ ) showing refilling of the flux tubes and negative between 3 and 5 August ( $-10.26 \times 10^7 el/cm^2/s$ ), this intevall spans over two days and includes the SSCs when the plasmasphere was eroded. However, both the last two coupling fluxes are negative,  $-4.13 \times 10^7 el/cm^2/s$  between 5 and 6 August and  $-17.3 \times 10^7 el/cm^2/s$  between 6 and 7 August suggesting prolonged erosion of the plasmasphere after the storms in the dusk sector at  $L = \sim 2.9$ .

## 3. Retrieval of equatorial plasma mass densities by EMMA and SANSA magnetometer arrays

Thanks to recent developments in magnetometry (e.g. reduction of noise), data acquisition (im-164 proved resolution and timing) and the theory (wave propagation, event detection, models, inversion) 165 of magnetohydrodynamic (MHD) waves, the routine monitoring of the cold plasma mass density of 166 the plasmasphere has become possible. EMMA (Europen quasi-Meridional Magnetometer Array) 167 was established in 2012 within the frame of PLASMON with the main goal to monitor the plas-168 maspheric mass density based on the detection of FLRs. EMMA was born through the unifica-169 tion and extension of previously existing European magnetic arrays: SEGMA (South European 170 GeoMagnetic Array) (Vellante et al., 2004) and MM100 (Heilig et al., 2010) including the Finnish 171 stations of IMAGE. At the end of 2012 EMMA consists of 25 stations (top panel, Figure 3) from 172 north Finland to Italy (L-shells 1.6 – 6.7) as a joint effort of FMI (Finland), IGFPAS (Poland), SAS 173 (Slovakia), MFGI (Hungary) and University of L'Aquila (Italy). PLASMON also has a smaller mag-174 netometer network maintained by SANSA at South-African conjugate area (bottom panel, Figure 175 3). The SANSA observations (SUT-HER) will allow examination of possible effects of north-south 176 ionospheric asymmetries and will give independent estimates of the plasma mass density at L=1.8, 177 therefore providing a check on the accuracy of the method. In addition, measurements from the 178 new pair TSU-WBP will allow extension of the monitoring to a lower L-shell ( $\sim 1.4$ ). The instru-179 mentation is similar at all sites. Low noise (mostly fluxgate) magnetometers are sampled with high 180 resolution, samples are synchronized to GPS PPS signals. Data are transferred to the project servers 181 for processing through the internet every 15 minutes. 182

The first step of the EMMA data processing is the detection of FLRs. This is done by applying 183 the phase gradient technique (Waters et al., 1991) on magnetic data recorded at two closely spaced 184 (100-300 km) stations which are located along nearly the same magnetic meridian. In the dynamic 185 cross phase spectra the FLR frequency shows up as the maximum of the phase difference between 186 the two signals. Another characteristic feature of FLRs is the variation of the amplitude ratio of 187 the two signals across the resonant frequency  $(f_{FLR})$ . The ratio is around 1 at  $f_{FLR}$  and has a local 188 minimum/maximum below/above this frequency.  $f_{FLR}$  is determined by the maximum in phase 189 difference and the proximity of the amplitude ratio to one. An automated algorithm, FLRID (Field 190 Line Resonance Identification) is being developed in PLASMON to do this job. FLRID also checks 191 other parameters, such as the location of the inflection point in the amplitude ratio spectrum, the 192 amplitude ratio at the inflection point, the magnitude of the phase difference, etc. that all help to 193 identify the FLR frequency. These parameters also allow to estimate the uncertainty in the detected 194 FLR frequency (Berube et al., 2003) and the resonance width (Green et al., 1993). Figure 4 shows 195 three examples from different latitudes for the cross phase spectra that FLRID is based on, for 1 196 August 2010 (prior to the ssc). FLR frequencies are identified by the reddish horizontal stripes 197

standing out from the greenish background from ~ 04 UT to ~ 16 UT at ~ 10 mHz (MEK-NUR, L = 3.7), ~ 15 mHz (NUR-TAR, L = 3.2) and ~ 25 mHz (SUW-BEL, L = 2.4), respectively.

The inversion of the FLRs is possible if the magnetic field and the density distribution along the field line are known. Our inversion code, FLRINV, solves the MHD wave equation of the resonance (*Singer et al.*, 1981) in an arbitrary magnetic field topology to infer the plasma mass density at the magnetic equatorial point of the field line. At the current stage of the development the T01 model (*Tsyganenko*, 2002a,b) is used to describe the magnetic field topology, while the field aligned density distribution applied is a simple power-law distribution. However, at low latitudes more realistic distributions should be used because of the presence of heavy ions.

The typical uncertainties in the inferred equatorial plasma mass densities, which derive from the uncertainty in determining the FLR frequency, are of the order of 15-20%. Additional uncertainties, which might be of the same order, can derive from the adopted field-aligned mass density distribution at low (< 2) L-shells (*Vellante and Förster*, 2006), and from the magnetic field model used at

<sup>211</sup> high L-shells (*Berube et al.*, 2006).

Figure 5 shows the plasma mass densities inferred from the available ULF measurements for the period 1-8, Aug, 2010. The results indicate at the outermost L-shell a significant depletion (a factor 3) after the first SSC and a further decrease (a factor 10 with respect to the pre-storm conditions) after the second SSC. The strong depletion observed at this L-shell is in agreement with the expected position of the plasmapause (discussed later on in Figure 8) which indicates that the flux tube at L = 3.7 was outside the plasmaphere during the whole DoY 215. On August 8 (DoY 219) the density has completely recovered (i.e., 5 days after the first SSC).

The refilling between DoY 216 and DoY 219 (considering the density values at noon) took place 219 at a rate of  $83 \pm 20$  atomic massurit (amu)/cm<sup>3</sup>/day which is equivalent to a net upward ion flux of 220  $(7.3 \pm 1.7) \times 10^7 amu/cm^2/s$  across the 1000 km level. This value is in line with previous estimates 221 obtained from day-to-day variations of flux tube content during the recovery phase of magnetic 222 storms. Indeed, *Chi et al.* (2000) obtained  $\Phi = 6 \times 10^7 amu/cm^2/s$  at L = 2 and *Park* (1970) ob-223 tained  $\Phi = 6 \times 10^7 electrons/cm^2/s$  at L = 4. Note that the value is quite different than that obtained 224 from our whistler measurements ( $-17 \times 10^7 electrons/cm^2/s$ ) at L= 2.9 between DoY 217 and 225 DoY 218 (Table 1). It must be considered however, that the two values obtained from whistler and 226 FLR observations are not directly comparable because they refer to regions with very large longitu-227 dinal separation: 140°. Also, as pointed out by *Dent et al.* (2006), the value of the refilling can be 228 significantly dependent on the local time when it is calculated; in particular, whistler measurements 229 refer to late afternoon hours when plasma drainage from the plasmasphere to the ionosphere may 230 occur. 231

We also evaluated for different L-shells the daytime refilling rate from the ionosphere during 232 each of the three days preceeding the storm and each of the four days of recovery (Table 2). The 233 evaluation was made in the 0400-1400 UT interval (~ 06-16 LT). The values of Table 2 indicate a 234 clear increase of  $\Phi$  with increasing L, and also a decrease of  $\Phi$  in the recovery phase which might 235 be attributed to a reduced plasma supply from the ionosphere whose ion content is usually reduced 236 during the early phase of the magnetic storms recovery. The values are in line with the estimates of 237  $10 - 50 \times 10^7 amu/cm^2/s$  obtained by *Obana et al.* (2010) at L = 2.3-3.8,  $57 \times 10^7 amu/cm^2/s$  (L = 238 2, *Chi et al.* (2000)), and  $30 \times 10^7 electrons/cm^2/s$  ( $L \sim 3.7$ , *Park* (1970)). 239

The results of Figure 5 also show an anomalous sharp increase of the resonant period and of the inferred plasma mass density in the late evening of some days (e.g. on DoY 212, 214, 218, 219). An

anomalously high resonant period could arise from the formation of a quarter-wave mode standing
wave when one end of the field line is sunlit and the other end is in darkness (*Obana et al.*, 2008),
or from a low ionospheric conductivity in both hemispheres (*Ozeke and Mann*, 2005). In either case
the equatorial plasma mass density should be inferred using proper boundary conditions different
from the standard assumption of perfect wave reflection at both hemispheres. For this reason we
excluded these late evening values from the analysis of the daytime plasma refilling rates.

#### **4.** Data assimilative modeling of Earth's plasmasphere

The use of data assimilation in space physics is still in its infancy. Data assimilation methods are used in ionospheric modeling (*Bust et al.*, 2004; *Bust and Crowley*, 2007) and are beginning to be used in radiation belt modeling as well (*Reeves et al.*, 2012; *Koller et al.*, 2007; *Kondrashov et al.*, 2007; *Fuller-Rowell et al.*, 2006), and one example exists of using it to constrain a ring current model using global ENA images (*Nakano et al.*, 2008). The relatively slow adoption of data assimilation for magnetospheric physics may be connected to the relative sparsity of observations.

A variety of plasmasphere models are used as drivers to existing ring current and radiation belt models to compute the loss processes (e.g. *Fok et al.* (1991, 2001); *Friedel et al.* (2002)). Even the radiation belt models and ring current models that have been run under a data assimilation scheme do not include data assimilation on the plasmasphere but for example simply use an electric field parametrized by geomagnetic activity index such as Kp.

Under the PLASMON project we have developed a data assimilation model of the plasmas-260 phere based on the Dynamic Global Core Plasma Model (DGCPM) (Ober and Horwitz, 1997), 261 and an Ensemble Kalman Filter (EnKF), for use with ground-based plasma density observations. 262 The data assimilation model from PLASMON has not yet been published, but another paper 263 (Jorgensen et al., 2011) details some early work toward the data assimilation. In this project we 264 expand this capability in several ways, including adding the ability to use more data sources, adding 265 composition information and the relevant refilling and loss rates, adding information about the field-266 aligned distribution of plasma, and improving the parametrization of the electric fields. 267

Figure 6 shows a assimilation result for August 3, 2010 storm. The bottom panel shows the  $K_P$ 268 index which shows the storm main phase on August 3 and 4. The top 3 panels show magnetome-269 ter FLR observations and assimilation results, and the 4th and 5th panel show VLF whistler data 270 and assimilation results. In the top 3 panels the blue curve is a reference model run without data 271 assimilation, based solely on the  $K_P$  index. It shows that around L=3.7 the plasmasphere depleted 272 at approximately 0 UT on August 4. At L=3.3 the depletion happened one day later and was not 273 as large. The red points are observations. In the top three panels we see good coverage of data on 274 the dayside although NUR/TAR did not observe FLRs after the onst. In the 4th and 5th panel the 275 observations appear more scattered. This is because VLF stations do not measure density at a fixed 276 L-shell but rather at a range of L-shells, with the range different for each whistler group. In this plot 277 we elected to plot the density at the inner and outer L-shell, and thus the time series will generally 278 not represent a single L-shell. Dunedin is at L=3.5, and the range of observations is from L=3 to 279 L=4, approximately. 280

In all panels the black trace represents the assimilation output at the observations location, and the green traces represent the uncertainty. In the case of the FLR observations we could obtain model output even between observations because the FLR stations map to a fixed location. In the case of the VLF observations each data points is associated with a unique L-shell and thus it does
 not make sense to sample the assimilation model during times when no observations are available.

In general the agreement is good between the assimilation and the observations. A notable ex-286 ception is August 1, which we will return to in a moment. We used observation uncertainties of 287 20-30% in the assimilation. One expected feature of data assimilation is that during time-intervals 288 when there are no observations the uncertainty increases. This can be seen throughout the plot, but 289 is perhaps most evident on August 5. Following one group of observations at approximately 4 UT 290 at Dunedin and MEK/NUR there are no observations for 12 hours. During that time the model is 29 running open loop and the uncertainty increases. As observations become available the uncertainty 292 drops, and the model steps in the direction of the observations. In the case of August 5 that step is 293 rather large. 294

On August 1 the agreement between observations and model is poor. This is almost certainly because of the initial conditions of the model used. We used a fully saturated plasmasphere as the initial condition at 0 UT on August 1. In order to agree with the observations the plasmasphere needed to be severely eroded and that takes at least 12-24 hours to accomplish. During that time the assimilation model simply does not have the degrees of freedom to obtain good agreement with observations.

# 5. Modeling REP losses from the radiation belts using the AARDDVARK network

During a geomagnetic storm the length of time during which space assets are in danger is de-303 termined by the efficiency of the loss mechanisms, particularly through relativistic electron pre-304 cipitation into the atmosphere. The primary mechanism for this precipitation is the interaction of 305 several wave modes with resonant electrons, which leads to scattering into the atmospheric loss 306 cone. The nature of the wave activity and the interactions between the waves and radiation belt par-307 ticles are strongly governed by the properties of the plasmasphere. We use the assimilative model 308 of the plasmasphere to identify regions where plasmaspheric structures such as the regions occur-309 ring on, inside, and outside of the plasmaspause and/or composition changes are likely to result in 310 enhanced electron losses. We monitor the occurrence and properties of REP using the ground based 311 Antarctic-Arctic Radiation-belt (Dynamic) Deposition-VLF Atmospheric Research Konsortium 312 (AARDDVARK) network (Clilverd et al., 2009). The Northern Hemisphere AARDDVARK map 313 (Figure 7) shows all of the stations including those completed as part of the PLASMON project: 314 Forks (Seattle, USA), Ottawa, and St John's (Canada) and Eskdalemuir (Scotland). With the com-315 pletion of the AARDDVARK network in the Northern Hemisphere we are now in a strong position 316 to monitor the electron precipitation coming from within, on, and outside of, the plasmapause. The 317 dashed circle on the plot shows the L-shell contour at L=4.5, which represents the average quiet-318 time location of the plasmapause. 319 Figure 8 shows electron flux measurements for the August 2010 ssc/storm interval from the 320

MEPED instrument which is part of the Space Environment Monitor-2 (SEM-2) experiment carried on-board the POES spacecraft. These measurements have been zonally averaged after correction for low-energy proton contamination (following *Lam et al.* (2010)) and include observations made by all 6 spacecraft which carried the SEM-2 and were in orbit at that time (NOAA-15 through to -19 and MetOp-02). The electron fluxes shown in Figure 8 are > 100 keV quasi-trapped elec-

tron fluxes during the period 29 July through to 10 August, with the studied period (1-6 August 326 2010) marked with white dashed lines. The plot shows the fluxes in a logarithmic colour scale from 327  $10^2 - 10^6 el.cm^{-2} s^{-1} sr^{-1}$ . The fluxes are being measured close to the drift-loss cone. Thus these 328 fluxes, while trapped, are only slightly above the loss cone in pitch angle space. It is these electron 329 fluxes which are most likely to be scattered into the bounce loss-cone during any geomagnetic ac-330 tivity which enhances wave-particle interactions, and thus these fluxes represent the electrons avail-33 able to be lost into the atmosphere. The fluxes show an increase from background levels of about 332  $3x10^4 el.cm^{-2}s^{-1}sr^{-1}$  to  $1x10^6 el.cm^{-2}s^{-1}sr^{-1}$  during the first SSC on 3rd August, with high flux lev-333 els observed at much lower L-shells than before the storm period. The calculated position of the 334 plasmapause from the empirical plasmapause model of *Moldwin et al.* (2002) which uses a 12-hour 335 Kp maximum value, is shown as a white line. The highest > 100 keV flux levels occur outside of the 336 Moldwin et al. (2002) plasmapause. Initially the plasmapause is located at about L=4.5, but during 337 the storm it moves into about L=3 for about one day. During this period high fluxes occur at low 338 L-shells as a result of the inward movement of the plasmapause, and then remain elevated at those 339 L-shells for several days after the plasmapause has recovered back to L=4.5. Similar links between 340 plasmaspheric dynamics and the apparent motion of the radiation belt location have been reported 341 previously (Baker et al., 2004; Rodger et al., 2007). After 4 August high fluxes of quasi-trapped 342 electrons will be subject to wave-particle interactions occurring inside, on, and outside the plasma-343 pause. PLASMON aims to refine this picture, by accurately locating the plasmapause, identifying 344 the density levels and composition (which influence wave-particle interactions), and measuring the 345 electron precipitation that actually occurs. 346

Figure 9 shows an example of how the AARDDVARK VLF data responds to the precipitation oc-347 curring in this time period. We have analysed the observations made by the AARDDVARK receiver 348 at Churchill (Canada) of the transmissions originating from the US Navy communications station 349 in North Dakota (call sign NDK). Initially, we analysed the received amplitude on days which were 350 geomagnetically quiet and not affected by significant electron precipitation. This provides a statis-351 tically generated quiet day curve (QDC) for the normal diurnal amplitude variation, including also 352 a standard deviation to represent the experimental uncertainties in the ODC generation. Even in 353 quiet times the received VLF amplitudes are most variable during the sunrise and sunset periods, 354 and throughout the night, such that the uncertainty is higher for these time periods (marked Zones 355 II through to IV in the Figure). The top panel of Figure 9 shows the change in the received NDK 356 amplitude (i.e., change relative to the QDC) on a relatively quiet day (23 July 2010). As expected, 357 there is little evidence of significant ionospheric disturbances on this day, as the mean and median 358 amplitude differences are close to zero, particularly during the midday period (Zone VI) where the 359 Sun dominates the D-region ionosphere and hence is the main factor influencing quiet time VLF 360 propagation. 361

The lower panel of Figure 9 shows the change in the received NDK amplitude on a disturbed 362 day during our study period (4 August 2010). This clearly exhibits large amplitude perturbations 363 relative to the QDC. There is evidence of precipitation across the entire day, with a near constant 364 offset of  $\sim 2dB$  in the Sun-lit periods and much larger amplitude changes when the D-region is 365 dominated by nighttime conditions (Zones II and IIII, i.e. 3-8UT). However, while the amplitude 366 changes are larger during the day than during the night, VLF propagation tends to be more sensitive 367 to precipitation during the night due to the more tenuous D-region (i.e., Rodger et al. (2010, 2012). 368 The next step is for us to model the VLF propagation conditions, and then estimate the precipitating 360

flux levels for different times of day in order to reproduce the amplitude perturbations using the approaches outlined in *Rodger et al.* (2012). This is a necessary part of our plan to achieve one of

the goals of PLASMON, modeling observed REP losses from the radiation belts and relating those

<sup>373</sup> to plasmaspheric structures.

Figure 9 clearly shows that the AARDDVARK data are responding to the additional electron 374 precipitation occurring into the lower ionosphere on this day. By comparison with Figure 8 we 375 can also see that the VLF propagation path observations presented in Figure 9 (where the path 376 ranges from 3 < L < 7) are only influenced by precipitation from outside of the plasmasphere 377 on 4 August. This is consistent with loss mechanisms such as chorus waves, which are known 378 to occur outside of the plasmaspause, and to be enhanced during periods of high geomagnetic 379 disturbance (*Meredith et al.*, 2012). Using the AARDDVARK data to model the effect of electron 380 precipitation fluxes on the North Dakota to Churchill path during this intense storm period will 381 allow us to quantify the chorus-induced loss mechanism. By analogy, we will also be able to use 382 AARDDVARK data during the later stages of the geomagnetic storm, from VLF propagation paths 383 that range from 3 < L < 4.5. This will allow us to compare and quantify electron precipitation 384 fluxes resulting from processes from inside the plasmapause, such as plasmaspheric hiss-induced 385 loss mechanisms (Rodger et al., 2007). 386

### **387** 6. Conclusions and future work

<sup>388</sup> During the first 24 months of the PLASMON project, we have extended our ground based VLF <sup>389</sup> and ULF networks, installing three new stations in AWDANet, four new stations in AARDDVARK <sup>390</sup> and eight new stations in our ULF network (six in the Europen EMMA and two in the Southern <sup>391</sup> African SANSA network). The extended networks are used to achieve the objective of the project. <sup>392</sup> We have developed an algorithm that allows us to retrieve electron density profiles automatically <sup>393</sup> and we have implemented the algorithm on GPU-based processing units and we are working on to <sup>394</sup> reach a quasi real-time mode of operation of AWDANet.

An automated algorithm for identification of field line resonances, FLRID has been developed in PLASMON, which provides the input for the automatic inversion procedure (FLRINV).

The assimilative model of the plasmasphere is the central core of the project. It is based on the Dynamic Global Core Plasma Model, and a Ensemble Kalman Filter. We have started to test the assimilation using density data from our two ground based networks (AWDANet and EMMA).

The third ground based network (AARDDVARK) is used to contrast the plasmasphere model through comparison of REP losses. In this paper we have illustrated the combined use of these resources with preliminary investigation of a storm interval over 1-6 August 2010. Results include estimation of electron and ion mass densities and coupling rates before and during the storm, and changes in quasi-trapped electron fluxes near their scattering point into the loss cone, forming REP detected over VLF paths on the ground.

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**Table 1.** The calculated L-value, equatorial electron density, tube electron content and estimated coupling flux (electron refilling rate) for six whistler traces. The tube contents and coupling fluxes are referenced to 1000 km. See details in the text.

Time	L-value	n <sub>eq</sub>	$N_T$	Φ
		$[cm^{-3}]$	$10^{13} el \ cm^{-2} - tube$	$10^7 el \ cm^{-2} s^{-1}$
2010-08-01UT05:44:37.687	$2.96 \pm 0.01$	1538±16	$4.37 \pm 0.07$	-
2010-08-02UT05:54:13.975	$2.83 \pm 0.05$	1737±110	$4.00 \pm 0.37$	$-4.32 \pm 4.38$
2010-08-03UT04:55:10.149	$2.98 \pm 0.02$	$1859 \pm 29$	$5.40 \pm 0.16$	$16.93 \pm 4.92$
2010-08-05UT04:54:45.673	$2.93 \pm 0.00$	1317±4	$3.63 \pm 0.02$	-10.26±0.94
2010-08-06UT04:23:04.612	$2.93 \pm 0.00$	1177±5	$3.28 \pm 0.02$	-4.13±0.35
2010-08-07UT04:37:21.844	$2.98 \pm 0.01$	534±2	$1.76 \pm 0.02$	-17.3±0.39

Table 2. Daytime upward plasma flux across the 1000-km level for different flux tubes.

Day of 2010	$\Phi [10^7 amu/cm^2/s]$		
(0400 – 1400 UT)			
	L=2.4	L=3.2	L=3.7
1 August (DoY 212)	$15 \pm 6$	$47 \pm 8$	$80 \pm 6$
2 August (DoY 213)	$23 \pm 7$	$49 \pm 8$	$42 \pm 7$
3 August (DoY 214)	$7 \pm 5$	$21 \pm 8$	$57 \pm 7$
5 August (DoY 216)	-	-	$13 \pm 9$
6 August (DoY 217)	$8 \pm 8$	-	$41 \pm 3$
7 August (DoY 218)	$16 \pm 4$	-	$24 \pm 4$
8 August (DoY 219)	$9 \pm 4$	-	$43 \pm 7$

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**Fig. 1.** AWDANet stations. Top panel: European stations. Bottom panel: Global stations. Names in red are operational, names in blue are planned stations. Names in green are the three new stations installed in the PLASMON project.



**Fig. 2.** A contour map of equatorial electron density variation between 1-8 August 2010. The blue dots are the whistler traces identified in the 41 events processed. The trace in the green circle is a knee whistler.



**Fig. 3.** Top panel: EMMA stations across Europe. The new stations installed in PLASMON (green dots) are Lonjsko Polje (LOP), Vyhne (VYH), Zagorzyce (ZAG), Szczechowo (SZC), Hel (HLP), and Birzai (BRZ). Bottom panel: SANSA stations in South Africa. The new stations installed in PLASMON are Waterberg Plateau Park (WBP) and Tsumeb (TSU). Geographic coordinates are shown.



**Fig. 4.** Cross phase spectra for 3 station pairs, from top to bottom MEK-NUR (L=3.7), NUR-TAR (L=3.2), SUW-BEL (L=2.4), respectively. 1 Aug 2010



**Fig. 5.** FLR periods (upper panel) detected by FLRID and inferred equatorial plasma mass densities obtained by FLRINV (lower panel) at 5 station pairs (MEK-NUR, L=3.7; NUR-TAR, L=3.2; SUW-BEL, L=2.4; CST-RNC, L=1.7; RNC-AQU, L=1.6) over 1-8, Aug, 2010. SSCs are marked by dashed vertical lines.



**Fig. 6.** Data assimilation result for the storm on August 3, 2010. The bottom panel shows the  $K_P$  index for the event, showing high activity level beginning near the end of August 3 and continuing into August 5. The top three panels show results for the three FLR station pairs, SUW/BEL (L=2.4) in panel 1, NUR/TAR (L=3.3) in panel 2, and MEK/NUR (L=3.7) in panel 3. In those panels the blue trace represents the plasma density obtained from a reference model using a electric field derived from the  $K_P$  index. Panels 4 and 5 are results for the Dunedin VLF station. Panel 4 is the density at the innermost L-shell of a VLF whistler group, and panel 5 is at the outermost L-shell. All densities are in  $cm^{-3}$ . The red points are the observations. In the case of Dunedin each point represents a different L-shell range, nominally in the L=3 to L=4 range. The black traces represent the average assimilation output and the green traces the uncertainty around it. For the FLR stations, which map to a fixed location, assimilation output can be obtained even when no observations are available. For the VLF observations it is not useful to obtain assimilation output without observations because each observations is at a different L-shell.



**Fig. 7.** The Northern Hemisphere AARDDVARK network. The green circles are the VLF transmitters, the red diamonds are the AARDDVARK receivers. The green lines shows the great circle paths between the transmitters and the receivers. The dashed black oval shows the magnetic latitude of the footprint of the expected quiet-time average plasmapause position in terms of the McIlwain L-shell parameter (in this case L=4.5). The four new stations installed in PLASMON are Forks (Seattle, USA), Ottawa and St. John's (both in Canada) and Eskdalemuir (Scotland).



**Fig. 8.** POES (NOAA-17 to 19 and MetOp02) Space Environment Monitor (SEM-2) > 100keV quasitrapped electron fluxes over 29 Jul - 10 Aug 2001. Vertical white dotted lines denote the study interval of interest. The white line shows the calculated position of plasmapause based on 12 hour maximum Kp index value (Moldwin et al., 2002).



**Fig. 9.** Top panel: The change in amplitude of the North Dakota US Navy VLF transmitter signal received at Churchill (Canada) on a relatively undisturbed day (23 July 2010) after the removal of a "quiet day curve" from the signal. Bottom panel: The change in amplitude of the North Dakota US Navy VLF transmitter signal received at Churchill (Canada) on 4 August 2010, after the removal of a quiet day curve from the signal. The red dots with error bars represent the mean and standard deviation of the amplitude perturbations for each of the UT time zones identified by the shading, including those periods which involve sunrise and sunset on the propagation path.